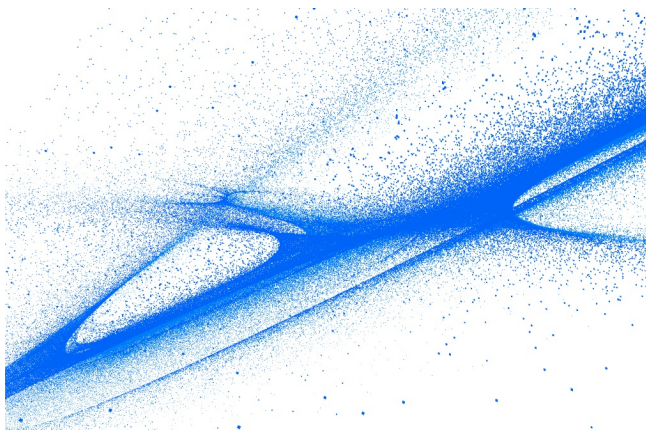


# Strange Attractors in Surface Automorphisms



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# Abstract

Computer assisted visualizations suggest the existence of strange attractors in dissipative complex dynamical system on complex surfaces.

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1. Julia set
2. Attractor
3. Surface automorphism
4. Invariant cuspidal cubic curve
5. Examples
6. ND2 case

# 1. Julia set

# Fatou set

Let  $f : X \rightarrow X$  be an automorphism of a compact complex manifold  $X$ .

A point  $p \in X$  is a point of the **forward Fatou set**  $F_f^+$  if there exists an open neighborhood  $U$  of  $p$  on which the sequence  $\{f^n\}_{n \in \mathbb{N}}$  forms a normal family of holomorphic mappings from  $U$  to  $X$ .

Define the **backward Fatou set**  $F_f^-$  and the **Fatou set**  $F_f$  by

$$F_f^- = F_{f^{-1}}^+, \quad F_f = F_f^+ \cap F_f^-.$$

## Julia set

Define the **forward Julia set**  $J_f^+$ , the **backward Julia set**  $J_f^-$ , and the **Julia set**  $J_f$  as follows.

$$J_f^+ = X \setminus F_f^+, \quad J_f^- = X \setminus F_f^-, \quad \text{and} \quad J_f = J_f^+ \cap J_f^-.$$

Let  $J_f^\dagger$  denote the closure of the set of saddle periodic points.

Clearly,  $J_f^\dagger \subset J_f$ .

## Loxodromic automorphism

Let  $f$  be an automorphism of a compact Kähler surface  $X$ .

Let  $H^{1,1}(X, \mathbb{R}) = H^{1,1}(X, \mathbb{C}) \cap H^2(X, \mathbb{R})$ .

Then,  $f^* : H^{1,1}(X, \mathbb{R}) \rightarrow H^{1,1}(X, \mathbb{R})$  is an automorphism preserving the intersection pairing.

Define the **dynamical degree**  $\lambda_f$  by

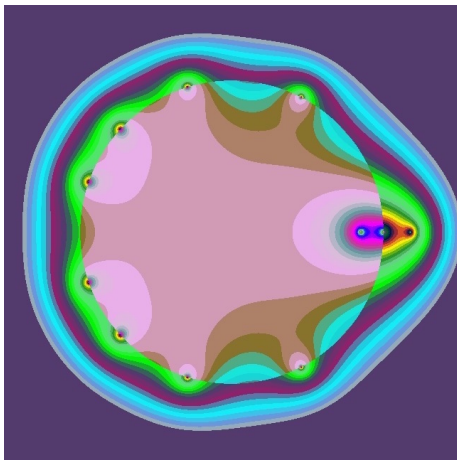
$$\lambda_f = \lim_{n \rightarrow \infty} \|f^{*n}\|^{\frac{1}{n}}.$$

**THEOREM.** If  $\lambda_f > 1$ , then  $\lambda_f$  is an eigenvalue of  $f^*$  with multiplicity 1, and it is the unique eigenvalue with modulus  $> 1$ .

If  $\lambda_f > 1$ , then  $\lambda_f^{-1}$  is an eigenvalue, too. Other eigenvalues are of modulus 1.

$f$  is said to be **loxodromic** if  $\lambda_f > 1$ .

# Characteristic polynomial of a loxodromic automorphism





## Invariant currents and invariant measures

Let  $f$  be a loxodromic automorphism of a compact Kähler surface  $X$ .

THEOREM(Cantat 2001, Dinh-Sibony 2005). There exist positive, closed currents  $T_f^+$  and  $T_f^-$  with invariance property

$$f^* T_f^+ = \lambda_f T_f^+ \quad \text{and} \quad f^* T_f^- = \lambda_f^{-1} T_f^-.$$

We obtain an invariant measure  $\mu_f = T_f^+ \wedge T_f^-$ .

THEOREM(Bedford-Lyubich-Smilie 1993,Cantat 2003). Let  $\Lambda(f, k)$  denote the set of saddle periodic points of  $f$  of period  $k$ . Then

$$\frac{1}{\lambda_f^k} \sum_{p \in \Lambda(f, k)} \delta_p$$

converges to  $\mu_f$  as  $k$  goes to  $\infty$ .

## Julia set $J^*$

We denote  $\text{supp}(\mu_f)$  by  $J_f^*$ .

If  $f$  is a loxodromic automorphism of a compact Kähler surface  $X$ , then

$$J_f^* \subset J_f^\dagger \subset J_f.$$

THEOREM(U., 2018). There exists a loxodromic automorphism  $f$  of a compact Kähler surface  $X$  such that

$$J_f^* \neq J_f^\dagger \neq J_f.$$

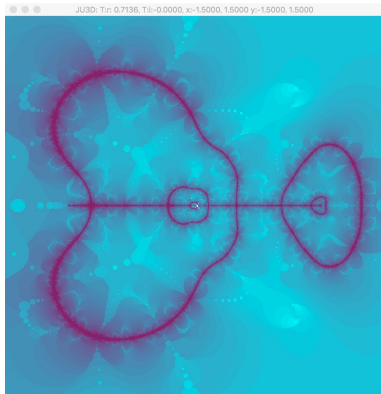
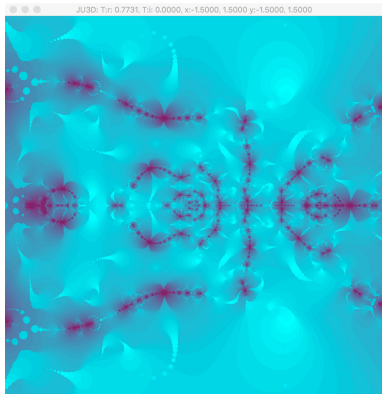
REMARK.

If  $f$  is a Hénon map, then  $J_f^* = J_f^\dagger \subset J_f$ .

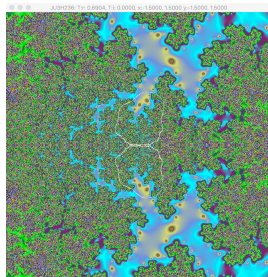
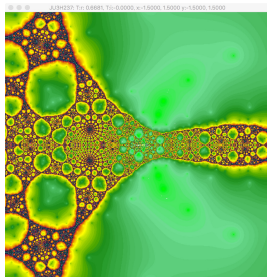
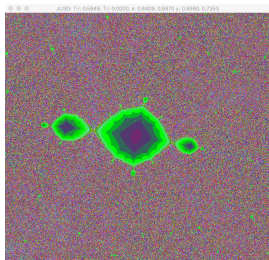
If  $f$  is a hyperbolic Hénon map, then  $J_f^* = J_f^\dagger = J_f$ .

## 2. Attractor

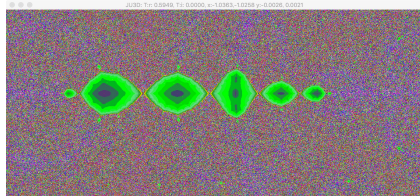
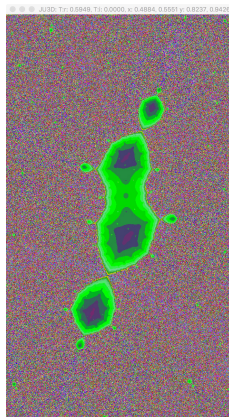
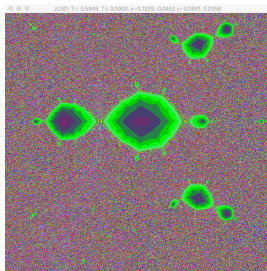
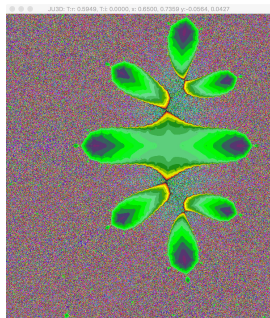
# Attracting fixed point



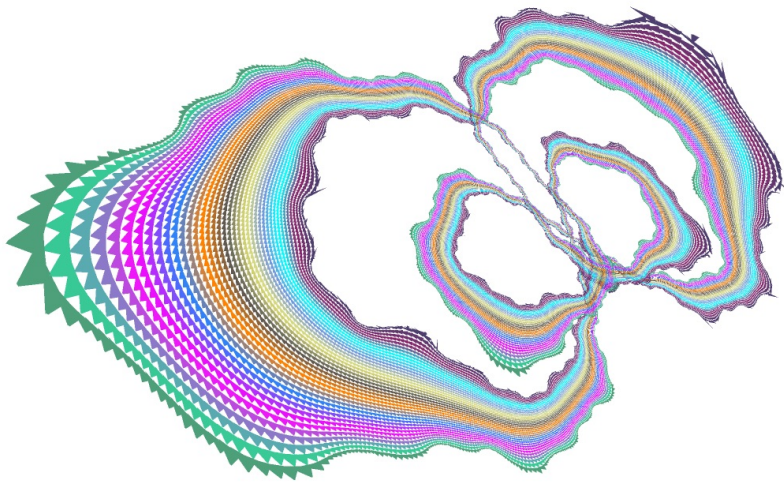
# Pictures of $F^+$ and $J^+$



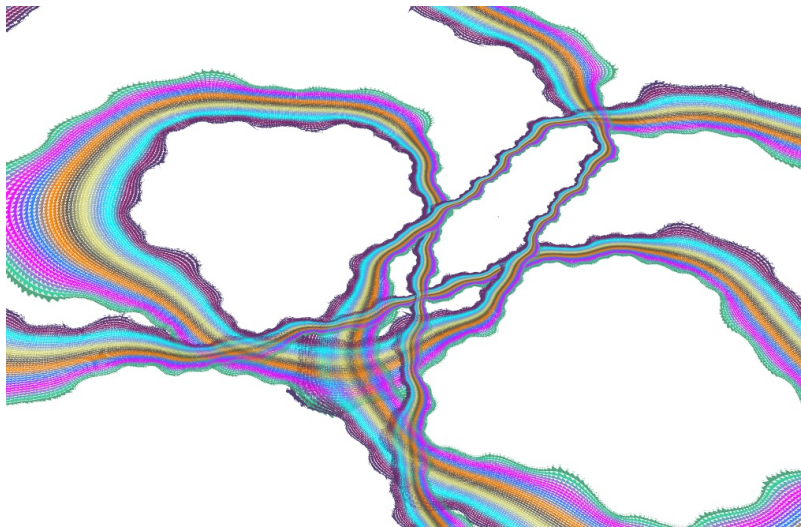
# Pictures of attracting periodic point



# Attracting Herman ring (numerically observed)

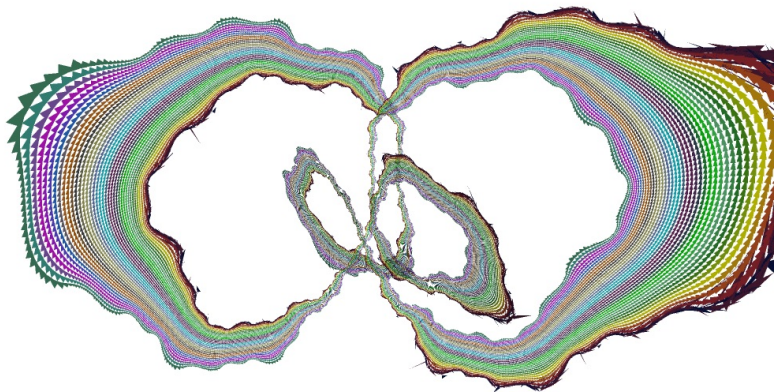


# attracting Herman ring





# attracting Herman ring



## Numerically observed attractor

We have observed (dissipative case):

Attracting fixed point,

Attracting periodic cycle,

Attracting Herman ring (numerical observation only),

Attracting Riemann sphere.

Though we have not found parabolic basin and Siegel disk as attractor, in these cases,

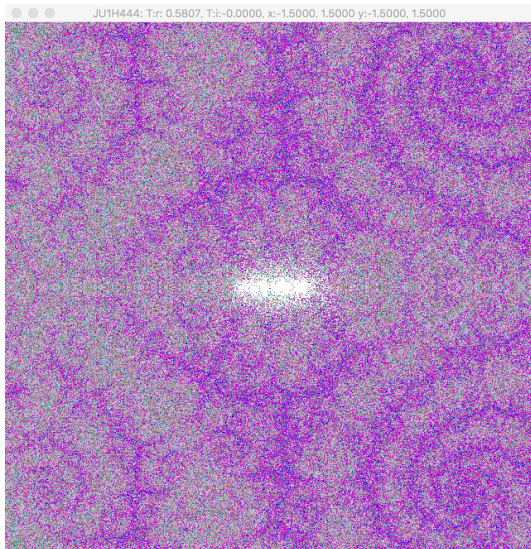
$$F^+ \neq \emptyset.$$

In this note, we report examples of surface automorphisms suggesting

$$F^+ = \emptyset, \quad \text{and} \quad J^+ = X,$$

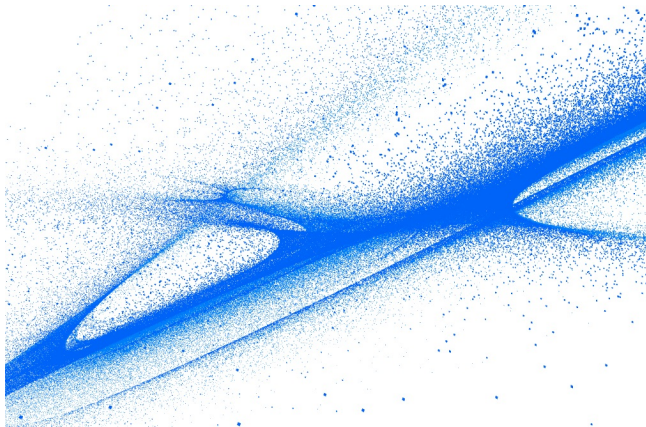
which are found numerically. (No mathematical proof.)

# Chaotic slice



## Plot of an orbit

This picture represents the forward orbit of a randomly chosen initial point by an automorphism  $f : X \rightarrow X$  of complex surface.



# Our conjecture

Our conjecture is:

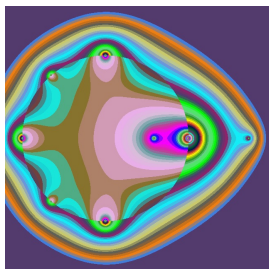
There exists a surface automorphism for which  $\text{supp}(\mu_f)$  is an attractor.

In the following sections, we construct a surface automorphism which seems to have a strange attractor.

As general construction of surface automorphisms is complicated, we explain only concrete construction.

### 3. Surface automorphism

## Quadratic birational transformation



Let  $\lambda = 0.580691832\dots$  be the smallest positive real root of equation

$$z^4 - z^3 - z^2 - z + 1 = 0.$$

Let  $a = 0.240694602\dots$  be given by

$$a = \frac{\lambda^3(\lambda - 1)}{1 - \lambda^4} + \frac{1}{3}.$$

## Explicit formula for invariant cuspidal cubic curve case

Define quadratic birational map  $f : \mathbb{C}^2 \dashrightarrow \mathbb{C}^2$ ,  
 $(x, y) \mapsto (X, Y)$  by

$$X = \lambda \left( x + a + \frac{3a(y - x^3)}{3ax^2 - 3a^2x + a^3 - y} \right),$$

$$Y = \lambda^3 \left( (x + a)^3 + (y - x^3) \left( 1 + \frac{9a^2x}{3ax^2 - 3a^2x + a^3 - y} \right) \right).$$

This map preserves cubic curve  $\{y = x^3\}$ .

Point  $(a, a^3)$  is a point of indeterminacy (degenerated).

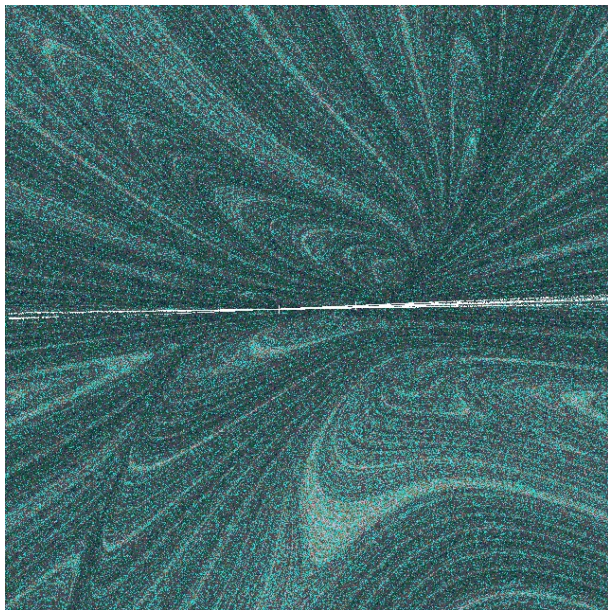
$f$  is symmetric with respect to the complex conjugacy.

$$f(\bar{x}, \bar{y}) = (\bar{X}, \bar{Y}).$$

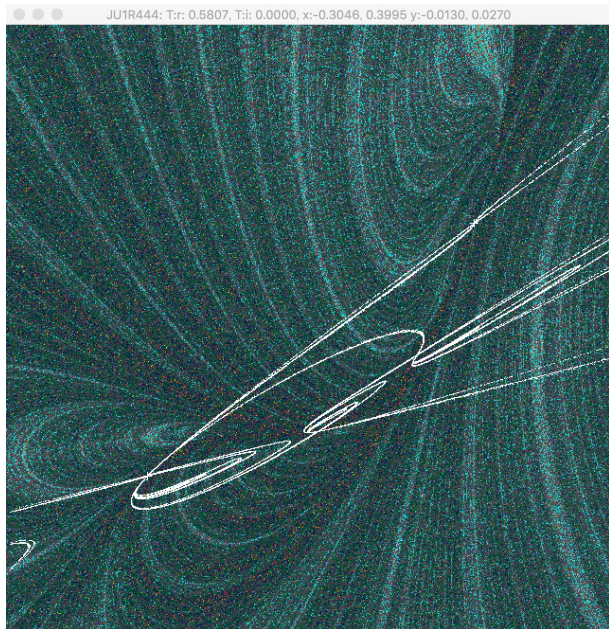
The real subspace is invariant under  $f$ .



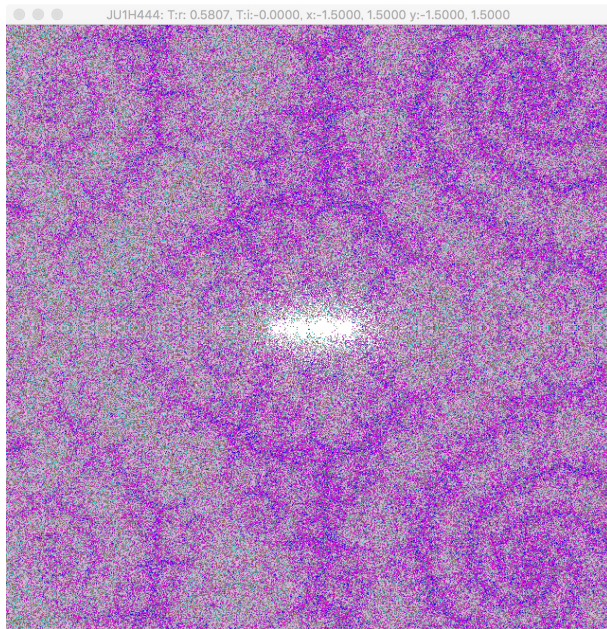
## Real slice (forward orbit)



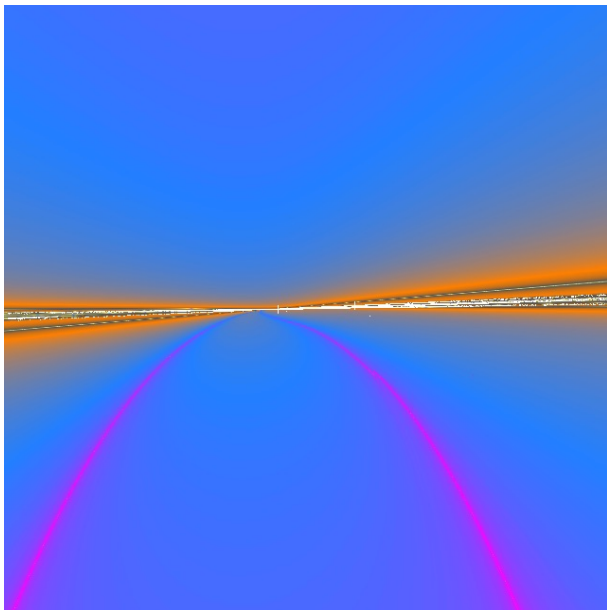
## Real slice (forward orbit)



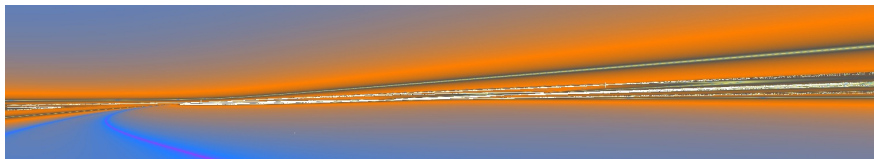
# Horizontal slice(forward orbit)



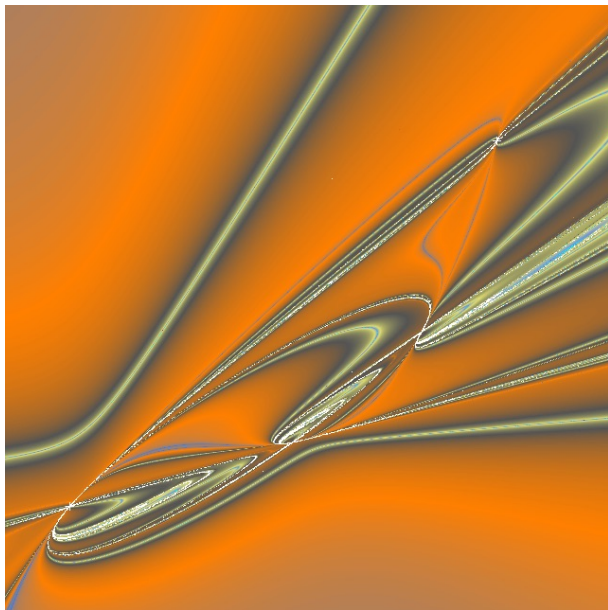
# Real slice(backward orbit)



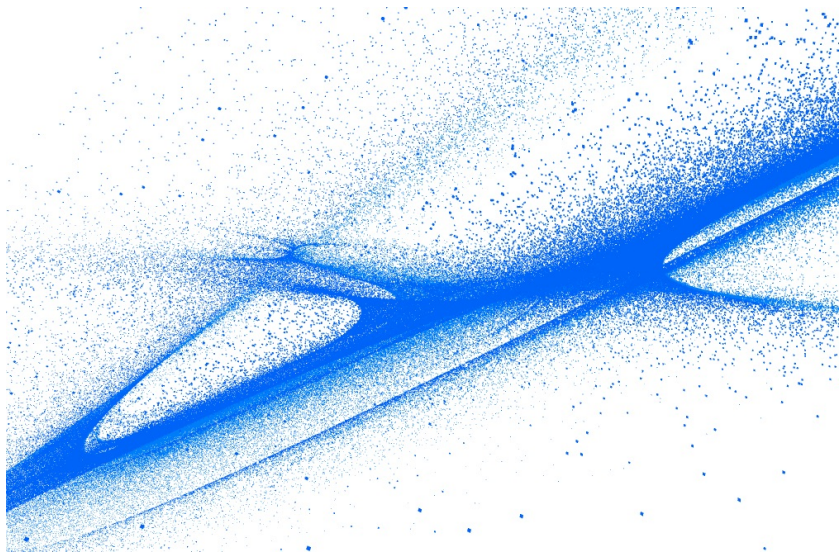
# Real slice(backward orbit)



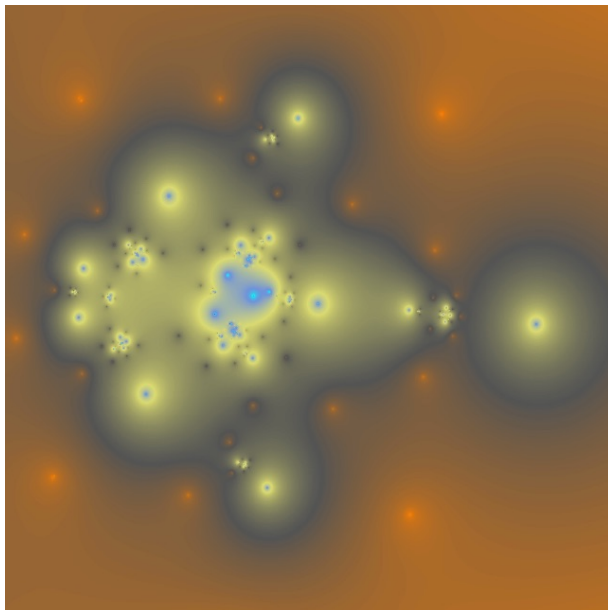
## Real slice(backward orbit)



# Plot of an orbit



# Vertical slice(backward orbit)





# Volume

The cubic curve  $C = \{y = x^3\}$  is invariant under  $f$ .

Let

$$\eta = \frac{1}{y - x^3} dx \wedge dy.$$

$\eta$  defines a meromorphic  $(2,0)$ -form on the surface  $X$ , with a simple pole along  $C$  and no other poles or zeros.

The form  $\eta$  determines a natural volume measure

$$\text{vol}(U) = \int_U \eta \wedge \bar{\eta},$$

locally finite on  $X \setminus C$ , but of infinite total mass.

# Determinant

$f^*\eta$  is also a meromorphic  $(2,0)$ -form on  $X$  with a simple pole along  $C$  and no other poles or zeros.

It is proportional to  $\eta$  :

$$f^*\eta = \delta(f) \cdot \eta.$$

$\delta(f)$  is called the **determinant** of  $f$ .

THEOREM.  $\delta(f) = \lambda$ .

Here  $\lambda$  is the multiplier  $D(f|_C)$ .

When  $0 < \lambda < 1$ ,  $f$  is dissipative.

$$\int_{f(U)} \eta \wedge \bar{\eta} = \int_U f^*\eta \wedge f^*\bar{\eta} = \lambda \bar{\lambda} \int_U \eta \wedge \bar{\eta}.$$

## Fixed points in $C$

The point  $(0, \infty)$  and  $(\frac{1}{3}, \frac{1}{27})$  are fixed points in  $C$ , with eigenvalues

$$\begin{array}{ll} \lambda^{-2} \text{ and } \lambda^{-3} & \text{at } (0, \infty), \\ \lambda \text{ and } \lambda^{-9} & \text{at } (\frac{1}{3}, \frac{1}{27}). \end{array}$$

$(0, \infty)$  is a source (repeller), and  $P_0 = (\frac{1}{3}, \frac{1}{27})$  is a saddle.

The stable manifold  $W^s(P_0)$  of this saddle coincides with the invariant cubic curve  $C$ .

This saddle point does not have homoclinic points.

The unstable manifold  $W^u(P_0)$  of this saddle does not intersect with  $\text{supp}(\mu_f)$ ,

but its closure contains  $\text{supp}(\mu_f)$ .

## expected picture

Let  $Q$  be a saddle periodic point in  $X \setminus C$ .

$$\text{supp}(\mu_f) = \overline{W^u(Q)} = \overline{W^u(P_0)} \setminus W^u(P_0).$$

# 4. Invariant cuspidal cubic curve

## Cubic curve

Let  $C$  denote the cubic curve  $\{y = x^3\}$  in  $\mathbb{C}^2 \subset \mathbb{P}^2$ .

This curve has a parametrization

$$p : \mathbb{C} \rightarrow C, \quad p(t) = (t, t^3).$$

We want to find birational map  $f : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$ , which maps  $C$  onto itself.

$$f(C) = C.$$

$f$  has indeterminate points  $I(f)$ . The equality should be understood "modulo exceptional points".

$$f(C) = \overline{f(C \setminus I(f))}.$$

$f$  induces an automorphism of the cubic curve  $C$ , which can be described by an affine map  $t \mapsto \lambda(t + \mu)$  for some constants  $\lambda \in \mathbb{C}^\times, \mu \in \mathbb{C}$ .

PROPOSITION. For  $\lambda \in \mathbb{C}^\times$  and  $a_1, a_2, a_3 \in \mathbb{C}$  with  $a_1 + a_2 + a_3 \neq 0$ , there exists a quadratic birational map  $f : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$ , such that

$$f(C) = C, \quad I(f) = \{p(a_1), p(a_2), p(a_3)\},$$

inducing  $t \mapsto \lambda(t + \frac{\nu_1}{3})$ , with  $\nu_1 = a_1 + a_2 + a_3$ .

In affine coordinates, we set  $f(x, y) = \left( \frac{f_1(x, y)}{f_3(x, y)}, \frac{f_2(x, y)}{f_3(x, y)} \right)$

PROOF. Let  $\nu_2 = a_1 a_2 + a_2 a_3 + a_3 a_1$  and  $\nu_3 = a_1 a_2 a_3$ . The indeterminate points,  $p(a_i) = (a_i, a_i^3)$ ,  $i = 1, 2, 3$ , are common zeros of the system of equations

$$\begin{cases} y - x^3 & = 0 \\ x^3 - \nu_1 x^2 + \nu_2 x - \nu_3 & = 0 \end{cases}.$$

As quadratic polynomial  $f_3(x, y)$  must vanish in these indeterminacy points, we can choose

$$f_3(x, y) = \nu_1 x^2 - \nu_2 x + \nu_3 - y.$$



Since  $f(p(t)) = p(\lambda(t + \frac{\nu_1}{3}))$  for  $t \in \mathbb{C}$ ,  $f : (x, y) \mapsto (X, Y)$  can be written as

$$X = \lambda \left( x + \frac{\nu_1}{3} + \frac{(y - x^3)U(x, y)}{f_3(x, y)} \right),$$

$$Y = \lambda^3 \left( \left( x + \frac{\nu_1}{3} \right)^3 + \frac{(y - x^3)V(x, y)}{f_3(x, y)} \right),$$

where polynomials  $U(x, y)$ ,  $V(x, y)$  are chosen so that  $f$  becomes a quadratic rational map.

To determine polynomials  $U(x, y)$  and  $V(x, y)$  we require that

$$f_1(x, y) = \lambda \left( \left(x + \frac{\nu_1}{3}\right) f_3(x, y) + (y - x^3) U(x, y) \right),$$

$$f_2(x, y) = \lambda^3 \left( \left(x + \frac{\nu_1}{3}\right)^3 f_3(x, y) + (y - x^3) V(x, y) \right)$$

are quadratic polynomials. We get

$$U(x, y) = \nu_1,$$

$$V(x, y) = \nu_1 x^2 + (\nu_1^2 - \nu_2) x - y + \frac{\nu_1^3}{3} - \nu_1 \nu_2 + \nu_3.$$

This gives the explicit formula for the quadratic birational map  $f$ .

## Explicit formula for invariant cuspidal cubic curve case

PROPOSITION. The quadratic birational map  $f : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  in the previous proposition is given by

$$X = \lambda \left( x + \frac{\nu_1}{3} + \frac{\nu_1(y - x^3)}{\nu_1 x^2 - \nu_2 x + \nu_3 - y} \right),$$

$$Y = \lambda^3 \left( \left( x + \frac{\nu_1}{3} \right)^3 + (y - x^3) \left( 1 + \frac{\nu_1^2 x + \frac{\nu_1^3}{3} - \nu_1 \nu_2}{\nu_1 x^2 - \nu_2 x + \nu_3 - y} \right) \right).$$

## Exceptional lines

A quadratic birational map  $f : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  always acts by blowing up three indeterminacy points in  $\mathbb{P}^2$  and blowing down the three exceptional lines joining them.

The inverse map  $f^{-1}$  is also quadratic and the images of three exceptional lines of  $f$  are the indeterminacy points of  $f^{-1}$ .

## Parametrization and lines

Our parametrization  $p : \mathbb{C} \rightarrow C$  of the invariant cubic curve has a nice property.

If three points  $p(t_1), p(t_2), p(t_3)$  are on a line, say  $\{y = ax + b\}$ , then

$$t_i^3 - at_i - b = 0, \quad i = 1, 2, 3,$$

which shows that  $t_1, t_2, t_3$  are three roots of cubic equation  $t^3 - at - b = 0$ , hence  $t_1 + t_2 + t_3 = 0$ .

Conversely, if  $t_1 + t_2 + t_3 = 0$ , then  $t_1, t_2, t_3$  are the three roots of cubic equation in  $t$  :

$$t^3 + (t_1 t_2 + t_2 t_3 + t_3 t_1)t - t_1 t_2 t_3 = 0,$$

which implies that  $p(t_1), p(t_2), p(t_3)$  are on a line.

## Inverse map

In order to compute the inverse map of  $f$ , we need to find the indeterminacy points of  $f^{-1}$ , which are the images of the exceptional lines of  $f$ .

Suppose the exceptional line passing through indeterminacy points  $p(a_j)$  and  $p(a_k)$  is mapped to  $p(b_i)$ , for  $\{i, j, k\} = \{1, 2, 3\}$ . This exceptional line intersects with  $C$  at  $p(-a_j - a_k)$ , which is mapped to  $p(b_i)$ , with

$$b_i = \lambda(-a_j - a_k + \frac{\nu_1}{3}) = \lambda(a_i - \frac{2\nu_1}{3}).$$

The dynamics of  $f^{-1}$  in the invariant curve  $C$  is

$$t \mapsto \lambda^{-1}(t - \frac{\lambda\nu_1}{3}).$$

Construction of the inverse map is similar.

## Inner dynamics

Let  $\tau : t \mapsto \lambda(t + \nu_1/3)$  denote the dynamics in  $C$ .

$\tau$  has a unique fixed point  $t_0 = \frac{1}{3} \frac{\lambda \nu_1}{1-\lambda}$ .

By linear change of variables  $t = rt'$ , where  $r = \frac{\lambda \nu_1}{1-\lambda}$ ,  $\tau$  is conjugate to

$$\tau' : t' \mapsto \lambda \left( t' + \frac{1-\lambda}{3\lambda} \right),$$

whose fixed point is  $\frac{1}{3}$ .

So, by linear change of coordinates  $x = rx'$ , and  $y = r^3 y'$ , with  $a_i = ra'_i$ ,  $i = 1, 2, 3$ , birational map  $f$  has fixed point  $(\frac{1}{3}, \frac{1}{27})$ .

To construct surface automorphisms by blow-ups, we may suppose that  $f$  fixes  $(\frac{1}{3}, \frac{1}{27})$ .

# Surface automorphism

We have

$$I(f) = \{p(a_1), p(a_2), p(a_3)\}$$

and

$$I(f^{-1}) = \{p(b_1), p(b_2), p(b_3)\}$$

If, for some positive integers  $n_1, n_2, n_3$ , and permutation  $\sigma : \{1, 2, 3\} \rightarrow \{1, 2, 3\}$ ,

$$p(a_{\sigma(i)}) = f^{\circ(n_i-1)}p(b_i), \quad i = 1, 2, 3,$$

holds, then  $f$  lifts to a surface automorphism by blowing up  $(n_1 + n_2 + n_3)$  points (provided they are all distinct)

$$p(b_i), f(p(b_i)), \dots, f^{\circ(n_i-1)}(p(b_i)), \quad i = 1, 2, 3.$$



# Orbit data

Positive integers  $(n_1, n_2, n_3)$  with permutation  $\sigma$  is said an **orbit data**.

Following Diller, we look for determinant  $\lambda$  and a quadratic birational transformation  $f$ , which maps  $C$  onto itself and realizes the prescribed orbit data.

## conditions

In terms of inner dynamics, the conditions are as follows.

$$a_{\sigma(i)} = \lambda^{n_i-1} \left( b_i - \frac{1}{3} \right) + \frac{1}{3}, \quad i = 1, 2, 3,$$

$$b_i = \lambda a_i + \frac{2}{3}(\lambda - 1), \quad i = 1, 2, 3,$$

$$a_1 + a_2 + a_3 = \frac{1}{\lambda} - 1.$$

Eliminate  $a_i, b_i, i = 1, 2, 3$ , to obtain an equation in  $\lambda$ , which is a necessary condition.

## Polynomial equations for orbit data $n_1, n_2, n_3, \sigma$

Necessary condition  $P(\lambda) = 0$  is given by followings.

(case id)  $\sigma = id$ .

$$P(\lambda) = (\lambda - 2)\lambda^{n_1+n_2+n_3} + \lambda^{n_1+n_2} + \lambda^{n_2+n_3} + \lambda^{n_3+n_1} \\ - \lambda^{n_1+1} - \lambda^{n_2+1} - \lambda^{n_3+1} + 2\lambda - 1.$$

(case tr)  $\sigma$  is a transposition ( $\sigma(1) = 2, \sigma(2) = 1, \sigma(3) = 3$ ).

$$P(\lambda) = (\lambda - 2)\lambda^{n_1+n_2+n_3} + \lambda^{n_1+n_2} + (\lambda - 1)(\lambda^{n_1+n_3} + \lambda^{n_2+n_3}) \\ - (\lambda - 1)(\lambda^{n_1} + \lambda^{n_2}) + \lambda^{n_3+1} - 2\lambda + 1.$$

(case cy)  $\sigma$  is a cyclic permutation ( $\sigma(1) = 2, \sigma(2) = 3, \sigma(3) = 1$ ).

$$P(\lambda) = (\lambda - 2)\lambda^{n_1+n_2+n_3} + (\lambda - 1)(\lambda^{n_1+n_2} + \lambda^{n_2+n_3} + \lambda^{n_3+n_1}) \\ + (\lambda - 1)(\lambda^{n_1} + \lambda^{n_2} + \lambda^{n_3}) + 2\lambda - 1.$$

## Picard coordinate of indeterminate points

(case id)  $\sigma = id$ .

$$a_i = -\frac{\lambda^{n_i-1}(\lambda-1)}{\lambda^{n_i}-1} + \frac{1}{3} \quad (i = 1, 2, 3).$$

(case tr)  $\sigma = (1, 2)$

$$a_i = -\frac{\lambda^{n_j-1}(\lambda^{n_i}+1)(\lambda-1)}{\lambda^{n_i+n_j}-1} + \frac{1}{3} \quad ((i, j) = (1, 2), (2, 1)).$$

$$a_k = -\frac{\lambda^{n_k-1}(\lambda-1)}{\lambda^{n_k}-1} + \frac{1}{3} \quad (k = 3).$$

(case cy)  $\sigma = (1, 2, 3)$

$$a_i = -\frac{\lambda^{n_k-1}(\lambda^{n_j}(\lambda^{n_i}+1)+1)(\lambda-1)}{\lambda^{n_i+n_j+n_k}-1} + \frac{1}{3}$$

$$((i, j, k) = (1, 2, 3), (2, 3, 1), (3, 1, 2)).$$

# Characteristic polynomial

Orbit data determines the characteristic polynomial  $P(\lambda)$  of  $f^* : H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{Z})$ .

Bedford and Kim [BK1] have computed explicitly for any orbit data  $n_1, n_2, n_3, \sigma$ .

$$P(\lambda) = \lambda^{1+\sum n_j} p\left(\frac{1}{\lambda}\right) + (-1)^{\text{ord}\sigma} p(\lambda),$$

where

$$p(\lambda) = 1 - 2\lambda + \sum_{j=\sigma_j} \lambda^{1+n_j} + \sum_{j \neq \sigma_j} \lambda^{n_j} (1 - \lambda).$$

The polynomial  $P(\lambda)$  obtained as a necessary condition and the characteristic polynomial  $P(\lambda)$  coincide (not by chance).

# 5. Examples

Pictures above are for orbit data  $(n_1, n_2, n_3) = (4, 4, 4)$  with  $\sigma = id$ .

(case id)  $\sigma = id$ .

$$P(\lambda) = (\lambda - 2)\lambda^{n_1+n_2+n_3} + \lambda^{n_1+n_2} + \lambda^{n_2+n_3} + \lambda^{n_3+n_1} \\ - \lambda^{n_1+1} - \lambda^{n_2+1} - \lambda^{n_3+1} + 2\lambda - 1.$$

(case id)  $\sigma = id$ .

$$a_i = -\frac{\lambda^{n_i-1}(\lambda - 1)}{\lambda^{n_i} - 1} + \frac{1}{3} \quad (i = 1, 2, 3).$$

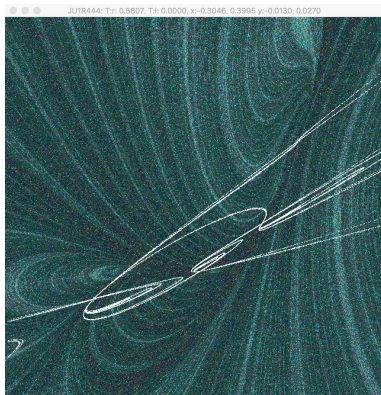
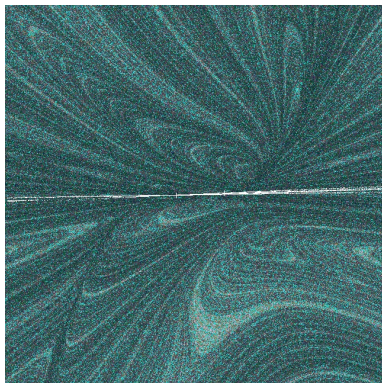
The characteristic polynomial is as follows.

$$P(\lambda) = (\lambda - 1)(\lambda^4 - 1)^2(\lambda^4 - \lambda^3 - \lambda^2 - \lambda + 1).$$

The inner coordinates of indeterminate points are :

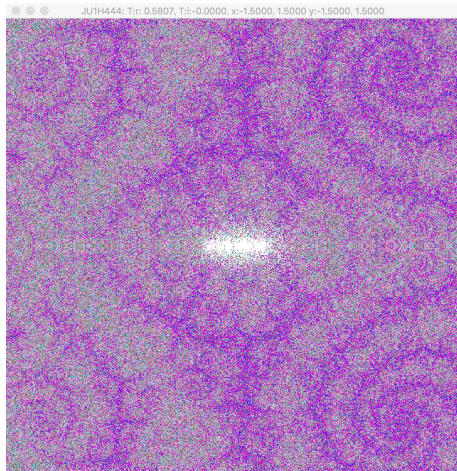
$$a = a_1 = a_2 = a_3 = \frac{\lambda^3(\lambda - 1)}{1 - \lambda^4} + \frac{1}{3}.$$

# Real slice (forward orbit)

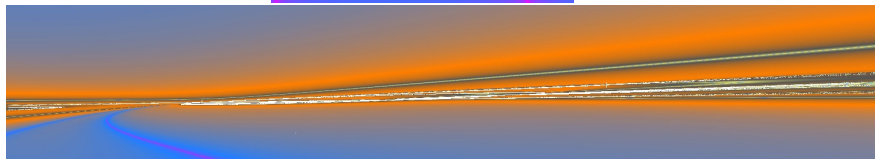
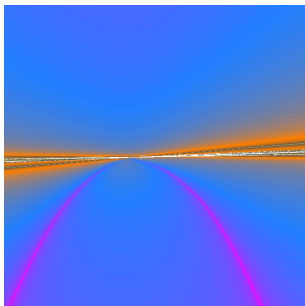




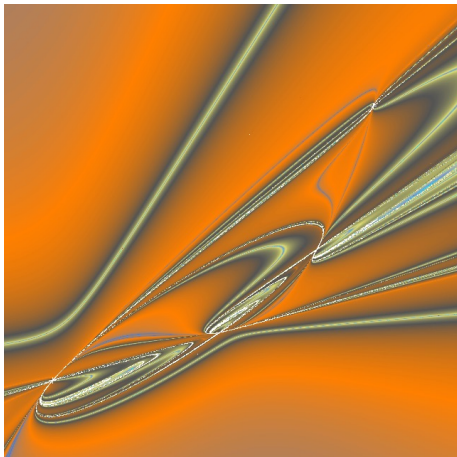
# Horizontal slice(forward orbit)



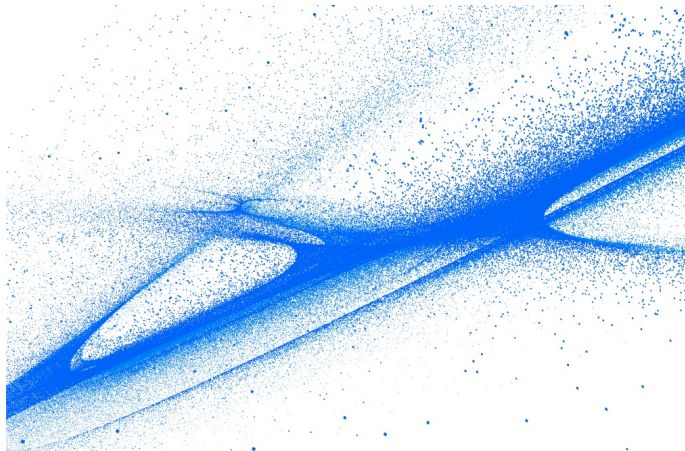
# Real slice(backward orbit)



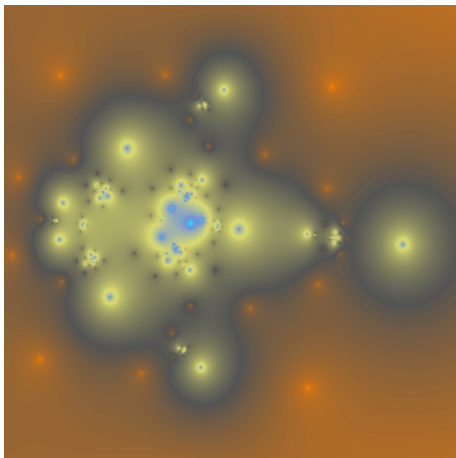
# Real slice(backwardorbit)



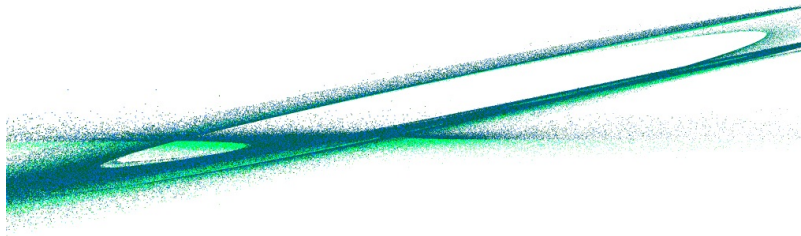
# Plot of an orbit



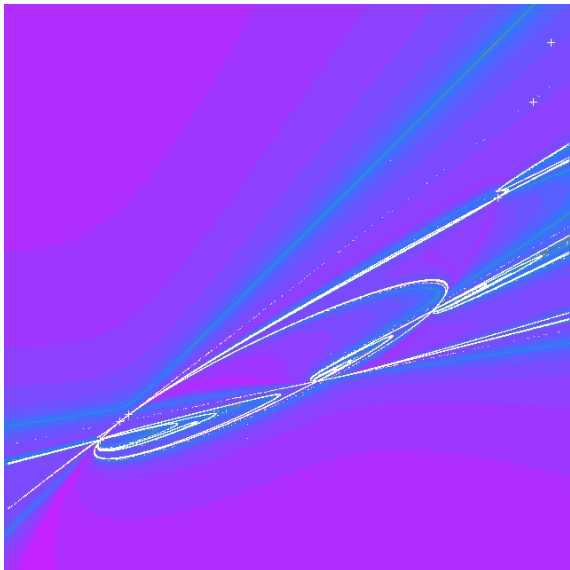
# Vertical slice(backward orbit)



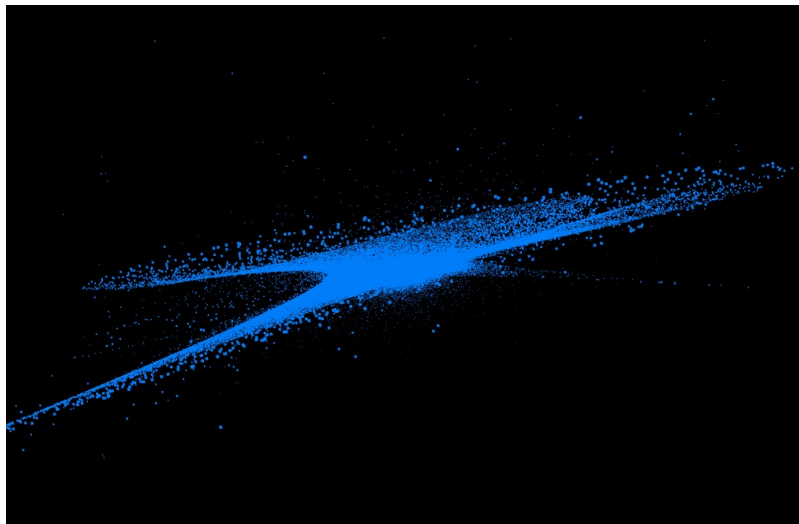
Other example :  $(4,5,6), id.$



$(4,5,6), id., \text{real slice}$

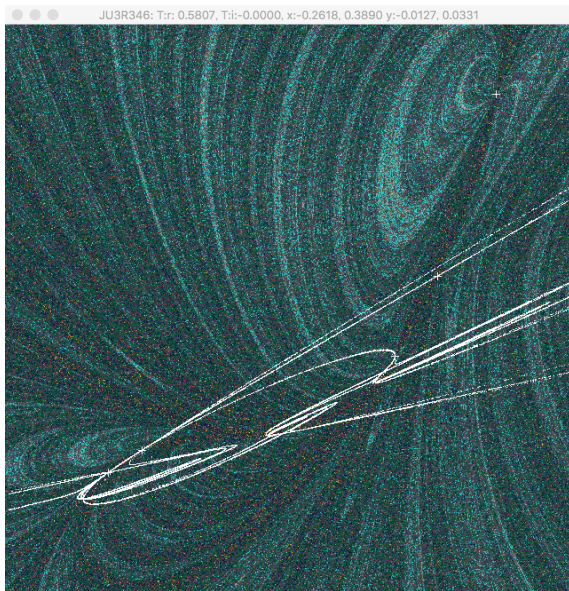


(3,4,6),cyclic

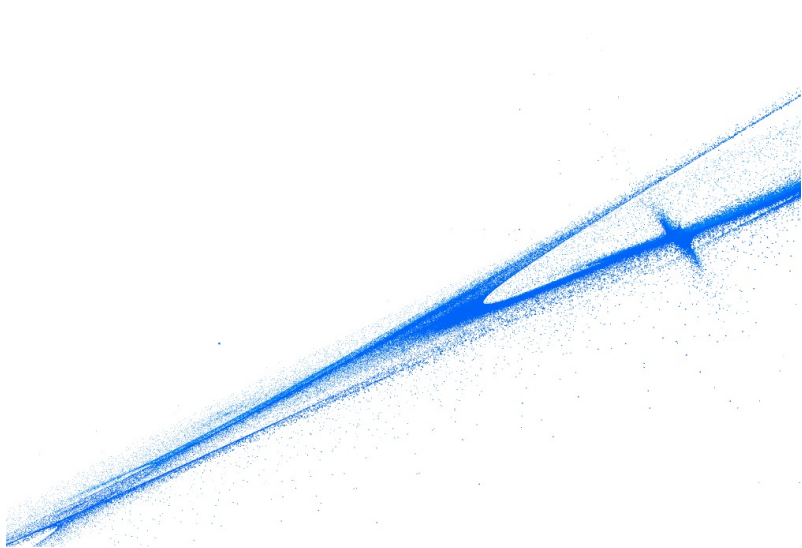




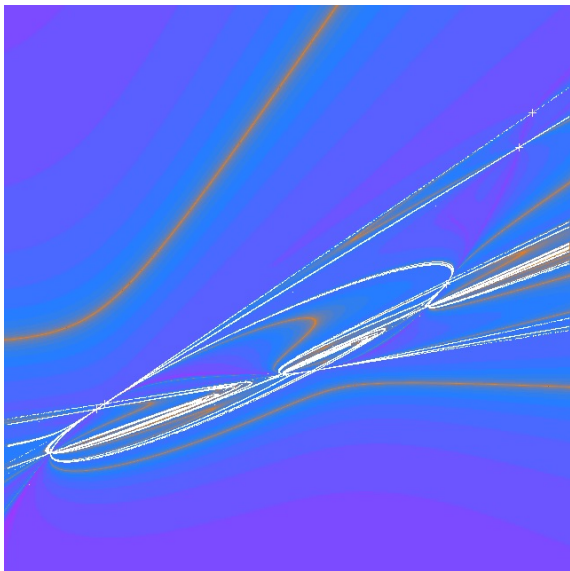
(3,4,6),cyclic, real slice



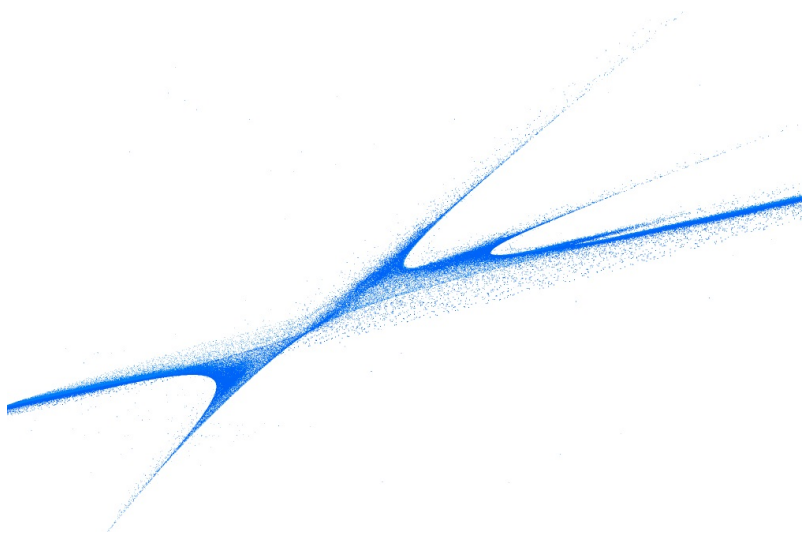
$(3,4,4)$ , transposition  $(1,2)$



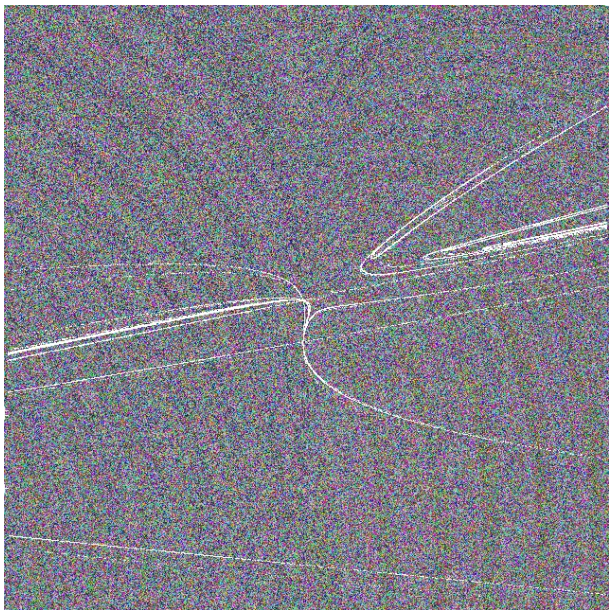
$(3,4,4)$ , transposition  $(1,2)$ , real slice



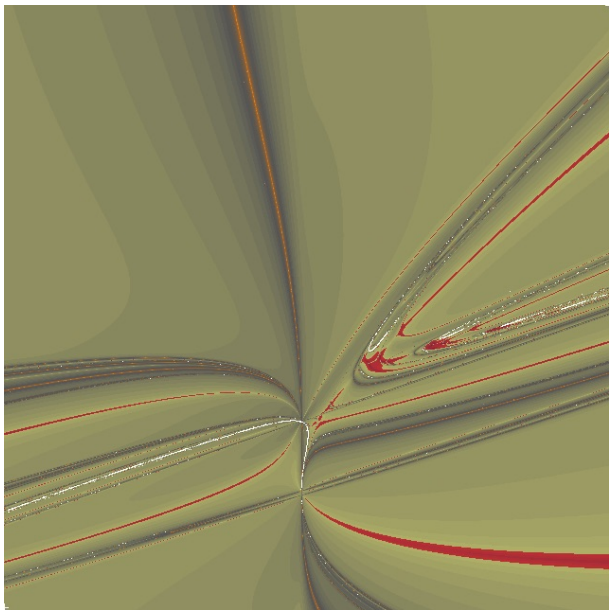
# QLc355 case



## QLc355 case, real slice



# QLc355 case, real slice(backward)



## 6. ND2 case

## case ND2

The case ND2 is treated as follows.

Take parametrization in curve  $\{z(xy - z^2) = 0\}$  as follows  
Let  $t \in \mathbb{C}/\mathbb{Z}$ .

$$p_Q(t) = (e^{2\pi it}, e^{-2\pi it}) \in Q = \{xy = 1\}.$$

$$p_L(t) = [1 : -e^{2\pi it} : 0] \in L = \text{line at infinity}.$$

Let  $p_j^+ \in \mathbb{C}/\mathbb{Z}$ , and set  $A_j = e^{2\pi i p_j^+}$ ,  $j = 1, 2, 3$ .

For translation  $b$  in the hyperbola  $Q$ , set  $B = e^{2\pi ib}$ .

For translation  $c$  in the line at infinity  $L$ , set  $C = e^{2\pi ic}$ .



## case ND2

We construct birational map  $f : (x, y) \mapsto (X, Y)$ , as follows. As the line at infinity is mapped to itself, the denominator must be of degree 1 defining the line passing through the indeterminacy points  $p_Q(p_1^+) = (A_1, A_1^{-1})$  and  $p_Q(p_2^+) = (A_2, A_2^{-1})$ , the denominator can be set to

$$f_3(x, y) = x - A_1 - A_2 + A_1 A_2 y.$$

The dynamics in the hyperbola  $\{xy = 1\}$  is  $(x, y) \mapsto (Bx, B^{-1}y)$ . Let numerators be

$$f_1(x, y) = B((x - A_1)(x - A_2) + P(xy - 1)),$$

$$f_2(x, y) = B^{-1}((1 - A_1 y)(1 - A_2 y) + Q(xy - 1)),$$

for some  $P, Q \in \mathbb{C}$ . ( $X = \frac{f_1}{f_3}, Y = \frac{f_2}{f_3}$ )

## case ND2

As the dynamics in the line at infinity is  $z \mapsto Cz$ , with  $z = y/x$ ,

$$Z = \lim_{x,y \rightarrow \infty} Y/X = A_1 A_2 B^{-2} P^{-1} z \frac{z + Q A_1^{-1} A_2^{-1}}{z + P^{-1}},$$

gives  $P = A_3^{-1}$  and  $Q = A_1 A_2 A_3$ . (Used  $B^2 C = A_1 A_2 A_3$ .)

The Cremona transformation  $F : (x, y) \mapsto (X, Y)$  is given by

$$X = B \frac{x^2 - (A_1 + A_2)x + A_1 A_2 + A_3^{-1}(xy - 1)}{x - A_1 - A_2 + A_1 A_2 y},$$
$$Y = B^{-1} \frac{A_1 A_2 y^2 - (A_1 + A_2)y + 1 + A_1 A_2 A_3(xy - 1)}{x - A_1 - A_2 + A_1 A_2 y}.$$

## Orbit data for ND2

Relation between parameters of indeterminate points and translation  $b$  and  $c$  :

$$p_1^+ + p_2^+ + p_3^+ \equiv 2b + c \pmod{1}.$$

Relation between parameters of indeterminate points :

$$p_1^- \equiv p_1^+ - b - c, \quad p_2^- \equiv p_2^+ - b - c, \quad p_3^- \equiv p_3^+ - 2b \pmod{1}.$$

For orbit data  $(n_1, n_2, n_3), \sigma$ , parameters must satisfy followings.

$$p_{\sigma(j)}^+ \equiv p_1^- + (n_j - 1)b \pmod{1}, \quad j = 1, 2,$$

$$p_3^+ \equiv p_3^- + (n_3 - 1)c \pmod{1}.$$

Here,  $\sigma$  is either *id.* or transposition  $(1, 2)$ .

## (ND2) transposition case

For integers  $m_1, m_2, m_3$ , and a complex number  $s$ , we get

$$b \equiv \frac{(n_3 - 1)(m_1 + m_2) + 2m_3}{(n_1 + n_2 - 4)(n_3 - 1) - 4} \pmod{1},$$

$$c \equiv \frac{2(m_1 + m_2) + (n_1 + n_2 - 4)m_3}{(n_1 + n_2 - 4)(n_3 - 1) - 4} \pmod{1},$$

and

$$p_1^+ \equiv \frac{n_2 - 1}{2}b + s - \frac{m_1}{2} \pmod{1},$$

$$p_2^+ \equiv \frac{n_1 - 1}{2}b + s + \frac{m_2}{2} \pmod{1},$$

$$p_3^+ \equiv b - 2s \pmod{1}.$$

Parameter  $s$  gives choice of coordinates. When  $s = 0$ , the map has symmetries. It is reversible by the complex conjugation, and it is symmetric with respect to the conjugate diagonal. It is also reversible by swapping involution  $(x, y) \mapsto (y, x)$ .

(ND2) case  $\sigma = id.$

In the case of  $\sigma = id.$ , we need  $n_1 = n_2.$

For  $m_1, m_3 \in \mathbb{Z}$ ,  $\ell \in \mathbb{Z}$  and  $\zeta_1, \zeta_2 \in \mathbb{C}$ , we get

$$b \equiv \frac{(n_3 - 1)m_1 + m_3}{(n_1 - 2)(n_3 - 1) - 2} \pmod{1},$$

$$c \equiv \frac{2m_1 + (n_1 - 2)m_3}{(n_1 - 2)(n_3 - 1) - 2} \pmod{1},$$

and

$$p_1^+ \equiv \frac{2b + c + \ell}{3} + \zeta_1 + \zeta_2 \pmod{1},$$

$$p_2^+ \equiv \frac{2b + c + \ell}{3} + \zeta_1 - \zeta_2 \pmod{1},$$

$$p_3^+ \equiv \frac{2b + c + \ell}{3} - 2\zeta_1 \pmod{1}.$$

Parameters  $\zeta_1, \zeta_2$  gives choice of coordinates.

## example

In the case of orbit data  $(n_1, n_2, n_3) = (4, 3, 5)$ ,  $\sigma = (1, 2)$ , and  $(m_1, m_2, m_3) = (1, 1, 1)$ , with  $s = 0$ , we have

$$b \equiv \frac{1}{4}, \quad c \equiv \frac{7}{8},$$

$$p_1^+ \equiv \frac{3}{4}, \quad p_2^+ \equiv \frac{3}{8}, \quad p_3^+ \equiv \frac{1}{4}.$$

And

$$p_1^- \equiv \frac{5}{8}, \quad p_2^- \equiv \frac{1}{4}, \quad p_3^- \equiv \frac{3}{4}.$$

To observe the symmetries of the Cremona transformation, it can be rewritten as follows.

$$X = B \left( x + \frac{(A_3^{-1} - A_1 A_2)(xy - 1)}{x - (A_1 + A_2) + A_1 A_2 y} \right),$$

$$Y = B^{-1} \left( y + \frac{(A_3 - A_1^{-1} A_2^{-1})(xy - 1)}{y - (A_1^{-1} + A_2^{-1}) + A_1^{-1} A_2^{-1} x} \right).$$

When  $s = 0$ ,

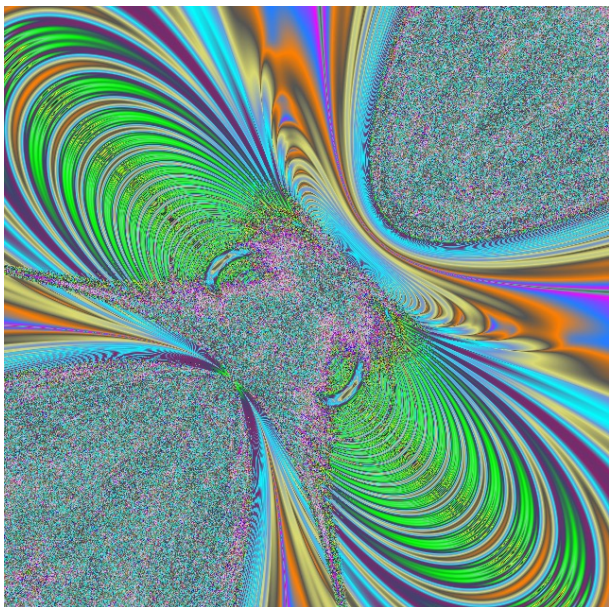
$$p_1^- \equiv -p_2^+, \quad p_2^- \equiv -p_1^+, \quad p_3^- \equiv -p_3^+,$$

we see

$$\bar{f} = f^{-1} = S \circ f \circ S, \quad T \circ f \circ T = f,$$

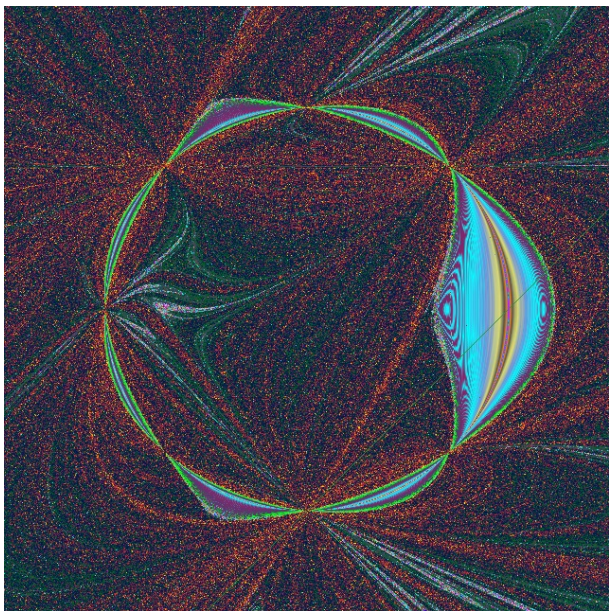
where  $S : (x, y) \mapsto (y, x)$ ,  $T : (x, y) \mapsto (\bar{y}, \bar{x})$ , are involutions. Therefore,  $f : (x, y) \mapsto (X, Y)$  is reversible with respect to involution  $S$ , and involution by the complex conjugation. It is symmetric with respect to involution  $T$ .

Real slice for ND2map  $(4,3,5), \sigma = (1, 2)$

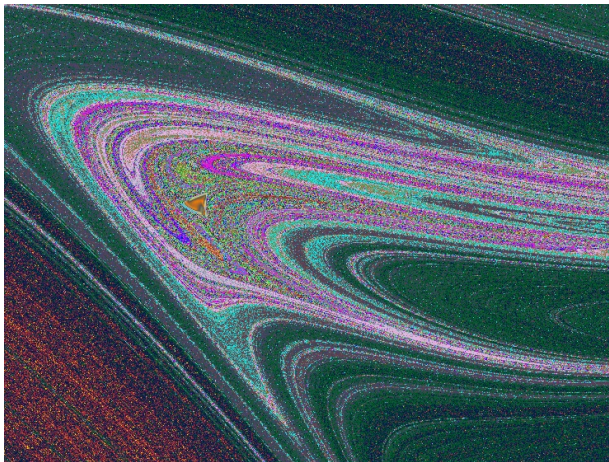




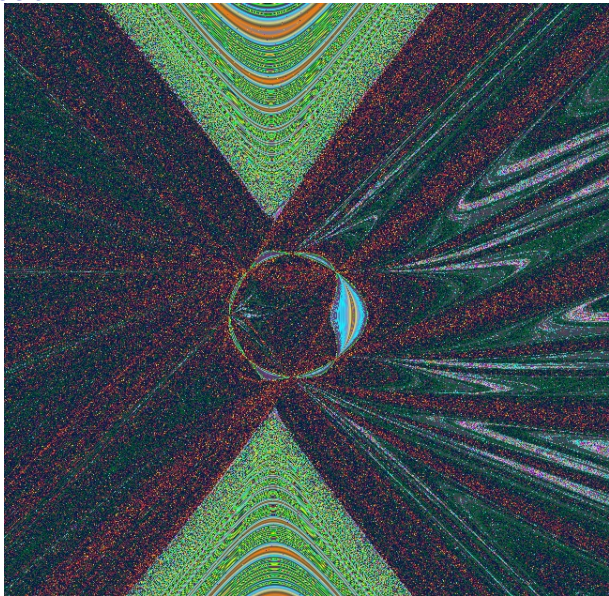
Conjugate diagonal slice for ND2map (4,3,5),  $\sigma = (1, 2)$



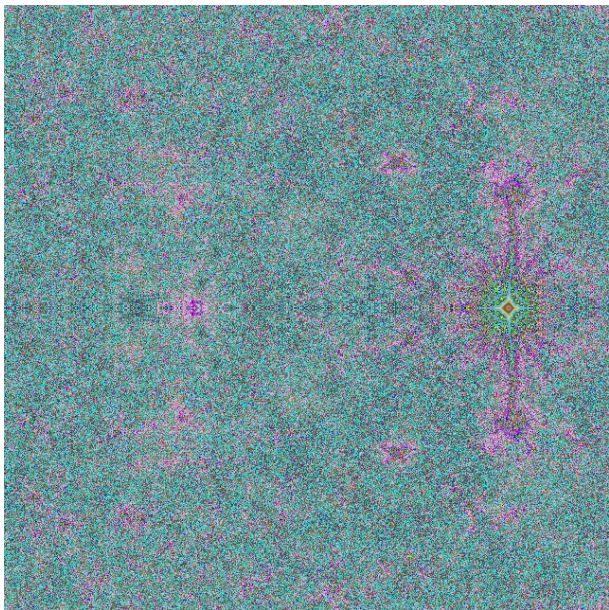
Conjugate diagonal slice for ND2map (4,3,5),  $\sigma = (1, 2)$ ,  
some part



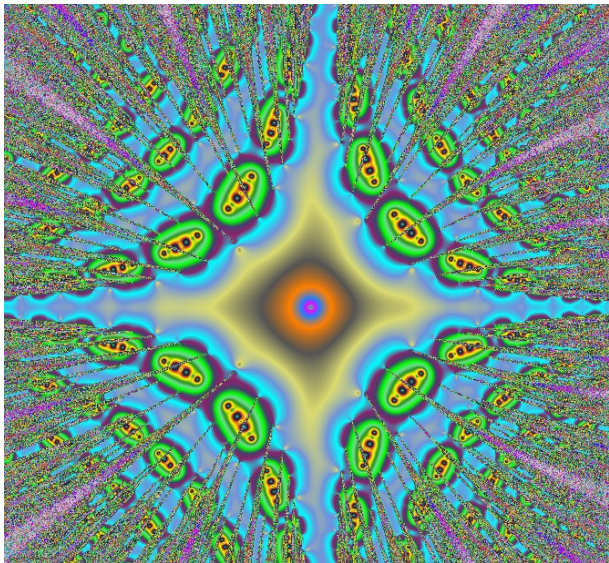
Conjugate diagonal slice for ND2map (4,3,5),  $\sigma = (1, 2)$ ,  
zoomed out



# Diagonal slice for ND2map (4,3,5), $\sigma = (1, 2)$



Diagonal slice for ND2map (4,3,5),  $\sigma = (1, 2)$ , zoomed in



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