

K-groups of rings of algebraic integers and Iwasawa theory

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$F/\mathbb{Q}$: finite abelian extension

$\mathcal{O}_F$: the ring of algebraic integers of F

• $K_n(\mathcal{O}_F)$ ($n \geq 0$)

• $H^i(\mathcal{O}_F, \mathbb{Z}_p(n)) := H_{\acute{e}t}^i(\operatorname{Spec}(\mathcal{O}_F[1/p]), \mathbb{Z}_p(n))$

($i = 0, 1, 2, \quad n \in \mathbb{Z}$)

$\simeq H^i(G_\Sigma(F), \mathbb{Z}_p(n)) \quad (:= \varprojlim H^i(G_\Sigma(F), \mathbb{Z}/p^m\mathbb{Z}(n)))$

Σ : all Archimedean primes & primes above p

F_Σ/F : the maximal algebraic Σ -ramified extension

$G_\Sigma(F) : = \operatorname{Gal}(F_\Sigma/F)$

p : odd prime number

Conjecture (Quillen-Lichtenbaum) $n \geq 1$

p -adic Chern class maps :

$$K_{2n+1}(\mathcal{O}_F) \otimes_{\mathbb{Z}} \mathbb{Z}_p \longrightarrow H^1(\mathcal{O}_F, \mathbb{Z}_p(n+1))$$

$$K_{2n}(\mathcal{O}_F) \otimes_{\mathbb{Z}} \mathbb{Z}_p \longrightarrow H^2(\mathcal{O}_F, \mathbb{Z}_p(n+1))$$

are isomorphisms.

Remarks

- surjectivity & finite kernel
[Soulé($1 \leq n \leq p-1$), Dwyer and Friedlander ($n \geq 1$))]
- K : totally imaginary field & $p = 2$ [Kahn]

§ Lemmas on H^i ($i = 1, 2$)

$$\mathrm{fr}_{\mathbb{Z}_p} H^i(\mathcal{O}_F, \mathbb{Z}_p(n)) := H^i(\mathcal{O}_F, \mathbb{Z}_p(n)) / \mathrm{tor}_{\mathbb{Z}_p} H^i(\mathcal{O}_F, \mathbb{Z}_p(n))$$

$$F_\infty := F(\mu_{p^\infty})$$

$$G_\infty := \mathrm{Gal}(F_\infty/F)$$

$$w_n(F) := \max\{N \mid \mathrm{Gal}(F(\mu_N)/F)^n = \{1\}\} \quad (n \neq 0)$$

$$w_n(F) = \prod_p w_n^{(p)}(F)$$

$$A'_m := p\text{-part of the } \Sigma\text{-class groups of } F_m := F(\mu_{p^{m+1}})$$

$$X' := \varprojlim A'_m$$

Lemma (H^1) $n \neq 0$

$$(1) \operatorname{tor}_{\mathbb{Z}_p} H^1(\mathcal{O}_F, \mathbb{Z}_p(n)) \simeq \mathbb{Q}_p/\mathbb{Z}_p(n)^{G_\infty} \simeq \mu_{w_n^{(p)}(F)}^{\otimes n}$$

$$(2) \operatorname{fr}_{\mathbb{Z}_p} H^1(\mathcal{O}_F, \mathbb{Z}_p(n)) \simeq \operatorname{Hom}(G_\Sigma(F_\infty)^{\text{ab}} \otimes \mathbb{Z}_p, \mathbb{Z}_p(n))^{G_\infty}$$

Lemma (H^2) $n \neq 1$

$$0 \rightarrow X'(n-1)_{G_\infty} \rightarrow H^2(\mathcal{O}_F, \mathbb{Z}_p(n)) \xrightarrow{\operatorname{loc}_2} \left(\frac{\prod_{\mathfrak{p}|p} \mu_{w_{1-n}^{(p)}(F_{\mathfrak{p}})}^{\otimes 1-n}}{\mu_{w_{1-n}^{(p)}(F)}^{\otimes 1-n}} \right)^\vee \rightarrow 0$$

- $(*)^\vee := \operatorname{Hom}(*, \mathbb{Q}_p/\mathbb{Z}_p)$
- $\operatorname{loc}_2 : H^2(G_\Sigma(F), \mathbb{Z}_p(n)) \rightarrow \prod_{\mathfrak{p}|p} H^2(G_{F_{\mathfrak{p}}}, \mathbb{Z}_p(n))$

Keys of the Proofs

Duality & Long exact sequence of Poitou-Tate. $\square$

§ K -groups and cyclotomic $\mathbb{Z}_p$ -extensions

F : totally real number field

$$F_m := F(\mu_{p^{m+1}}), \quad F_\infty := F(\mu_{p^\infty})$$

$$\Delta := \text{Gal}(F_0/F), \quad \Gamma_m := \text{Gal}(F_m/F_0), \quad \Gamma := \text{Gal}(F_\infty/F_0)$$

$$G_m := \text{Gal}(F_m/F) \simeq \Delta \times \Gamma_m$$

$$G_\infty := \text{Gal}(F_\infty/F) \simeq \Delta \times \Gamma$$

- $\tilde{\kappa} : G_\infty \rightarrow \mathbb{Z}_p^\times$ cyclotomic character

$$\text{i.e. } \zeta^\tau = \zeta^{\tilde{\kappa}(\tau)} \text{ for } \forall \tau \in G_\infty, \forall \zeta \in \mu_{p^\infty}$$

- $\hat{G}_\infty \simeq \hat{\Delta} \times \hat{\Gamma}$
- $\tilde{\kappa} = \omega \times \kappa$

- For even character $\chi \in \widehat{G}_m$, $\exists L_p(\chi, s)$

$$\text{s.t. } L_p(\chi, 1-n) = L(\chi\omega^{-n}, 1-n) \prod_{\mathfrak{p}|p} (1 - \chi\omega^{-n}(\mathfrak{p})N(\mathfrak{p})^{n-1})$$

for all integers $n \geq 1$

$$\neq 0$$

$$\boxed{\boxed{\text{Conjecture } (L_p)}} \quad L_p(\chi, 1-n) \neq 0$$

for all even characters $\chi \in \widehat{G}_m$ and integers $n \leq 0$.

- $d_i(n) := \text{rank}_{\mathbb{Z}_p} H^i(\mathcal{O}_{F_m}, \mathbb{Z}_p(n)) = \text{corank}_{\mathbb{Z}_p} H^i(\mathcal{O}_{F_m}, \mathbb{Q}_p/\mathbb{Z}_p(n))$

$$\boxed{\boxed{\text{Conjecture } (d_2)}} \quad d_2(n) = 0 \quad (n \neq 1)$$

$$\boxed{\boxed{\text{Conjecture } (d_1)}} \quad d_1(n) = \begin{cases} [F_m : \mathbb{Q}]/2 & (n \neq 0, 1) \\ [F_m : \mathbb{Q}]/2 + 1 & (n = 0) \end{cases}$$

Remarks

- $d_2(1) = \#\{\mathfrak{p} \mid \mathfrak{p}|p\} - 1, \quad d_1(1) = d_2(1) + [F_m : \mathbb{Q}]/2$
- Finiteness of even-numbered K -groups $\Rightarrow d_2(n) = 0$
($n \geq 2$)
- $\boxed{\text{Conjecture } (L_p)} + \boxed{\mu = 0}$
 $\Rightarrow \boxed{\text{Conjecture } (d_2)} \Leftrightarrow \boxed{\text{Conjecture } (d_1)}$

Conjecture $m \geq 0, n \geq 2 : \text{integers.}$

For all integers j ,

$$(K_{2n-2}(\mathbb{Z}[\mu_{p^{m+1}}]) \otimes_{\mathbb{Z}} \mathbb{Z}_p)^{\omega^j} \simeq \begin{cases} 0 & \text{if } n \not\equiv j \pmod{2} \text{ or } n \equiv j \pmod{p-1}, \\ \left(\Lambda / (f_{\omega^{n-j}}, g_{1-n}^{(m)}) e_{\omega^{j-n+1}} \right) (n-1) & \text{otherwise.} \end{cases}$$

$$(K_{2n-1}(\mathbb{Z}[\mu_{p^{m+1}}]) \otimes_{\mathbb{Z}} \mathbb{Z}_p)^{\omega^j} \simeq \begin{cases} \mu_{D_{p,n,j}}^{\otimes n} & \text{if } n \equiv j \pmod{2}, \\ \text{Hom}_{\mathbb{Z}_p}(\Lambda / (g_n^{(m)}) e_{\omega^{n-j}}, \mathbb{Z}_p(n)) & \text{otherwise.} \end{cases}$$

- $g_n^{(m)} = \gamma^{p^m} - \kappa^n(\gamma^{p^m}) \in \Lambda := \mathbb{Z}_p[\Gamma]$
- $N_{p,n,j}, D_{p,n,j}$: the power of p such that

$$\prod_{\psi \in \hat{\Gamma}_m} L_p(1-n, \omega^{n-j} \psi) = \frac{\mathcal{N}_{p,n,j}}{\mathcal{D}_{p,n,j}} \in \mathbb{Q}_p$$

$$(\mathcal{N}_{p,n,j}, \mathcal{D}_{p,n,j} \in \mathbb{Z}_p, v_p(\mathcal{N}_{p,n,j}) = 0 \text{ or } v_p(\mathcal{D}_{p,n,j}) = 0,$$

$$N_{p,n,j} \sim_p \mathcal{N}_{p,n,j} \text{ and } D_{p,n,j} \sim_p \mathcal{D}_{p,n,j})$$

- $f_{\omega^{n-j}} \in \Lambda \simeq \mathbb{Z}_p[[T]]$ such that

$$f_{\omega^{n-j}}((1+p)^s - 1) = L_p(s, \omega^{n-j})$$

§ Brumer conjecture and Coates-Sinnott conjecture

F : totally real number field

K/F : finite abelian extension

$G := \text{Gal}(K/F)$

S : finite set of primes of F containing
all Archimedean primes & primes which ramify in K

Definition (Partial zeta function) For $\sigma \in G$,

$$\zeta_{F,S}(\sigma, s) = \sum_{\substack{(\mathfrak{a}, K/F) = \sigma \\ \mathfrak{a} \text{ is prime to } S}} N\mathfrak{a}^{-s} \quad (\text{Re}(s) > 1).$$

Theorem [Klingen and Siegel] For all integers $n \geq 0$,

$$\zeta_{F,S}(\sigma, -n) \in \mathbb{Q}.$$

Definition (Higer Stickelberger elements)

For an integer $n \geq 0$,

$$\theta_S(n) = \sum_{\sigma \in G} \zeta_{F,S}(\sigma, -n) \sigma^{-1} \in \mathbb{Q}[G].$$

Theorem (Integrality)

[Iwasawa, Coates, Sinnott,
Cassou-Noguès, Deligne and Ribet]

For all integers $n \geq 0$,

$$\text{ann}_{\mathbb{Z}[G]}(\mu_{w_{n+1}(K)}^{\otimes n+1}) \theta_S(n) \subseteq \mathbb{Z}[G].$$

Lemma $n \geq 0$

$$\text{ann}_{\mathbb{Z}[G]}(\mu_{w_{n+1}(K)}^{\otimes n+1}) =$$

$$\langle N\mathfrak{a}^{n+1} - (\mathfrak{a}, K/F) \mid \text{integral ideals } \mathfrak{a} \text{ prime to } S \text{ and } w_{n+1}(K) \rangle_{\mathbb{Z}[G]}.$$

$$\bullet \quad (N\mathfrak{a}^{n+1} - (\mathfrak{a}, K/F))\theta_S(n) = \sum_{\sigma \in G} \delta_{n+1}(\sigma, \mathfrak{a})\sigma^{-1},$$

$$\text{where } \delta_{n+1}(\sigma, \mathfrak{a}) = N\mathfrak{a}^{n+1}\zeta_{F,S}(\sigma, -n) - \zeta_{F,S}(\sigma(\mathfrak{a}, K/F), -n).$$

$$\text{Then } \boxed{\text{Theorem (Integrality)}} \Rightarrow \delta_{n+1}(\sigma, \mathfrak{a}) \in \mathbb{Z}.$$

Theorem (Congruence)

[Iwasawa, Coates, Sinnott, Doligne and Ribet]

Assume S contains all primes above p .

$$\delta_{n+1}(\sigma, \mathfrak{a}) \equiv (N\mathfrak{b}_\sigma \mathfrak{a})^n \delta_1(\sigma, \mathfrak{a}) \pmod{w_n(K)\mathbb{Z}_p}$$

where $\mathfrak{b}_\sigma$ is an integral ideal of F s.t. $(\mathfrak{b}_\sigma, K/F) = \sigma$.

Theorem

 [Coates]

Theorem (Integrality) + Theorem (Congruence)

$\Rightarrow$ p -adic L -function exists.

Conjecture (Brumer)

$$\mathrm{ann}_{\mathbb{Z}[G]}(\mu_K) \theta_S(0) \subseteq \mathrm{ann}_{\mathbb{Z}[G]}(\mathrm{Cl}_K).$$

Conjecture (Brumer- p) p : prime number.

$$\mathrm{ann}_{\mathbb{Z}_p[G]}(\mu_K \otimes_{\mathbb{Z}} \mathbb{Z}_p) \theta_S(0) \subseteq \mathrm{ann}_{\mathbb{Z}_p[G]}(\mathrm{Cl}_K \otimes_{\mathbb{Z}} \mathbb{Z}_p).$$

Remarks

- Conjecture (Brumer) $F = \mathbb{Q}$ [Stickelberger].
- Conjecture (Brumer- p) CM fields K such that $p \nmid [K : F]$ [Wiles]
- Conjecture (Brumer- p) CM fields K under some assumptions including a condition on the μ -invariant. [Greither]

Conjecture (Coates-Sinnott) $n \geq 1$: integer

$$(1) \text{ ann}_{\mathbb{Z}[G]}(\text{tor}_{\mathbb{Z}}(K_{2n+1}(\mathcal{O}_K))) \theta_S(n) \subseteq \mathbb{Z}[G]$$

$$(2) \text{ ann}_{\mathbb{Z}[G]}(\text{tor}_{\mathbb{Z}}(K_{2n+1}(\mathcal{O}_K))) \theta_S(n) \subseteq \text{ann}_{\mathbb{Z}[G]}(K_{2n}(\mathcal{O}_K))$$

Conjecutur (Coates-Sinnott- p)

p : prime number, $n \geq 1$: integer

$$(1) \text{ ann}_{\mathbb{Z}_p[G]}(\text{tor}_{\mathbb{Z}_p}(K_{2n+1}(\mathcal{O}_K) \otimes_{\mathbb{Z}} \mathbb{Z}_p)) \theta_S(n) \subseteq \mathbb{Z}_p[G]$$

$$(2) \text{ ann}_{\mathbb{Z}_p[G]}(\text{tor}_{\mathbb{Z}_p}(K_{2n+1}(\mathcal{O}_K) \otimes_{\mathbb{Z}} \mathbb{Z}_p)) \theta_S(n) \\ \subseteq \text{ann}_{\mathbb{Z}_p[G]}(K_{2n}(\mathcal{O}_K) \otimes_{\mathbb{Z}} \mathbb{Z}_p)$$

Remarks

• Conjecture (Quillen-Lichtenbaum)

+

Lemma (H^1)

+

Theorem (Integrality)

$\Downarrow$

Conjecture (Coates-Sinnott- p) (1)

- In cases $F = \mathbb{Q}$ and finite abelian extensions $K/\mathbb{Q}$

For $n = 1$ and odd prime numbers p [Coates and Sinnott]

For integers n and odd prime numbers p
(under Conjecture(Q-L)) [Nguyen Quang Do]

For all results below, assume Conjecture(Q-L)

- In cases finite abelian extensions K/F of totally real fields both F and K

For even integers n and odd prime numbers p under some assumption on the μ -invariant [Nguyen Quang Do]

- In cases finite abelian extensions K/F of totally real fields F and CM fields K

For odd prime numbers p under some assumptions
[Burns and Greither]

For odd integers n and odd prime numbers p
such that $p \nmid n[K : F]$ $\frac{\prod_{v|p} w_n^{(p)}(K_v)}{w_n^{(p)}(K)}$ [Banaszak]

Theorem p : odd prime number

Assume:

- I. Conjecture (Quillen-Lichtenbaum).
- II. S contains all primes above p .
- III. Conjecture (Brumer- p) for K_m/F ($K_m = K(\mu_{p^{m+1}})$)
with sufficiently large m .
- IV. $\frac{\prod_{v|p} w_n^{(p)}(K_v)}{w_n^{(p)}(K)} = 1$.

Then, Conjecture (Coates-Sinnott- p) is true.

Remarks on the condition IV

- In particular, the condition IV holds in the following cases
 - p does not ramify in K and $(p-1) \nmid n$.
 - p does not split in K_∞ .

- The condition yields that the localization map :

$$H_{\acute{e}t}^2(\operatorname{Spec}(\mathcal{O}_K[1/p]), \mathbb{Z}_p(n+1)) \rightarrow \bigoplus_{v|p} H^2(K_v, \mathbb{Z}_p(n+1))$$

is a zero map.

Outline of the proof

Proposition Assume condition II. For all integers $m, n \geq 0$ and integral ideals $\mathfrak{a}$ which are coprime to S ,

$$\begin{aligned} & (N\mathfrak{a}^{n+1} - (\mathfrak{a}, K_m/F)) \sum_{\sigma \in G_m} \zeta_{F,S}(\sigma, -n) \sigma^{-1} \\ & \equiv (N\mathfrak{a}^{n+1} - (\mathfrak{a}, K_m/F)) \sum_{\sigma \in G_m} \zeta_{F,S}(\sigma, 0) \kappa_m(\sigma)^n \sigma^{-1} \pmod{p^{m+1}\mathbb{Z}_p}. \end{aligned}$$

(Proof: Use Theorem (Congruence))

By Condition I and IV,

$$\begin{aligned} K_{2n}(\mathcal{O}_K) \otimes_{\mathbb{Z}} \mathbb{Z}_p & \simeq H^2(\mathcal{O}_K, \mathbb{Z}_p(n+1)) \\ & \simeq X'(n)_{\text{Gal}(K_{\infty}/K)} \\ & \simeq X'/IX'(n) \end{aligned}$$

where $I = \langle \sigma - \kappa^{-n}(\sigma) \mid \sigma \in \text{Gal}(K_{\infty}/K) \rangle$.

Choose $m \geq 0$ satisfying the following conditions:

- $|X'/IX'| \leq p^{m+1}$
- All ramified primes in K_{∞}/K_m are totally ramified.
- $\nu_{m,e}(X'/IX') = 0$ ($\nu_{m,e} = 1 + \gamma^{p^e} + \gamma^{2p^e} + \dots + \gamma^{p^m - p^e}$)

Put $\zeta = \zeta_{p^{m+1}}$.

For $a \otimes \zeta^{\otimes n} \in (X'/IX')(n) = (X'/IX') \otimes \mu_{p^{m+1}}^{\otimes n}$,

$$\begin{aligned}
& (N\mathfrak{a}^{n+1} - (\mathfrak{a}, K/F))\theta_S(n) (a \otimes \zeta^{\otimes n}) \\
&= (N\mathfrak{a}^{n+1} - (\mathfrak{a}, K_m/F)) \sum_{\sigma \in G_m} \zeta_{F,S}(\sigma, -n) \sigma^{-1} (a \otimes \zeta^{\otimes n}) \\
&= (N\mathfrak{a}^{n+1} - (\mathfrak{a}, K_m/F)) \sum_{\sigma \in G_m} \zeta_{F,S}(\sigma, 0) \kappa_m(\sigma)^n \sigma^{-1} (a \otimes \zeta^{\otimes n}) \\
&= \{N\mathfrak{a}^n (N\mathfrak{a} - (\mathfrak{a}, K_m/F)) \sum_{\sigma \in G_m} \zeta_{F,S}(\sigma, 0) \sigma^{-1} a\} \otimes \zeta^{\otimes n} \\
&= 0. \quad \square
\end{aligned}$$

(1) p8 の

$$\boxed{\text{Conjecture } (L_p)} + \boxed{\mu = 0} \\ \Rightarrow \boxed{\text{Conjecture } (d_2)} \Leftrightarrow \boxed{\text{Conjecture } (d_1)}$$

で, $\boxed{\mu = 0}$ (岩澤主予想が解かれているので, 代数的サイド・解析的サイド, 同一の μ) が当日の講演で抜けていました. お詫び申し上げます.

(2) p5 Lemma $(H^1)(H^2)$ (参考文献: [S] + 少しの計算)

(3) p7 Conjecture (L_p) 参考文献:

• [KNF] p687 Conjecture $(D_k) + \boxed{\mu = 0} \Rightarrow \text{Conjecture } (L_p)$.

(4) p7 Conjecture (d_2) 参考文献:

- [KNF] p683 p683 Conjecture (C_m)
- [CL] p521 Conjecture 3.1

(5) p8 $\boxed{\text{Conjecture}(d_2)} \Leftrightarrow \boxed{\text{Conjecture}(d_1)}$ (参考文献: [S] p192 Satz 6)

(6) p9 $\boxed{\text{Conjecture}}$ (参考文献 [A1])

(7) p12 $\boxed{\text{Theorem}}$ [Coates] (参考文献: [C] p319 Theorem 4.4)

(8) § Brumer conjecture and Coates-Sinnott conjecture 全般 (参考文献: [A2])

[A1] M. Aoki, On some exact sequences in the theory of cyclotomic fields, Journal of Algebra, 2008, **320**, 4156-4177.

[A2] M.Aoki, A note on the Coates-Sinnott conjecture, to appear in Bull. Lond. Math. Soc.

[C] J. Coates, ' p -adic L -functions and Iwasawa's theory', Algebraic Number Fields: L -functions and Galois properties (ed. A. Fröhlich), Academic Press, London (1977) 269-353.

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