

## 0.1 Vanishing and injectivity theorems

sec4

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The main purpose of this section is to prove Ambro's theorems (cf. [ambro, Theorems 3.1 and 3.2]) for embedded simple normal crossing pairs. The next lemma (cf. [fuji-no-high, Proposition 1.11]) is missing in the proof of [ambro, Theorem 3.1]. It justifies the first three lines in the proof of [ambro, Theorem 3.1].

**Lemma 0.1** (Relative vanishing lemma). *Let  $f : Y \rightarrow X$  be a proper morphism from a simple normal crossing pair  $(Y, T + D)$  such that  $T + D$  is a boundary  $\mathbb{R}$ -divisor,  $T$  is reduced, and  $\lfloor D \rfloor = 0$ . We assume that  $f$  is an isomorphism at the generic point of any stratum of the pair  $(Y, T + D)$ . Let  $L$  be a Cartier divisor on  $Y$  such that  $L \sim_{\mathbb{R}} K_Y + T + D$ . Then  $R^q f_* \mathcal{O}_Y(L) = 0$  for  $q > 0$ .*

*Proof.* By Lemma [??], we can assume that  $D$  is a  $\mathbb{Q}$ -divisor and  $L \sim_{\mathbb{Q}} K_Y + T + D$ . We divide the proof into two steps.

**1ne Step 1.** We assume that  $Y$  is irreducible. In this case,  $L - (K_Y + T + D)$  is nef and log big over  $X$  with respect to the pair  $(Y, T + D)$  (see Definition [2-46, ??]). Therefore,  $R^q f_* \mathcal{O}_Y(L) = 0$  for every  $q > 0$  by the vanishing theorem (see, for example, Lemma [vani-rf-le, ??]).

**Step 2.** Let  $Y_1$  be an irreducible component of  $Y$  and  $Y_2$  the union of the other irreducible components of  $Y$ . Then we have a short exact sequence  $0 \rightarrow i_* \mathcal{O}_{Y_1}(-Y_2|_{Y_1}) \rightarrow \mathcal{O}_Y \rightarrow \mathcal{O}_{Y_2} \rightarrow 0$ , where  $i : Y_1 \rightarrow Y$  is the natural closed immersion (cf. [ambro, Remark 2.6]). We put  $L' = L|_{Y_1} - Y_2|_{Y_1}$ . Then we have a short exact sequence  $0 \rightarrow i_* \mathcal{O}_{Y_1}(L') \rightarrow \mathcal{O}_Y(L) \rightarrow \mathcal{O}_{Y_2}(L|_{Y_2}) \rightarrow 0$  and  $L' \sim_{\mathbb{Q}} K_{Y_1} + T|_{Y_1} + D|_{Y_1}$ . On the other hand, we can check that  $L|_{Y_2} \sim_{\mathbb{Q}} K_{Y_2} + Y_1|_{Y_2} + T|_{Y_2} + D|_{Y_2}$ . We have already known that  $R^q f_* \mathcal{O}_{Y_1}(L') = 0$  for every  $q > 0$  by Step 1. By the induction on the number of the irreducible components of  $Y$ , we have  $R^q f_* \mathcal{O}_{Y_2}(L|_{Y_2}) = 0$  for every  $q > 0$ . Therefore,  $R^q f_* \mathcal{O}_Y(L) = 0$  for every  $q > 0$  by the exact sequence:

$$\cdots \rightarrow R^q f_* \mathcal{O}_{Y_1}(L') \rightarrow R^q f_* \mathcal{O}_Y(L) \rightarrow R^q f_* \mathcal{O}_{Y_2}(L|_{Y_2}) \rightarrow \cdots$$

So, we finish the proof of Lemma [re-vani-lem, 0.1]. □

The following lemma is a variant of Szabó's resolution lemma (see the resolution lemma in [15-resol, ??]).

<sup>1</sup>This is a revised version of Section 2.5 of my book. 2010/3/25 version 1.01

**6** **Lemma 0.2.** *Let  $(X, B)$  be an embedded simple normal crossing pair and  $D$  a permissible Cartier divisor on  $X$ . Let  $M$  be an ambient space of  $X$ . Assume that there exists an  $\mathbb{R}$ -divisor  $A$  on  $M$  such that  $\text{Supp}(A+X)$  is simple normal crossing on  $M$  and that  $B = A|_X$ . Then there exists a projective birational morphism  $g : N \rightarrow M$  from a smooth variety  $N$  with the following properties. Let  $Y$  be the strict transform of  $X$  on  $N$  and  $f = g|_Y : Y \rightarrow X$ . Then we have*

- (i)  $g^{-1}(D)$  is a divisor on  $N$ .  $\text{Exc}(g) \cup g_*^{-1}(A+X)$  is simple normal crossing on  $N$ , where  $\text{Exc}(g)$  is the exceptional locus of  $g$ . In particular,  $Y$  is a simple normal crossing divisor on  $N$ .
- (ii)  $g$  and  $f$  are isomorphisms outside  $D$ , in particular,  $f_*\mathcal{O}_Y \simeq \mathcal{O}_X$ .
- (iii)  $f^*(D+B)$  has a simple normal crossing support on  $Y$ . More precisely, there exists an  $\mathbb{R}$ -divisor  $A'$  on  $N$  such that  $\text{Supp}(A'+Y)$  is simple normal crossing on  $N$ ,  $A'$  and  $Y$  have no common irreducible components, and that  $A'|_Y = f^*(D+B)$ .

*Proof.* First, we take a blow-up  $M_1 \rightarrow M$  along  $D$ . Apply Hironaka's desingularization theorem to  $M_1$  and obtain a projective birational morphism  $M_2 \rightarrow M_1$  from a smooth variety  $M_2$ . Let  $F$  be the reduced divisor that coincides with the support of the inverse image of  $D$  on  $M_2$ . Apply Szabó's resolution lemma to  $\text{Supp}\sigma^*(A+X) \cup F$  on  $M_2$  (see, for example, [\[15-resol\]](#) or [\[7, 3.5. Resolution lemma\]](#)), where  $\sigma : M_2 \rightarrow M$ . Then, we obtain desired projective birational morphisms  $g : N \rightarrow M$  from a smooth variety  $N$ , and  $f = g|_Y : Y \rightarrow X$ , where  $Y$  is the strict transform of  $X$  on  $N$ , such that  $Y$  is a simple normal crossing divisor on  $N$ ,  $g$  and  $f$  are isomorphisms outside  $D$ , and  $f^*(D+B)$  has a simple normal crossing support on  $Y$ . Since  $f$  is an isomorphism outside  $D$  and  $D$  is permissible on  $X$ ,  $f$  is an isomorphism at the generic point of any stratum of  $Y$ . Therefore, every fiber of  $f$  is connected and then  $f_*\mathcal{O}_Y \simeq \mathcal{O}_X$ .  $\square$

**Remark 0.3.** In Lemma [0.2](#), we can directly check that  $f_*\mathcal{O}_Y(K_Y) \simeq \mathcal{O}_X(K_X)$ . By Lemma [5.1](#),  $R^q f_*\mathcal{O}_Y(K_Y) = 0$  for  $q > 0$ . Therefore, we obtain  $f_*\mathcal{O}_Y \simeq \mathcal{O}_X$  and  $R^q f_*\mathcal{O}_Y = 0$  for every  $q > 0$  by the Grothendieck duality.

Here, we treat the compactification problem. It is because we can use the same technique as in the proof of Lemma [0.2](#). This lemma plays important roles in this section.

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**Lemma 0.4.** *Let  $f : Z \rightarrow X$  be a proper morphism from an embedded simple normal crossing pair  $(Z, B)$ . Let  $M$  be the ambient space of  $Z$ . Assume that there is an  $\mathbb{R}$ -divisor  $A$  on  $M$  such that  $\text{Supp}(A + Z)$  is simple normal crossing on  $M$  and that  $B = A|_Z$ . Let  $\bar{X}$  be a projective variety such that  $\bar{X}$  contains  $X$  as a Zariski open set. Then there exist a proper embedded simple normal crossing pair  $(\bar{Z}, \bar{B})$  that is a compactification of  $(Z, B)$  and a proper morphism  $\bar{f} : \bar{Z} \rightarrow \bar{X}$  that compactifies  $f : Z \rightarrow X$ . Moreover,  $\text{Supp}\bar{B} \cup \text{Supp}(\bar{Z} \setminus Z)$  is a simple normal crossing divisor on  $\bar{Z}$ , and  $\bar{Z} \setminus Z$  has no common irreducible components with  $\bar{B}$ . We note that  $\bar{B}$  is  $\mathbb{R}$ -Cartier. Let  $\bar{M}$ , which is a compactification of  $M$ , be the ambient space of  $(\bar{Z}, \bar{B})$ . Then, by the construction, we can find an  $\mathbb{R}$ -divisor  $\bar{A}$  on  $\bar{M}$  such that  $\text{Supp}(\bar{A} + \bar{Z})$  is simple normal crossing on  $\bar{M}$  and that  $\bar{B} = \bar{A}|_{\bar{Z}}$ .*

*Proof.* Let  $\bar{Z}, \bar{A} \subset \bar{M}$  be any compactification. By blowing up  $\bar{M}$  inside  $\bar{Z} \setminus Z$ , we can assume that  $f : Z \rightarrow X$  extends to  $\bar{f} : \bar{Z} \rightarrow \bar{X}$ . By Hironaka's desingularization and the resolution lemma, we can assume that  $\bar{M}$  is smooth and  $\text{Supp}(\bar{Z} + \bar{A}) \cup \text{Supp}(\bar{M} \setminus M)$  is a simple normal crossing divisor on  $\bar{M}$ . It is not difficult to see that the above compactification has the desired properties.  $\square$

rem-2

**Remark 0.5.** There exists a big trouble to compactify normal crossing varieties. When we treat normal crossing varieties, we can not directly compactify them. For the details, see [?, 3.6-Whitney umbrella], especially, Corollary 3.6.10 and Remark 3.6.11 in [?]. Therefore, the first two lines in the proof of [?, Theorem 3.2] is nonsense.

It is the time to state the main injectivity theorem (cf. [?, Theorem 3.1]) for embedded simple normal crossing pairs. For applications, this formulation seems to be sufficient. We note that we will recover [?, Theorem 3.1] in full generality in Section [?] (see Theorem [?]).

5.1

**Theorem 0.6** (cf. [?, Theorem 3.1]). *Let  $(X, S + B)$  be an embedded simple normal crossing pair such that  $X$  is proper,  $S + B$  is a boundary  $\mathbb{R}$ -divisor,  $S$  is reduced, and  $\perp B \lrcorner = 0$ . Let  $L$  be a Cartier divisor on  $X$  and  $D$  an effective Cartier divisor that is permissible with respect to  $(X, S + B)$ . Assume the following conditions.*

- (i)  $L \sim_{\mathbb{R}} K_X + S + B + H$ ,
- (ii)  $H$  is a semi-ample  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor, and

(iii)  $tH \sim_{\mathbb{R}} D + D'$  for some positive real number  $t$ , where  $D'$  is an effective  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor that is permissible with respect to  $(X, S + B)$ .

Then the homomorphisms

$$H^q(X, \mathcal{O}_X(L)) \rightarrow H^q(X, \mathcal{O}_X(L + D)),$$

which are induced by the natural inclusion  $\mathcal{O}_X \rightarrow \mathcal{O}_X(D)$ , are injective for all  $q$ .

*Proof.* First, we use Lemma <sup>useful-lemma</sup> [?]. Thus, we can assume that there exists a divisor  $A$  on  $M$ , where  $M$  is the ambient space of  $X$ , such that  $\text{Supp}(A + X)$  is simple normal crossing on  $M$  and that  $A|_X = S$ . Apply Lemma 0.2 to an embedded simple normal crossing pair  $(X, S)$  and a divisor  $\text{Supp}(D + D' + B)$  on  $X$ . Then we obtain a projective birational morphism  $f : Y \rightarrow X$  from an embedded simple normal crossing variety  $Y$  such that  $f$  is an isomorphism outside  $\text{Supp}(D + D' + B)$ , and that the union of the support of  $f^*(S + B + D + D')$  and the exceptional locus of  $f$  has a simple normal crossing support on  $Y$ . Let  $B'$  be the strict transform of  $B$  on  $Y$ . We can assume that  $\text{Supp}B'$  is disjoint from any strata of  $Y$  that are not irreducible components of  $Y$  by taking blow-ups. We write  $K_Y + S' + B' = f^*(K_X + S + B) + E$ , where  $S'$  is the strict transform of  $S$ , and  $E$  is  $f$ -exceptional. By the construction of  $f : Y \rightarrow X$ ,  $S'$  is Cartier and  $B'$  is  $\mathbb{R}$ -Cartier. Therefore,  $E$  is also  $\mathbb{R}$ -Cartier. It is easy to see that  $E_+ = \lceil E \rceil \geq 0$ . We put  $L' = f^*L + E_+$  and  $E_- = E_+ - E \geq 0$ . We note that  $E_+$  is Cartier and  $E_-$  is  $\mathbb{R}$ -Cartier because  $\text{Supp}E$  is simple normal crossing on  $Y$ . Since  $f^*H$  is an  $\mathbb{R}_{>0}$ -linear combination of semi-ample Cartier divisors, we can write  $f^*H \sim_{\mathbb{R}} \sum_i a_i H_i$ , where  $0 < a_i < 1$  and  $H_i$  is a general Cartier divisor on  $Y$  for every  $i$ . We put  $B'' = B' + E_- + \frac{\varepsilon}{t} f^*(D + D') + (1 - \varepsilon) \sum_i a_i H_i$  for some  $0 < \varepsilon \ll 1$ . Then  $L' \sim_{\mathbb{R}} K_Y + S' + B''$ . By the construction,  $\lfloor B'' \rfloor = 0$ , the support of  $S' + B''$  is simple normal crossing on  $Y$ , and  $\text{Supp}B'' \supset \text{Supp}f^*D$ . So, Proposition [?] implies that the homomorphisms  $H^q(Y, \mathcal{O}_Y(L')) \rightarrow H^q(Y, \mathcal{O}_Y(L' + f^*D))$  are injective for all  $q$ . By Lemma 0.1,  $R^q f_* \mathcal{O}_Y(L') = 0$  for any  $q > 0$  and it is easy to see that  $f_* \mathcal{O}_Y(L') \simeq \mathcal{O}_X(L)$ . By the Leray spectral sequence, the homomorphisms  $H^q(X, \mathcal{O}_X(L)) \rightarrow H^q(X, \mathcal{O}_X(L + D))$  are injective for all  $q$ .  $\square$

The following theorem is another main theorem of this section. It is essentially the same as [?, Theorem 3.2]. We note that we assume that

$(Y, S + B)$  is a *simple* normal crossing pair. It is a small but technically important difference. For the full statement, see Theorem 1.7 below.

8 **Theorem 0.7** (cf. [Ambro, Theorem 3.2]). *Let  $(Y, S + B)$  be an embedded simple normal crossing pair such that  $S + B$  is a boundary  $\mathbb{R}$ -divisor,  $S$  is reduced, and  $\lfloor B \rfloor = 0$ . Let  $f : Y \rightarrow X$  be a proper morphism and  $L$  a Cartier divisor on  $Y$  such that  $H \sim_{\mathbb{R}} L - (K_Y + S + B)$  is  $f$ -semi-ample.*

- (i) *every non-zero local section of  $R^q f_* \mathcal{O}_Y(L)$  contains in its support the  $f$ -image of some stratum of  $(Y, S + B)$ .*
- (ii) *let  $\pi : X \rightarrow V$  be a projective morphism and assume that  $H \sim_{\mathbb{R}} f^* H'$  for some  $\pi$ -ample  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor  $H'$  on  $X$ . Then  $R^q f_* \mathcal{O}_Y(L)$  is  $\pi_*$ -acyclic, that is,  $R^p \pi_* R^q f_* \mathcal{O}_Y(L) = 0$  for every  $p > 0$  and  $q \geq 0$ .*

**Remark 0.8.** It is obvious that the statement of Theorem 0.7 (i) is equivalent to the following one.

- (i') *every associated prime of  $R^q f_* \mathcal{O}_Y(L)$  is the generic point of the  $f$ -image of some stratum of  $(Y, S + B)$ .*

*Proof.* Let  $M$  be the ambient space of  $Y$ . Then, by Lemma 1.1, we can assume that there exists an  $\mathbb{R}$ -divisor  $D$  on  $M$  such that  $\text{Supp}(D + Y)$  is simple normal crossing on  $M$  and that  $D|_Y = S + B$ . Therefore, we can use Lemma 0.4 in Step 2 of (i) and Step 3 of (ii) below.

- (i) We have already proved a very special case in Lemma 0.1.

**Step 1.** First, we assume that  $X$  is projective. We can assume that  $H$  is semi-ample by replacing  $L$  (resp.  $H$ ) with  $L + f^* A'$  (resp.  $H + f^* A'$ ), where  $A'$  is a very ample Cartier divisor. Assume that  $R^q f_* \mathcal{O}_Y(L)$  has a local section whose support does not contain the  $f$ -images of any strata of  $(Y, S + B)$ . More precisely, let  $U$  be a non-empty Zariski open set and  $s \in \Gamma(U, R^q f_* \mathcal{O}_Y(L))$  a non-zero section of  $R^q f_* \mathcal{O}_Y(L)$  on  $U$  whose support  $V \subset U$  does not contain the  $f$ -images of any strata of  $(Y, S + B)$ . Let  $\bar{V}$  be the closure of  $V$  in  $X$ . We note that  $\bar{V} \setminus V$  may contain the  $f$ -image of some stratum of  $(Y, S + B)$ . Let  $Y_1$  be the union of the irreducible components of  $Y$  that are mapped into  $\bar{V} \setminus V$  and let  $Y_2$  be the union of the other irreducible components of  $Y$ . We put

$$K_{Y_1} + S_1 + B_1 = (K_Y + S + B)|_{Y_1}$$

such that  $S_1$  is reduced and that  $\lfloor B_1 \rfloor = 0$ . By replacing  $Y, S, B, L,$  and  $H$  with  $Y_1, S_1, B_1, L|_{Y_1},$  and  $H|_{Y_1},$  we can assume that no irreducible

components of  $Y$  are mapped into  $\bar{V} \setminus V$ . Let  $C$  be a stratum of  $(Y, S + B)$  that is mapped into  $\bar{V} \setminus V$ . Let  $\sigma : M' \rightarrow M$  be the blow-up along  $C$  and  $Y' = \sigma^{-1}(Y)$  as in Lemma 4.1. We can write  $Y' = Y'_1 \cup Y'_2$  where  $Y'_2 = \sigma^{-1}(C)$ . We put

$$K_{Y'} + S' + B' = \sigma^*(K_Y + S + B)$$

such that  $S'$  is reduced and  $\lfloor B' \rfloor = 0$ . We define

$$K_{Y'_1} + S'_1 + B'_1 = (K_{Y'} + S' + B')|_{Y'_1}$$

such that  $S'_1$  is reduced and  $\lfloor B'_1 \rfloor = 0$ . Thus,

$$\sigma^*H \sim_{\mathbb{R}} \sigma^*L - (K_{Y'} + S' + B')$$

and

$$\sigma^*H|_{Y'_1} \sim_{\mathbb{R}} \sigma^*L|_{Y'_1} - Y'_2|_{Y'_1} - (K_{Y'_1} + (S'_1 - Y'_2|_{Y'_1}) + B'_1).$$

We note that  $S'_1 - Y'_2|_{Y'_1}$  is effective. We replace  $Y, H, L, S,$  and  $B$  with  $Y'_1, \sigma^*H|_{Y'_1}, \sigma^*L|_{Y'_1}, S'_1 - Y'_2|_{Y'_1},$  and  $B'_1$ . By repeating this process finitely many times, we can assume that  $\bar{V}$  does not contain  $f$ -images of any strata of  $(Y, S + B)$ . Then we can find a very ample Cartier divisor  $A$  with the following properties.

- (a)  $f^*A$  is permissible with respect to  $(Y, S + B)$ , and
- (b)  $R^q f_* \mathcal{O}_Y(L) \rightarrow R^q f_* \mathcal{O}_Y(L) \otimes \mathcal{O}_X(A)$  is not injective.

We can assume that  $H - f^*A$  is semi-ample by replacing  $L$  (resp.  $H$ ) with  $L + f^*A$  (resp.  $H + f^*A$ ). If necessary, we replace  $L$  (resp.  $H$ ) with  $L + f^*A''$  (resp.  $H + f^*A''$ ), where  $A''$  is a very ample Cartier divisor. Then, we have  $H^0(X, R^q f_* \mathcal{O}_Y(L)) \simeq H^q(Y, \mathcal{O}_Y(L))$  and  $H^0(X, R^q f_* \mathcal{O}_Y(L) \otimes \mathcal{O}_X(A)) \simeq H^q(Y, \mathcal{O}_Y(L + f^*A))$ . We obtain that

$$H^0(X, R^q f_* \mathcal{O}_Y(L)) \rightarrow H^0(X, R^q f_* \mathcal{O}_Y(L) \otimes \mathcal{O}_X(A))$$

is not injective by (b) if  $A''$  is sufficiently ample. So,  $H^q(Y, \mathcal{O}_Y(L)) \rightarrow H^q(Y, \mathcal{O}_Y(L + f^*A))$  is not injective. It contradicts Theorem 0.6. We finish the proof when  $X$  is projective.

**8-2** **Step 2.** Next, we assume that  $X$  is not projective. Note that the problem is local. So, we can shrink  $X$  and assume that  $X$  is affine. By the argument similar to the one in Step 1 in the proof of (ii) below, we can assume that  $H$  is

a semi-ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor. We compactify  $X$  and apply Lemma [0.4](#)<sup>comp</sup>. Then we obtain a compactification  $\bar{f} : \bar{Y} \rightarrow \bar{X}$  of  $f : Y \rightarrow X$ . Let  $\bar{H}$  be the closure of  $H$  on  $\bar{Y}$ . If  $\bar{H}$  is not a semi-ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor, then we take blowing-ups of  $\bar{Y}$  inside  $\bar{Y} \setminus Y$  and obtain a semi-ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor  $\bar{H}$  on  $\bar{Y}$  such that  $\bar{H}|_Y = H$ . Let  $\bar{L}$  (resp.  $\bar{B}, \bar{S}$ ) be the closure of  $L$  (resp.  $B, S$ ) on  $\bar{Y}$ . We note that  $\bar{H} \sim_{\mathbb{R}} \bar{L} - (K_{\bar{Y}} + \bar{S} + \bar{B})$  does not necessarily hold. We can write  $H + \sum_i a_i(f_i) = L - (K_Y + S + B)$ , where  $a_i$  is a real number and  $f_i \in \Gamma(Y, \mathcal{K}_Y^*)$  for every  $i$ . We put  $E = \bar{H} + \sum_i a_i(f_i) - (\bar{L} - (K_{\bar{Y}} + \bar{S} + \bar{B}))$ . We replace  $\bar{L}$  (resp.  $\bar{B}$ ) with  $\bar{L} + \lceil E \rceil$  (resp.  $\bar{B} + \{-E\}$ ). Then we obtain the desired property of  $R^q \bar{f}_* \mathcal{O}_{\bar{Y}}(\bar{L})$  since  $\bar{X}$  is projective. We note that  $\text{Supp} E$  is in  $\bar{Y} \setminus Y$ . So, we finish the whole proof.

(ii) We divide the proof into three steps.

**Step 1.** We assume that  $\dim V = 0$ . The following arguments are well known and standard. We describe them for the reader's convenience. In this case, we can write  $H' \sim_{\mathbb{R}} H'_1 + H'_2$ , where  $H'_1$  (resp.  $H'_2$ ) is a  $\pi$ -ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor (resp.  $\pi$ -ample  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor) on  $X$ . So, we can write  $H'_2 \sim_{\mathbb{R}} \sum_i a_i H_i$ , where  $0 < a_i < 1$  and  $H_i$  is a general very ample Cartier divisor on  $X$  for every  $i$ . Replacing  $B$  (resp.  $H'$ ) with  $B + \sum_i a_i f^* H_i$  (resp.  $H'_1$ ), we can assume that  $H'$  is a  $\pi$ -ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor. We take a general member  $A \in |mH'|$ , where  $m$  is a sufficiently large and divisible integer, such that  $A' = f^* A$  and  $R^q f_* \mathcal{O}_Y(L + A')$  is  $\pi_*$ -acyclic for all  $q$ . By (i), we have the following short exact sequences,

$$0 \rightarrow R^q f_* \mathcal{O}_Y(L) \rightarrow R^q f_* \mathcal{O}_Y(L + A') \rightarrow R^q f_* \mathcal{O}_{A'}(L + A') \rightarrow 0.$$

for every  $q$ . Note that  $R^q f_* \mathcal{O}_{A'}(L + A')$  is  $\pi_*$ -acyclic by induction on  $\dim X$  and  $R^q f_* \mathcal{O}_Y(L + A')$  is also  $\pi_*$ -acyclic by the above assumption. Thus,  $E_2^{pq} = 0$  for  $p \geq 2$  in the following commutative diagram of spectral sequences.

$$\begin{array}{ccc} E_2^{pq} = R^p \pi_* R^q f_* \mathcal{O}_Y(L) & \Longrightarrow & R^{p+q}(\pi \circ f)_* \mathcal{O}_Y(L) \\ \varphi^{pq} \downarrow & & \varphi^{p+q} \downarrow \\ \bar{E}_2^{pq} = R^p \pi_* R^q f_* \mathcal{O}_Y(L + A') & \Longrightarrow & R^{p+q}(\pi \circ f)_* \mathcal{O}_Y(L + A') \end{array}$$

We note that  $\varphi^{1+q}$  is injective by Theorem [5.1](#). We have that  $E_2^{1q} \rightarrow R^{1+q}(\pi \circ f)_* \mathcal{O}_Y(L)$  is injective by the fact that  $E_2^{pq} = 0$  for  $p \geq 2$ . We also have that  $\bar{E}_2^{1q} = 0$  by the above assumption. Therefore, we obtain  $E_2^{1q} = 0$  since the

injection  $E_2^{1q} \rightarrow R^{1+q}(\pi \circ f)_* \mathcal{O}_Y(L + A')$  factors through  $\overline{E}_2^{1q} = 0$ . This implies that  $R^p \pi_* R^q f_* \mathcal{O}_Y(L) = 0$  for every  $p > 0$ .

**o2** **Step 2.** We assume that  $V$  is projective. By replacing  $H'$  (resp.  $L$ ) with  $H' + \pi^*G$  (resp.  $L + (\pi \circ f)^*G$ ), where  $G$  is a very ample Cartier divisor on  $V$ , we can assume that  $H'$  is an ample  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor. By the same argument as in Step 1, we can assume that  $H'$  is ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor and  $H \sim_{\mathbb{Q}} f^*H'$ . If  $G$  is a sufficiently ample Cartier divisor on  $V$ ,  $H^k(V, R^p \pi_* R^q f_* \mathcal{O}_Y(L) \otimes G) = 0$  for every  $k \geq 1$ ,

$$\begin{aligned} H^0(V, R^p \pi_* R^q f_* \mathcal{O}_Y(L) \otimes \mathcal{O}_V(G)) &\simeq H^p(X, R^q f_* \mathcal{O}_Y(L) \otimes \mathcal{O}_X(\pi^*G)) \\ &\simeq H^p(X, R^q f_* \mathcal{O}_Y(L + f^* \pi^*G)), \end{aligned}$$

and  $R^p \pi_* R^q f_* \mathcal{O}_Y(L) \otimes \mathcal{O}_V(G)$  is generated by its global sections. Since

$$\begin{aligned} H + f^* \pi^*G &\sim_{\mathbb{R}} L + f^* \pi^*G - (K_Y + S + B), \\ H + f^* \pi^*G &\sim_{\mathbb{Q}} f^*(H' + \pi^*G), \end{aligned}$$

and  $H' + \pi^*G$  is ample, we can apply Step 1 and obtain  $H^p(X, R^q f_* \mathcal{O}_Y(L + f^* \pi^*G)) = 0$  for every  $p > 0$ . Thus,  $R^p \pi_* R^q f_* \mathcal{O}_Y(L) = 0$  for every  $p > 0$  by the above arguments.

**o3** **Step 3.** When  $V$  is not projective, we shrink  $V$  and assume that  $V$  is affine. By the same argument as in Step 1 above, we can assume that  $H'$  is  $\mathbb{Q}$ -Cartier. We compactify  $V$  and  $X$ , and can assume that  $V$  and  $X$  are projective. By Lemma <sup>comp</sup>0.4, we can reduce it to the case when  $V$  is projective. This step is essentially the same as Step 2 in the proof of (i). So, we omit the details here.

We finish the whole proof of (ii). □

**smooth-ne** **Remark 0.9.** In Theorem <sup>5.1</sup>0.6, if  $X$  is smooth, then Proposition <sup>1</sup>1.1 is enough for the proof of Theorem <sup>5.1</sup>0.6. In the proof of Theorem <sup>8</sup>0.7, if  $Y$  is smooth, then Theorem <sup>5.1</sup>0.6 for a smooth  $X$  is sufficient. Lemmas <sup>re-vani-lem</sup>0.1, <sup>comp</sup>0.2, and <sup>8</sup>0.4 are easy and well known for smooth varieties. Therefore, the reader can find that our proof of Theorem <sup>8</sup>0.7 becomes much easier if we assume that  $Y$  is smooth. Ambro's original proof of [<sup>ambro</sup>?, Theorem 3.2 (ii)] used embedded simple normal crossing pairs even when  $Y$  is smooth (see (b) in the proof of [<sup>ambro</sup>?, Theorem 3.2 (ii)]). It may be a technically important difference. I could not follow Ambro's original argument in (a) in the proof of [<sup>ambro</sup>?, Theorem 3.2 (ii)].



**9-1 Remark 0.10.** It is easy to see that Theorem 0.6 is a generalization of Kollár's injectivity theorem. Theorem 0.7 (i) (resp. (ii)) is a generalization of Kollár's torsion-free (resp. vanishing) theorem.

We treat an easy vanishing theorem for lc pairs as an application of Theorem 0.7 (ii). It seems to be buried in [?]. We note that we do not need the notion of embedded simple normal crossing pairs to prove Theorem 0.11. See Remark 0.9.

**lc Theorem 0.11** (Kodaira vanishing theorem for lc pairs). *Let  $(X, B)$  be an lc pair such that  $B$  is a boundary  $\mathbb{R}$ -divisor. Let  $L$  be a  $\mathbb{Q}$ -Cartier Weil divisor on  $X$  such that  $L - (K_X + B)$  is  $\pi$ -ample, where  $\pi : X \rightarrow V$  is a projective morphism. Then  $R^q \pi_* \mathcal{O}_X(L) = 0$  for every  $q > 0$ .*

*Proof.* Let  $f : Y \rightarrow X$  be a log resolution of  $(X, B)$  such that  $K_Y = f^*(K_X + B) + \sum_i a_i E_i$  with  $a_i \geq -1$  for every  $i$ . We can assume that  $\sum_i E_i \cup \text{Supp} f^*L$  is a simple normal crossing divisor on  $Y$ . We put  $E = \sum_i a_i E_i$  and  $F = \sum_{a_j = -1} (1 - b_j) E_j$ , where  $b_j = \text{mult}_{E_j} \{f^*L\}$ . We note that  $A = L - (K_X + B)$  is  $\pi$ -ample by the assumption. So, we have  $f^*A = f^*L - f^*(K_X + B) = \lceil f^*L + E + F \rceil - (K_Y + F + \{-(f^*L + E + F)\})$ . We can easily check that  $f_* \mathcal{O}_Y(\lceil f^*L + E + F \rceil) \simeq \mathcal{O}_X(L)$  and that  $F + \{-(f^*L + E + F)\}$  has a simple normal crossing support and is a boundary  $\mathbb{R}$ -divisor on  $Y$ . By Theorem 0.7 (ii), we obtain that  $\mathcal{O}_X(L)$  is  $\pi_*$ -acyclic. Thus, we have  $R^q \pi_* \mathcal{O}_X(L) = 0$  for every  $q > 0$ .  $\square$

We note that Theorem 0.11 contains a complete form of [?, Theorem 0.3] as a corollary. For the related topics, see [?, Corollary 1.3].

**lcvar Corollary 0.12** (Kodaira vanishing theorem for lc varieties). *Let  $X$  be a projective lc variety and  $L$  an ample Cartier divisor on  $X$ . Then*

$$H^q(X, \mathcal{O}_X(K_X + L)) = 0$$

*for every  $q > 0$ . Furthermore, if we assume that  $X$  is Cohen–Macaulay, then  $H^q(X, \mathcal{O}_X(-L)) = 0$  for every  $q < \dim X$ .*

**Remark 0.13.** We can see that Corollary 0.12 is contained in [?, Theorem 2.6], which is a very special case of Theorem 0.7 (ii). I forgot to state Corollary 0.12 explicitly in [?]. There, we do not need embedded simple normal crossing pairs. We note that there are typos in the proof of [?, Theorem 2.6]. In the commutative diagram,  $R^i f_* \omega_X(D)$ 's should be replaced by  $R^i f_* \omega_X(D)$ 's.

We close this section with an easy example.

**Example 0.14.** Let  $X$  be a projective lc threefold which has the following properties: (i) there exists a projective birational morphism  $f : Y \rightarrow X$  from a smooth projective threefold, and (ii) the exceptional locus  $E$  of  $f$  is an Abelian surface with  $K_Y = f^*K_X - E$ . For example,  $X$  is a cone over a normally projective Abelian surface in  $\mathbb{P}^N$  and  $f : Y \rightarrow X$  is the blow-up at the vertex of  $X$ . Let  $L$  be an ample Cartier divisor on  $X$ . By the Leray spectral sequence, we have

$$\begin{aligned} 0 \rightarrow H^1(X, f_*f^*\mathcal{O}_X(-L)) &\rightarrow H^1(Y, f^*\mathcal{O}_X(-L)) \rightarrow H^0(X, R^1f_*f^*\mathcal{O}_X(-L)) \\ &\rightarrow H^2(X, f_*f^*\mathcal{O}_X(-L)) \rightarrow H^2(Y, f^*\mathcal{O}_X(-L)) \rightarrow \dots \end{aligned}$$

Therefore, we obtain

$$H^2(X, \mathcal{O}_X(-L)) \simeq H^0(X, \mathcal{O}_X(-L) \otimes R^1f_*\mathcal{O}_Y),$$

because  $H^1(Y, f^*\mathcal{O}_X(-L)) = H^2(Y, f^*\mathcal{O}_X(-L)) = 0$  by the Kawamata-Viehweg vanishing theorem. On the other hand, we have  $R^qf_*\mathcal{O}_Y \simeq H^q(E, \mathcal{O}_E)$  for every  $q > 0$  since  $R^qf_*\mathcal{O}_Y(-E) = 0$  for every  $q > 0$ . Thus,  $H^2(X, \mathcal{O}_X(-L)) \simeq \mathbb{C}^2$ . In particular,  $H^2(X, \mathcal{O}_X(-L)) \neq 0$ . We note that  $X$  is not Cohen-Macaulay. In the above example, if we assume that  $E$  is a  $K3$ -surface, then  $H^q(X, \mathcal{O}_X(-L)) = 0$  for  $q < 3$  and  $X$  is Cohen-Macaulay. For the details, see the subsection [4.3.1sss](#), especially, Lemma [4.3.7lem](#).