

# PERMISSIBLE DIVISORS (PRELIMINARY VERSION)

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**1.1. Divisors.** <sup>1</sup> In this subsection, we quickly recall basic definitions of divisors. We note that we have to deal with reducible and non-reduced algebraic schemes in this paper. For details, see [Mum, Lecture 9] and [Fu, Appendix B.4].

**1.1.** Let  $X$  be a noetherian scheme with structure sheaf  $\mathcal{O}_X$  and let  $\mathcal{K}_X$  be the sheaf of total quotient rings of  $\mathcal{O}_X$ , that is, for every affine open set  $U \subset X$ ,  $\Gamma(U, \mathcal{K}_X)$  is the total quotient ring of  $\Gamma(U, \mathcal{O}_X)$ . Let  $\mathcal{K}_X^*$  denote the (multiplicative) sheaf of invertible elements in  $\mathcal{K}_X$ , and  $\mathcal{O}_X^*$  the sheaf of invertible elements in  $\mathcal{O}_X$ . We note that  $\mathcal{O}_X \subset \mathcal{K}_X$  and  $\mathcal{O}_X^* \subset \mathcal{K}_X^*$ .

**1.2** (Cartier,  $\mathbb{Q}$ -Cartier, and  $\mathbb{R}$ -Cartier divisors). A *Cartier divisor*  $D$  on  $X$  is a global section of  $\mathcal{K}_X^*/\mathcal{O}_X^*$ , that is,  $D$  is an element of  $H^0(X, \mathcal{K}_X^*/\mathcal{O}_X^*)$ . A  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor (resp.  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor) is an element of  $H^0(X, \mathcal{K}_X^*/\mathcal{O}_X^*) \otimes_{\mathbb{Z}} \mathbb{Q}$  (resp.  $H^0(X, \mathcal{K}_X^*/\mathcal{O}_X^*) \otimes_{\mathbb{Z}} \mathbb{R}$ ).

**1.3** (Linearly,  $\mathbb{Q}$ -linearly, and  $\mathbb{R}$ -linearly equivalence). <sup>2</sup> Let  $D_1$  and  $D_2$  be two  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisors on  $X$ . Then  $D_1$  is *linearly* (resp.  $\mathbb{Q}$ -linearly, or  $\mathbb{R}$ -linearly) *equivalent* to  $D_2$ , denoted by  $D_1 \sim D_2$  (resp.  $D_1 \sim_{\mathbb{Q}} D_2$ , or  $D_1 \sim_{\mathbb{R}} D_2$ ) if

$$D_1 = D_2 + \sum_i r_i(f_i)$$

such that  $f_i \in \Gamma(X, \mathcal{K}_X^*)$  and  $r_i \in \mathbb{Z}$  (resp.  $r_i \in \mathbb{Q}$ , or  $r_i \in \mathbb{R}$ ) for every  $i$ . We note that  $(f_i)$  is a *principal Cartier divisor* associated to  $f_i$ , that is, the image of  $f_i$  by  $\Gamma(X, \mathcal{K}_X^*) \rightarrow \Gamma(X, \mathcal{K}_X^*/\mathcal{O}_X^*)$ .

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<sup>1</sup>I will add this subsection to my book.

<sup>2</sup>I have to make these definitions compatible with other definitions.

**1.4.** Let  $D$  be a Cartier divisor on  $X$ . The *support* of  $D$ , denoted by  $\text{Supp}D$ , is the subset of  $X$  consisting of points  $x$  such that a local equation for  $D$  is not in  $\mathcal{O}_{X,x}^*$ . The support of  $D$  is a closed subset of  $X$ .

**1.5.** Let  $X$  be an equi-dimensional reduced separated algebraic scheme. We note that  $X$  is not necessarily regular in codimension one. A (*Weil*) *divisor*  $D$  on  $X$  is a finite formal sum

$$\sum_{i=1}^n d_i D_i$$

where  $D_i$  is an irreducible reduced closed subscheme of  $X$  of pure codimension one and  $d_i$  is an integer for every  $i$ .

If  $d_i \in \mathbb{Q}$  (resp.  $d_i \in \mathbb{R}$ ) for every  $i$ , then  $D$  is called a  $\mathbb{Q}$ -*divisor* (resp.  $\mathbb{R}$ -*divisor*).

## 2. PRELIMINARIES

<sup>3</sup> We explain basic notion according to [\[?, Section 2\]](#).<sup>ambro</sup>

**011** **Definition 2.1** (Normal and simple normal crossing varieties). A variety  $X$  has *normal crossing* singularities if, for every closed point  $x \in X$ ,

$$\widehat{\mathcal{O}}_{X,x} \simeq \frac{\mathbb{C}[[x_0, \dots, x_N]]}{(x_0 \cdots x_k)}$$

for some  $0 \leq k \leq N$ , where  $N = \dim X$ . Furthermore, if each irreducible component of  $X$  is smooth,  $X$  is called a *simple normal crossing* variety. If  $X$  is a normal crossing variety, then  $X$  has only Gorenstein singularities. Thus, it has an invertible dualizing sheaf  $\omega_X$ . So, we can define<sup>4</sup> the *canonical divisor*  $K_X$  such that  $\omega_X \simeq \mathcal{O}_X(K_X)$ . It is a Cartier divisor on  $X$  and is well defined up to linear equivalence.

**011** **Definition 2.2** (Mayer–Vietoris simplicial resolution). Let  $X$  be a simple normal crossing variety with the irreducible decomposition  $X = \bigcup_{i \in I} X_i$ . Let  $I_n$  be the set of strictly increasing sequences  $(i_0, \dots, i_n)$  in  $I$  and  $X^n = \bigsqcup_{I_n} X_{i_0} \cap \cdots \cap X_{i_n}$  the disjoint union of the intersections of  $X_i$ . Let  $\varepsilon_n : X^n \rightarrow X$  be the disjoint union of the natural inclusions. Then  $\{X^n, \varepsilon_n\}_n$  has a natural semi-simplicial scheme structure. The face operator is induced by  $\lambda_{j,n}$ , where  $\lambda_{j,n} : X_{i_0} \cap \cdots \cap X_{i_n} \rightarrow X_{i_0} \cap \cdots \cap X_{i_{j-1}} \cap X_{i_{j+1}} \cap \cdots \cap X_{i_n}$  is the natural closed embedding

<sup>3</sup>This is a revised version of the first half of Section 2.2 of my book.

<sup>4</sup>Is it OK? Can I always define  $K_X$ ?

for  $j \leq n$  (cf. [1, 3.5.5]). We denote it by  $\varepsilon : X^\bullet \rightarrow X$  and call it the *Mayer–Vietoris simplicial resolution* of  $X$ . The complex

$$0 \rightarrow \varepsilon_{0*} \mathcal{O}_{X^0} \rightarrow \varepsilon_{1*} \mathcal{O}_{X^1} \rightarrow \cdots \rightarrow \varepsilon_{k*} \mathcal{O}_{X^k} \rightarrow \cdots,$$

where the differential  $d_k : \varepsilon_{k*} \mathcal{O}_{X^k} \rightarrow \varepsilon_{k+1*} \mathcal{O}_{X^{k+1}}$  is  $\sum_{j=0}^{k+1} (-1)^j \lambda_{j,k+1}^*$  for any  $k \geq 0$ , is denoted by  $\mathcal{O}_{X^\bullet}$ . It is easy to see that  $\mathcal{O}_{X^\bullet}$  is quasi-isomorphic to  $\mathcal{O}_X$ . By tensoring  $\mathcal{L}$ , any line bundle on  $X$ , to  $\mathcal{O}_{X^\bullet}$ , we obtain a complex

$$0 \rightarrow \varepsilon_{0*} \mathcal{L}^0 \rightarrow \varepsilon_{1*} \mathcal{L}^1 \rightarrow \cdots \rightarrow \varepsilon_{k*} \mathcal{L}^k \rightarrow \cdots,$$

where  $\mathcal{L}^n = \varepsilon_n^* \mathcal{L}$ . It is denoted by  $\mathcal{L}^\bullet$ . Of course,  $\mathcal{L}^\bullet$  is quasi-isomorphic to  $\mathcal{L}$ . We note that  $H^q(X^\bullet, \mathcal{L}^\bullet)$  is  $\mathbb{H}^q(X, \mathcal{L}^\bullet)$  by definition and it is obviously isomorphic to  $H^q(X, \mathcal{L})$  for any  $q \geq 0$  because  $\mathcal{L}^\bullet$  is quasi-isomorphic to  $\mathcal{L}$ .

**0111** **Definition 2.3.** Let  $X$  be a simple normal crossing variety. A *stratum* of  $X$  is the image on  $X$  of some irreducible component of  $X^\bullet$ . Note that an irreducible component of  $X$  is a stratum of  $X$ .

**02** **Definition 2.4** (Permissible and normal crossing divisors). Let  $X$  be a simple normal crossing variety.

A Cartier divisor  $D$  on  $X$  is called *permissible* if  $D$  contains no strata of  $X$  in its support. In this case,  $D$  induces a Cartier divisor  $D^\bullet$  on  $X^\bullet$ . This means that  $D^n = \varepsilon_n^* D$  is a Cartier divisor on  $X^n$  for every  $n$ . A  $\mathbb{Q}$ -Cartier (resp.  $\mathbb{R}$ -Cartier) divisor on  $X$  is *permissible* if it can be written as a  $\mathbb{Q}$ -linear (resp.  $\mathbb{R}$ -linear) combination of permissible Cartier divisors.

We say that a permissible Cartier divisor  $D$  is a *normal crossing divisor* on  $X$  if, in the notation of Definition 2.1, we have

$$\widehat{\mathcal{O}}_{D,x} \simeq \frac{\mathbb{C}[[x_0, \dots, x_N]]}{(x_0 \cdots x_k, x_{i_1} \cdots x_{i_l})}$$

for some  $\{i_1, \dots, i_l\} \subset \{k+1, \dots, N\}$ . It is equivalent to the condition that  $D^n$  is a normal crossing divisor on  $X^n$  for every  $n$  in the usual sense. Furthermore, let  $D$  be a normal crossing divisor on a simple normal crossing variety  $X$ . If  $D^n$  is a simple normal crossing divisor on  $X^n$  for every  $n$ , then  $D$  is called a *simple normal crossing divisor* on  $X$ .

**2.5.** Let  $X$  be a simple normal crossing variety. Let  $\text{PerDiv}(X)$  be the abelian group generated by permissible Cartier divisors on  $X$  and  $\text{Weil}(X)$  the abelian group generated by Weil divisors on  $X$ . Then we can define natural injective homomorphisms of abelian groups

$$\psi : \text{PerDiv}(X) \otimes_{\mathbb{Z}} K \rightarrow \text{Weil}(X) \otimes_{\mathbb{Z}} K,$$

where  $K = \mathbb{Z}, \mathbb{Q}$ , or  $\mathbb{R}$ . Let  $\nu : \tilde{X} \rightarrow X$  be the normalization. Then we have the following commutative diagram.

$$\begin{array}{ccc} \mathrm{Div}(\tilde{X}) \otimes_{\mathbb{Z}} K & \xrightarrow{\tilde{\psi}} & \mathrm{Weil}(\tilde{X}) \otimes_{\mathbb{Z}} K \\ \nu^* \uparrow & & \downarrow \nu_* \\ \mathrm{PerDiv}(X) \otimes_{\mathbb{Z}} K & \xrightarrow{\psi} & \mathrm{Weil}(X) \otimes_{\mathbb{Z}} K \end{array}$$

Note that  $\mathrm{Div}(\tilde{X})$  is the abelian group generated by Cartier divisors on  $\tilde{X}$  and that  $\tilde{\psi}$  is an isomorphism since  $\tilde{X}$  is smooth.

By  $\psi$ , every permissible Cartier (resp.  $\mathbb{Q}$ -Cartier or  $\mathbb{R}$ -Cartier) divisor can be considered as a Weil divisor (resp.  $\mathbb{Q}$ -divisor or  $\mathbb{R}$ -divisor). Therefore, various operations, for example,  $\perp D \perp$ ,  $D^{<1}$ , and so on, make sense for a permissible  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor  $D$  on  $X$ .

We note the following easy example.

**Example 2.6.** Let  $X$  be a simple normal crossing variety in  $\mathbb{C}^3 = \mathrm{Spec} \mathbb{C}[x, y, z]$  defined by  $xy = 0$ . We put  $D_1 = (x + z = 0) \cap X$  and  $D_2 = (x - z = 0) \cap X$ . Then  $D = \frac{1}{2}D_1 + \frac{1}{2}D_2$  is a permissible  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor on  $X$ . In this case,  $\perp D \perp = (x = z = 0)$  on  $X$ . Therefore,  $\perp D \perp$  is not a Cartier divisor on  $X$ .

The following lemma is easy but important. We will repeatedly use it in Sections [1.7](#) and [1.7](#).

**Lemma 2.7.** *Let  $X$  be a simple normal crossing variety and  $B$  a permissible  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor on  $X$  such that  $\perp B \perp = 0$ . Let  $A$  be a Cartier divisor on  $X$ . Assume that  $A \sim_{\mathbb{R}} B$ . Then there exists a permissible  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor  $C$  on  $X$  such that  $A \sim_{\mathbb{Q}} C$ ,  $\perp C \perp = 0$ , and  $\mathrm{Supp} C = \mathrm{Supp} B$ .*

*Proof.* We can write  $B = A + \sum_i r_i(f_i)$ , where  $f_i \in \Gamma(X, \mathcal{K}_X^*)$  and  $r_i \in \mathbb{R}$  for every  $i$ . Here,  $\mathcal{K}_X$  is the sheaf of total quotient rings of  $\mathcal{O}_X$ . Let  $P \in X$  be a scheme theoretic point corresponding to some stratum of  $X$ . We consider the following affine map

$$K^k \rightarrow H^0(X_P, \mathcal{K}_{X_P}^* / \mathcal{O}_{X_P}^*) \otimes_{\mathbb{Z}} K$$

given by  $(a_1, \dots, a_k) \mapsto A + \sum_i a_i(f_i)$ , where  $X_P = \mathrm{Spec} \mathcal{O}_{X,P}$  and  $K = \mathbb{Q}$  or  $\mathbb{R}$ . Then we can check that

$$\mathcal{P} = \{(a_1, \dots, a_k) \in \mathbb{R}^k \mid A + \sum_i a_i(f_i) \text{ is permissible}\} \subset \mathbb{R}^k$$

is an affine subspace of  $\mathbb{R}^k$  defined over  $\mathbb{Q}$ . Therefore, we see that

$$\mathcal{S} = \{(a_1, \dots, a_k) \in \mathcal{P} \mid \mathrm{Supp}(A + \sum_i a_i(f_i)) = \mathrm{Supp} B\} \subset \mathcal{P}$$

is an affine subspace of  $\mathbb{R}^k$  defined over  $\mathbb{Q}$ . Since  $(r_1, \dots, r_k) \in \mathcal{S}$ , we know that  $\mathcal{S} \neq \emptyset$ . We take a point  $(s_1, \dots, s_k) \in \mathcal{S} \cap \mathbb{Q}^k$  which is very close to  $(r_1, \dots, r_k)$  and put  $C = A + \sum_i s_i(f_i)$ . By construction,  $C$  is a permissible  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor such that  $C \sim_{\mathbb{Q}} A$ ,  $\lfloor C \rfloor = 0$ , and  $\text{Supp}C = \text{Supp}B$ .  $\square$

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