

# FUJITA'S VANISHING THEOREM (PRIVATE NOTE)

OSAMU FUJINO

## CONTENTS

1. Fujita's vanishing theorem	1
2. Applications	8
References	11

## 1. FUJITA'S VANISHING THEOREM

The following theorem is obtained by Takao Fujita (cf. [F1, Theorem (1)] and [F2, (5.1) Theorem]). See also [L, Theorem 1.4.35].

**Theorem 1.1** (Fujita's vanishing theorem). *Let  $X$  be a projective scheme defined over a field  $k$  and let  $H$  be an ample Cartier divisor on  $X$ . Given any coherent sheaf  $\mathcal{F}$  on  $X$ , there exists an integer  $m(\mathcal{F}, H)$  such that*

$$H^i(X, \mathcal{F} \otimes \mathcal{O}_X(mH + D)) = 0$$

for all  $i > 0$ ,  $m \geq m(\mathcal{F}, H)$ , and any nef Cartier divisor  $D$  on  $X$ .

*Proof.* Without loss of generality, we may assume that  $k$  is algebraically closed. By replacing  $X$  with  $\text{Supp}\mathcal{F}$ , we may assume that  $X = \text{Supp}\mathcal{F}$ .

**Remark 1.2.** Let  $\mathcal{F}$  be a coherent sheaf on  $X$ . In the proof of Theorem 1.1, we always define a subscheme structure on  $\text{Supp}\mathcal{F}$  by the  $\mathcal{O}_X$ -ideal  $\text{Ker}(\mathcal{O}_X \rightarrow \mathcal{E}nd_{\mathcal{O}_X}(\mathcal{F}))$ .

We use the induction on dimension.

**Step 1.** When  $\dim X = 0$ , Theorem 1.1 obviously holds.

From now on, we assume that Theorem 1.1 holds in the lower dimensional case.

**Step 2.** We can reduce the proof to the case when  $X$  is reduced.

---

*Date:* 2011/9/16, version 1.13.

*2010 Mathematics Subject Classification.* Primary 14F17; Secondary 14F05.

*Proof.* We assume that Theorem 1.1 holds for reduced schemes. Let  $\mathcal{N}$  be the nilradical of  $\mathcal{O}_X$ , so that  $\mathcal{N}^r = 0$  for some  $r > 0$ . Consider the filtration

$$\mathcal{F} \supset \mathcal{N} \cdot \mathcal{F} \supset \mathcal{N}^2 \cdot \mathcal{F} \supset \cdots \supset \mathcal{N}^r \cdot \mathcal{F} = 0.$$

The quotients  $\mathcal{N}^i \mathcal{F} / \mathcal{N}^{i+1} \mathcal{F}$  are coherent  $\mathcal{O}_{X_{\text{red}}}$ -modules, and therefore, by the assumption,

$$H^j(X, (\mathcal{N}^i \mathcal{F} / \mathcal{N}^{i+1} \mathcal{F}) \otimes \mathcal{O}_X(mH + D)) = 0$$

for  $j > 0$  and  $m \geq m(\mathcal{N}^i \mathcal{F} / \mathcal{N}^{i+1} \mathcal{F}, H)$  thanks to the amplitude of  $\mathcal{O}_{X_{\text{red}}}(H)$ . Twisting the exact sequences

$$0 \rightarrow \mathcal{N}^{i+1} \mathcal{F} \rightarrow \mathcal{N}^i \mathcal{F} \rightarrow \mathcal{N}^i \mathcal{F} / \mathcal{N}^{i+1} \mathcal{F} \rightarrow 0$$

by  $\mathcal{O}_X(mH + D)$  and taking cohomology, we then find by decreasing induction on  $i$  that

$$H^j(X, \mathcal{N}^i \mathcal{F} \otimes \mathcal{O}_X(mH + D)) = 0$$

for  $j > 0$  and  $m \geq m(\mathcal{N}^i \mathcal{F}, H)$ . When  $i = 0$  this gives the desired vanishings.  $\square$

From now on, we assume that  $X$  is reduced.

**Step 3.** We can reduce the proof to the case when  $X$  is irreducible.

*Proof.* We assume that Theorem 1.1 holds for reduced and irreducible schemes. Let  $X = X_1 \cup \cdots \cup X_k$  be its decomposition into irreducible components and let  $\mathcal{I}$  be the ideal sheaf of  $X_1$  in  $X$ . We consider the exact sequence

$$0 \rightarrow \mathcal{I} \cdot \mathcal{F} \rightarrow \mathcal{F} \rightarrow \mathcal{F} / \mathcal{I} \cdot \mathcal{F} \rightarrow 0.$$

The outer terms of the above exact sequence are supported on  $X_2 \cup \cdots \cup X_k$  and  $X_1$  respectively. So by induction on the number of irreducible components, we may assume that

$$H^j(X, \mathcal{I} \mathcal{F} \otimes \mathcal{O}_X(mH + D)) = 0$$

for  $j > 0$  and  $m \geq m(\mathcal{I} \mathcal{F}, H|_{X_2 \cup \cdots \cup X_k})$  and

$$H^j(X, (\mathcal{F} / \mathcal{I} \mathcal{F}) \otimes \mathcal{O}_X(mH + D)) = 0$$

for  $j > 0$  and  $m \geq m(\mathcal{F} / \mathcal{I} \mathcal{F}, H|_{X_1})$ . It then follows from the above exact sequence that

$$H^j(X, \mathcal{F} \otimes \mathcal{O}_X(mH + D)) = 0$$

when  $j > 0$  and

$$m \geq m(\mathcal{F}, H) := \max\{m(\mathcal{I} \mathcal{F}, H|_{X_2 \cup \cdots \cup X_k}), m(\mathcal{F} / \mathcal{I} \mathcal{F}, H|_{X_1})\},$$

as required.  $\square$

From now on, we assume that  $X$  is reduced and irreducible.

**Step 4.** We can reduce the proof to the case when  $H$  is very ample.

*Proof.* Let  $l$  be a positive integer such that  $lH$  is very ample. We assume that Theorem 1.1 holds for  $lH$ . Apply Theorem 1.1 to  $\mathcal{F} \otimes \mathcal{O}_X(nH)$  for  $0 \leq n \leq l-1$  with  $lH$ . Then we obtain  $m(\mathcal{F} \otimes \mathcal{O}_X(nH), lH)$  for  $0 \leq n \leq l-1$ . We put

$$m(\mathcal{F}, H) = l \left( \max_n m(\mathcal{F} \otimes \mathcal{O}_X(nH), lH) + 1 \right).$$

Then we can easily check that  $m(\mathcal{F}, H)$  satisfies the desired property.  $\square$

From now on, we assume that  $H$  is very ample.

**Step 5.** It is sufficient to find  $m(\mathcal{F}, H)$  such that

$$H^1(X, \mathcal{F} \otimes \mathcal{O}_X(mH + D)) = 0$$

for all  $m \geq m(\mathcal{F}, H)$  and any nef Cartier divisor  $D$  on  $X$ .

*Proof.* We take a general member  $A$  of  $|H|$  and consider the exact sequence

$$0 \rightarrow \mathcal{F} \otimes \mathcal{O}_X(-A) \rightarrow \mathcal{F} \rightarrow \mathcal{F}_A \rightarrow 0.$$

Since  $\dim \text{Supp} \mathcal{F}_A < \dim X$ , we can find  $m(\mathcal{F}_A, H|_A)$  such that

$$H^i(A, \mathcal{F}_A \otimes \mathcal{O}_A(mH + D)) = 0$$

for all  $i > 0$  and  $m \geq m(\mathcal{F}_A, H|_A)$  by the induction. Therefore,

$$H^i(X, \mathcal{F} \otimes \mathcal{O}_X((m-1)H + D)) = H^i(X, \mathcal{F} \otimes \mathcal{O}_X(mH + D))$$

for every  $i \geq 2$  and  $m \geq m(\mathcal{F}_A, H|_A)$ . By Serre's vanishing theorem, we obtain

$$H^i(X, \mathcal{F} \otimes \mathcal{O}_X((m-1)H + D)) = 0$$

for every  $i \geq 2$  and  $m \geq m(\mathcal{F}_A, H|_A)$ .  $\square$

**Step 6.** We can reduce the proof to the case when  $\mathcal{F} = \mathcal{O}_X$ .

*Proof.* We assume that Theorem 1.1 holds for  $\mathcal{F} = \mathcal{O}_X$ . There is an injective homomorphism

$$\alpha : \mathcal{O}_X \rightarrow \mathcal{F} \otimes \mathcal{O}_X(aH)$$

for some large integer  $a$ . We consider the exact sequence

$$0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{F} \otimes \mathcal{O}_X(aH) \rightarrow \text{Coker} \alpha \rightarrow 0$$

and use the induction on  $\text{rank} \mathcal{F}$ . Then we can find  $m(\mathcal{F}, H)$ .  $\square$

From now on, we assume  $\mathcal{F} = \mathcal{O}_X$ .

**Step 7.** If the characteristic of  $k$  is zero, then Theorem 1.1 holds.

*Proof.* Let  $f : Y \rightarrow X$  be a resolution. Then we obtain the following exact sequence

$$0 \rightarrow f_*\omega_Y \rightarrow \mathcal{O}_X(bH) \rightarrow \mathcal{C} \rightarrow 0$$

for some integer  $b$ , where  $\dim \text{Supp } \mathcal{C} < \dim X$ . Note that  $f_*\omega_Y$  is torsion-free and  $\text{rank } f_*\omega_Y$  is one. On the other hand,

$$H^j(X, f_*\omega_Y \otimes \mathcal{O}_X(mH + D)) = 0$$

for every  $m > 0$  and  $j > 0$  by Kollár's vanishing theorem. Therefore,

$$H^j(X, \mathcal{O}_X((b+m)H + D)) = 0$$

for every positive integer  $m \geq m(\mathcal{C}, H)$  and  $j > 0$ .  $\square$

**Step 8.** We can reduce the proof to the case when  $\mathcal{F} = \omega_X$ , where  $\omega_X$  is the dualizing sheaf of  $X$ .

**Remark 1.3.** The dualizing sheaf  $\omega_X$  is denoted by  $\omega_X^\circ$  in [H, Chapter III §7]. We know that  $\omega_X^\circ \simeq \mathcal{E}xt_{\mathcal{O}_{\mathbb{P}^N}}^{N-\dim X}(\mathcal{O}_X, \omega_{\mathbb{P}^N})$  when  $X \subset \mathbb{P}^N$ . For details, see the proof of Proposition 7.5 in [H, Chapter III §7].

*Proof.* We assume that Theorem 1.1 holds for  $\mathcal{F} = \omega_X$ . There is an injective homomorphism

$$\beta : \omega_X \rightarrow \mathcal{O}_X(cH)$$

for some positive integer  $c$ . Note that  $\omega_X$  is torsion-free. We consider the exact sequence

$$0 \rightarrow \omega_X \rightarrow \mathcal{O}_X(cH) \rightarrow \text{Coker } \beta \rightarrow 0.$$

We note that  $\dim \text{Supp } \text{Coker } \beta < \dim X$  because

$$\text{rank } \omega_X = \text{rank } \mathcal{O}_X(cH) = 1.$$

Therefore, we can find  $m(\mathcal{O}_X, H)$  by the induction on dimension and Theorem 1.1 for  $\omega_X$ .  $\square$

From now on, we assume that  $\mathcal{F} = \omega_X$  and that the characteristic of  $k$  is positive.

**Step 9.** Theorem 1.1 holds when the characteristic of  $k$  is positive.

*Proof.* Let  $X \rightarrow \mathbb{P}^N$  be the embedding induced by  $H$ . Let

$$\begin{array}{ccc} X & \xrightarrow{F} & X \\ \downarrow & & \downarrow \\ \mathbb{P}^N & \xrightarrow{F} & \mathbb{P}^N \end{array}$$

be the commutative diagram of the Frobenius morphisms. By taking  $R\mathcal{H}om_{\mathcal{O}_{\mathbb{P}^N}}(\_, \omega_{\mathbb{P}^N}^\bullet)$  to  $\mathcal{O}_X \rightarrow F_*\mathcal{O}_X$ , we obtain

$$R\mathcal{H}om_{\mathcal{O}_{\mathbb{P}^N}}(F_*\mathcal{O}_X, \omega_{\mathbb{P}^N}^\bullet) \rightarrow R\mathcal{H}om_{\mathcal{O}_{\mathbb{P}^N}}(\mathcal{O}_X, \omega_{\mathbb{P}^N}^\bullet).$$

By the Grothendieck duality,

$$R\mathcal{H}om_{\mathcal{O}_{\mathbb{P}^N}}(F_*\mathcal{O}_X, \omega_{\mathbb{P}^N}^\bullet) \simeq F_*R\mathcal{H}om_{\mathcal{O}_{\mathbb{P}^N}}(\mathcal{O}_X, \omega_{\mathbb{P}^N}^\bullet).$$

Therefore, we obtain

$$\gamma : F_*\omega_X \rightarrow \omega_X.$$

Note that  $\omega_X = \mathcal{E}xt_{\mathcal{O}_{\mathbb{P}^N}}^{N-\dim X}(\mathcal{O}_X, \omega_{\mathbb{P}^N})$ . Let  $U$  be a non-empty Zariski open set of  $X$  such that  $U$  is smooth. We can easily check that

$$\gamma : F_*\omega_X \rightarrow \omega_X$$

is surjective on  $U$ . Note that the cokernel  $\mathcal{A}$  of  $\mathcal{O}_X \rightarrow F_*\mathcal{O}_X$  is locally free on  $U$ . Then  $\mathcal{E}xt_{\mathcal{O}_{\mathbb{P}^N}}^k(\mathcal{A}, \omega_{\mathbb{P}^N}) = 0$  for  $k > N - \dim X$  on  $U$ . We consider the exact sequences

$$0 \rightarrow \text{Ker}\gamma \rightarrow F_*\omega_X \rightarrow \text{Im}\gamma \rightarrow 0$$

and

$$0 \rightarrow \text{Im}\gamma \rightarrow \omega_X \rightarrow \mathcal{C} \rightarrow 0.$$

Then  $\dim \text{Supp } \mathcal{C} < \dim X$ . Note that there is an integer  $m_1$  such that

$$H^2(X, \text{Ker}\gamma \otimes \mathcal{O}_X(mH + D)) = 0$$

for every  $m \geq m_1$  by Step 5. By applying the induction on dimension to  $\mathcal{C}$ , we obtain some positive integer  $m_0$  such that

$$H^1(X, F_*\omega_X \otimes \mathcal{O}_X(mH + D)) \rightarrow H^1(X, \omega_X \otimes \mathcal{O}_X(mH + D))$$

is surjective for every  $m \geq m_0$ . We note that

$$H^1(X, F_*\omega_X \otimes \mathcal{O}_X(mH + D)) \simeq H^1(X, \omega_X \otimes \mathcal{O}_X(p(mH + D)))$$

by the projection formula, where  $p$  is the characteristic of  $k$ . By repeating the above process, we obtain that

$$H^1(X, \omega_X \otimes \mathcal{O}_X(p^e(mH + D))) \rightarrow H^1(X, \omega_X \otimes \mathcal{O}_X(mH + D))$$

is surjective for every  $e > 0$  and  $m \geq m_0$ . Note that  $m_0$  is independent of the nef divisor  $D$ . Therefore, by Serre's vanishing theorem, we obtain

$$H^1(X, \omega_X \otimes \mathcal{O}_X(mH + D)) = 0$$

for every  $m \geq m_0$ . □

We finish the proof of Theorem 1.1. □

In Step 9, we can use the following elementary lemma to construct a generically surjective homomorphism  $F_*\omega_X \rightarrow \omega_X$ .

**Lemma 1.4** (cf. [F2, (5.7) Corollary]). *Let  $f : V \rightarrow W$  be a projective surjective morphism between projective varieties defined over an algebraically closed field  $k$  with  $\dim V = \dim W = n$ . Then there is a generically surjective homomorphism  $\varphi : f_*\omega_V \rightarrow \omega_W$ .*

*Proof.* By the definition (cf. [H, Chapter III §7]),  $H^n(V, \omega_V) \neq 0$ . We consider the Leray spectral sequence

$$E_2^{p,q} = H^p(W, R^q f_*\omega_V) \Rightarrow H^{p+q}(V, \omega_V).$$

Note that  $\text{Supp} R^q f_*\omega_V$  is contained in the set

$$W_q := \{w \in W \mid \dim f^{-1}(w) \geq q\}.$$

Since  $\dim f^{-1}(W_q) < n$  for every  $q > 0$ , we have  $\dim W_q < n - q$  for every  $q > 0$ . Therefore,  $E_2^{n-q,q} = 0$  unless  $q = 0$ . Thus we obtain  $E_2^{n,0} = H^n(W, f_*\omega_V) \neq 0$  since  $H^n(V, \omega_V) \neq 0$ . By the definition of  $\omega_W$ ,  $\text{Hom}(f_*\omega_V, \omega_W) \neq 0$ . We take a non-zero element  $\varphi \in \text{Hom}(f_*\omega_V, \omega_W)$  and consider  $\text{Im}(\varphi) \subset \omega_W$ . Since  $\text{Hom}(\text{Im}(\varphi), \omega_W) \neq 0$ , we have  $H^n(W, \text{Im}(\varphi)) \neq 0$  (see [H, Chapter III §7]). This implies that  $\dim \text{Supp} \text{Im}(\varphi) = n$ . Therefore,  $\varphi : f_*\omega_V \rightarrow \omega_W$  is generically surjective since  $\text{rank } \omega_W = 1$ .  $\square$

**Remark 1.5.** In Lemma 1.4, if  $R^q f_*\omega_V = 0$  for every  $q > 0$ , then we obtain  $H^n(W, f_*\omega_V) \simeq H^n(V, \omega_V)$ . We note that  $H^n(V, \omega_V) \simeq k$  since  $k$  is algebraically closed. Therefore,  $\text{Hom}(f_*\omega_V, \omega_W) \simeq k$ . This means that, for any non-trivial homomorphism  $\psi : f_*\omega_V \rightarrow \omega_W$ , there is some  $a \in k \setminus \{0\}$  such that  $\psi = a\varphi$ , where  $\varphi$  is given in Lemma 1.4. Note that  $R^q f_*\omega_V = 0$  for every  $q > 0$  if  $f$  is finite. We also note that  $R^q f_*\omega_V = 0$  for every  $q > 0$  if the characteristic of  $k$  is zero and  $V$  has only rational singularities by the Grauert–Riemenschneider vanishing theorem or by Kollár’s torsion-free theorem (see also Lemma 1.6 below).

Although the following lemma is a special case of Kollár’s torsion-freeness, it easily follows from the Kawamata–Viehweg vanishing theorem.

**Lemma 1.6** (cf. [F2, (4.13) Proposition]). *Let  $f : V \rightarrow W$  be a projective surjective morphism from a smooth projective variety  $V$  to a projective variety  $W$ , which is defined over an algebraically closed field  $k$  of characteristic zero. Then  $R^q f_*\omega_V = 0$  for every  $q > \dim V - \dim W$ .*

*Proof.* Let  $A$  be a sufficiently ample Cartier divisor on  $W$  such that

$$H^0(W, R^q f_*\omega_V \otimes \mathcal{O}_W(A)) \simeq H^q(V, \omega_V \otimes \mathcal{O}_V(f^*A))$$

and that  $R^q f_*\omega_V \otimes \mathcal{O}_W(A)$  is generated by global sections for every  $q$ . We note that the numerical dimension  $\nu(V, f^*A)$  of  $f^*A$  is  $\dim W$ .

Therefore, we can easily check that

$$H^q(V, \omega_V \otimes \mathcal{O}_V(f^*A)) = 0$$

for  $q > \dim V - \dim W = \dim V - \nu(V, f^*A)$  by the Kawamata–Viehweg vanishing theorem. Thus, we obtain  $R^q f_* \omega_V = 0$  for  $q > \dim V - \dim W$ .  $\square$

**Remark 1.7.** In [F2, Section 4], Takao Fujita proves Lemma 1.6 for a proper surjective morphism  $f : V \rightarrow W$  from a complex manifold  $V$  in Fujiki's class  $\mathcal{C}$  to a projective variety  $W$ . His proof uses the theory of harmonic forms. For the details, see [F2, Section 4]. See also Theorem 1.8 below.

The following theorem is a weak generalization of Kodaira's vanishing theorem. We need no new ideas to prove Theorem 1.8. The proof of Kodaira's vanishing theorem based on Bochner's method works.

**Theorem 1.8** (A weak generalization of Kodaira's vanishing theorem). *Let  $X$  be an  $n$ -dimensional compact Kähler manifold and let  $\mathcal{L}$  be a line bundle on  $X$  whose curvature form  $\sqrt{-1}\Theta(\mathcal{L})$  is semi-positive and has at least  $k$  positive eigenvalues on a dense open subset of  $X$ . Then  $H^i(X, \omega_X \otimes \mathcal{L}) = 0$  for  $i > n - k$ .*

We note that  $H^i(X, \omega_X \otimes \mathcal{L})$  is isomorphic to  $\mathcal{H}^{n,i}(X, \mathcal{L})$ , which is the space of  $\mathcal{L}$ -valued harmonic  $(n, i)$ -forms on  $X$ . By Nakano's formula, we can easily check that  $\mathcal{H}^{n,i}(X, \mathcal{L}) = 0$  for  $i + k \geq n + 1$ .

We close this section with a slight generalization of Kollár's result (cf. [K, Proposition 7.6]), which is related to Lemma 1.4.

**Proposition 1.9.** *Let  $f : V \rightarrow W$  be a proper surjective morphism between normal algebraic varieties with connected fibers, which is defined over an algebraically closed field  $k$  of characteristic zero. Assume that  $V$  and  $W$  have only rational singularities. Then  $R^d f_* \omega_V \simeq \omega_W$  where  $d = \dim V - \dim W$ .*

*Proof.* We can construct a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\pi} & V \\ g \downarrow & & \downarrow f \\ Y & \xrightarrow[p]{} & W \end{array}$$

with the following properties.

- (i)  $X$  and  $Y$  are smooth algebraic varieties.
- (ii)  $\pi$  and  $p$  are projective birational.

- (iii)  $g$  is projective, and smooth outside a simple normal crossing divisor  $\Sigma$  on  $Y$ .

We note that  $R^j g_* \omega_X$  is locally free for every  $j$ . By the Grothendieck duality, we have

$$Rg_* \mathcal{O}_X \simeq R\mathcal{H}om_{\mathcal{O}_Y}(Rg_* \omega_X^\bullet, \omega_Y^\bullet).$$

Therefore, we have

$$\mathcal{O}_Y \simeq \mathcal{H}om_{\mathcal{O}_Y}(R^d g_* \omega_X, \omega_Y).$$

Thus, we obtain  $R^d g_* \omega_X \simeq \omega_Y$ . By applying  $p_*$ , we have  $p_* R^d g_* \omega_X \simeq p_* \omega_Y \simeq \omega_W$ . We note that  $p_* R^d g_* \omega_X \simeq R^d (p \circ g)_* \omega_X$  since  $R^i p_* R^d g_* \omega_X = 0$  for every  $i > 0$ . On the other hand,

$$R^d (p \circ g)_* \omega_X \simeq R^d (f \circ \pi)_* \omega_X \simeq R^d f_* \omega_V$$

since  $R^i \pi_* \omega_X = 0$  for every  $i > 0$  and  $\pi_* \omega_X \simeq \omega_V$ . Therefore, we obtain  $R^d f_* \omega_V \simeq \omega_W$ .  $\square$

## 2. APPLICATIONS

In this section, we discuss some applications of Theorem 1.1. For more general statements and other applications, see [F2, Section 6].

**Theorem 2.1** (cf. [F1, Theorem (4)] and [F2, (6.2) Theorem]). *Let  $\mathcal{F}$  be a coherent sheaf on a scheme  $X$  which is proper over an algebraically closed field  $k$ . Let  $\mathcal{L}$  be a nef line bundle on  $X$ . Then*

$$\dim H^q(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) \leq O(t^{m-q})$$

where  $m = \dim \text{Supp} \mathcal{F}$ .

*Proof.* First, we assume that  $X$  is projective. We use the induction on  $q$ . Let  $H$  be an effective ample Cartier divisor on  $X$  such that  $\mathcal{L} \otimes \mathcal{O}_X(H)$  is ample. Since

$$H^0(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) \subset H^0(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t} \otimes \mathcal{O}_X(tH))$$

for every positive integer  $t$ , we can assume that  $\mathcal{L}$  is ample by replacing  $\mathcal{L}$  with  $\mathcal{L} \otimes \mathcal{O}_X(H)$ . In this case,  $\dim H^0(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) \leq O(t^m)$  because

$$\dim H^0(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) = \chi(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t})$$

for  $t \gg 0$  by Serre's vanishing theorem. When  $q > 0$ , by Theorem 1.1, we have a very ample Cartier divisor  $A$  on  $X$  such that

$$H^q(X, \mathcal{F} \otimes \mathcal{O}_X(A) \otimes \mathcal{L}^{\otimes t}) = 0$$



for every  $t \geq 0$ . Let  $D$  be a general member of  $|A|$  such that the induced homomorphism  $\alpha : \mathcal{F} \otimes \mathcal{O}_X(-D) \rightarrow \mathcal{F}$  is injective. Then

$$\begin{aligned} \dim H^q(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) &\leq \dim H^{q-1}(D, \text{Coker}(\alpha) \otimes \mathcal{O}_D(A) \otimes \mathcal{L}^{\otimes t}) \\ &\leq O(t^{m-q}) \end{aligned}$$

by the induction hypothesis. Therefore, we obtain the theorem when  $X$  is projective.

Next, we consider the general case. We use the Noetherian induction on  $\text{Supp} \mathcal{F}$ . By the same arguments as in Step 2 and Step 3 in the proof of Theorem 1.1, we may assume that  $X = \text{Supp} \mathcal{F}$  is a variety, that is,  $X$  is reduced and irreducible. By Chow's lemma, there is a birational morphism  $f : V \rightarrow X$  from a projective variety  $V$ . We put  $\mathcal{G} = f^* \mathcal{F}$  and consider the natural homomorphism  $\beta : \mathcal{F} \rightarrow f_* \mathcal{G}$ . Since  $\beta$  is an isomorphism on a non-empty Zariski open subset of  $X$ . We consider the following short exact sequences

$$0 \rightarrow \text{Ker}(\beta) \rightarrow \mathcal{F} \rightarrow \text{Im}(\beta) \rightarrow 0$$

and

$$0 \rightarrow \text{Im}(\beta) \rightarrow f_* \mathcal{G} \rightarrow \text{Coker}(\beta) \rightarrow 0.$$

By the induction, we obtain

$$\dim H^q(X, \text{Ker}(\beta) \otimes \mathcal{L}^{\otimes t}) \leq O(t^{m-q})$$

and

$$\dim H^{q-1}(X, \text{Coker}(\beta) \otimes \mathcal{L}^{\otimes t}) \leq O(t^{m-q}).$$

Therefore, it is sufficient to see that

$$\dim H^q(X, f_* \mathcal{G} \otimes \mathcal{L}^{\otimes t}) \leq O(t^{m-q}).$$

We consider the Leray spectral sequence

$$E_2^{i,j} = H^i(X, R^j f_* \mathcal{G} \otimes \mathcal{L}^{\otimes t}) \Rightarrow H^{i+j}(V, \mathcal{G} \otimes (f^* \mathcal{L})^{\otimes t}).$$

Then we have

$$\begin{aligned} \dim H^q(X, f_* \mathcal{G} \otimes \mathcal{L}^{\otimes t}) &\leq \sum_{j \geq 1} \dim H^{q-j-1}(X, R^j f_* \mathcal{G} \otimes \mathcal{L}^{\otimes t}) \\ &\quad + \dim H^q(V, \mathcal{G} \otimes (f^* \mathcal{L})^{\otimes t}). \end{aligned}$$

Note that

$$\dim H^q(V, \mathcal{G} \otimes (f^* \mathcal{L})^{\otimes t}) \leq O(t^{m-q})$$

since  $V$  is projective. On the other hand, we have

$$\dim \text{Supp} R^j f_* \mathcal{G} \leq \dim X - j - 1$$

for every  $j \geq 1$  as in the proof of Lemma 1.4. Therefore,

$$\dim H^{q-j-1}(X, R^j f_* \mathcal{G} \otimes \mathcal{L}^{\otimes t}) \leq O(t^{m-q})$$

by the induction hypothesis. Thus, we obtain

$$\dim H^q(X, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) \leq O(t^{m-q}).$$

We complete the proof.  $\square$

As an application of Theorem 2.1, we can prove Fujita's numerical characterization of nef and big line bundles. We note that the characteristic of the base field is arbitrary in Corollary 2.2.

**Corollary 2.2** (cf. [F1, Theorem (6)] and [F2, (6.5) Corollary]). *Let  $\mathcal{L}$  be a nef line bundle on a proper algebraic variety  $V$  defined over an algebraically closed field  $k$  with  $\dim V = n$ . Then  $\kappa(X, \mathcal{L}) = n$  if and only if the self-intersection number  $\mathcal{L}^n$  is positive. We note that  $\mathcal{L}$  is called big when  $\kappa(V, \mathcal{L}) = n$ .*

*Proof.* It is well known that

$$\chi(V, \mathcal{L}^{\otimes t}) - \frac{\mathcal{L}^n}{n!} t^n \leq O(t^{n-1}).$$

By Theorem 2.1, we have

$$\dim H^0(V, \mathcal{L}^{\otimes t}) - \chi(V, \mathcal{L}^{\otimes t}) \leq O(t^{n-1}).$$

Therefore,  $\kappa(V, \mathcal{L}) = n$  if and only if  $\mathcal{L}^n > 0$ . Note that  $\mathcal{L}^n \geq 0$  since  $\mathcal{L}$  is nef.  $\square$

**Corollary 2.3** (cf. [F1, Corollary (7)] and [F2, (6.7) Corollary]). *Let  $\mathcal{L}$  be a nef and big line bundle on a projective variety  $V$  defined over an algebraically closed field  $k$  with  $\dim V = n$ . Then, for any coherent sheaf  $\mathcal{F}$  on  $V$ , we have*

$$\dim H^q(V, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) \leq O(t^{n-q-1})$$

for every  $q \geq 1$ . In particular,  $H^n(V, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) = 0$  for  $t \gg 0$ .

*Proof.* Let  $A$  be an ample Cartier divisor such that

$$H^i(V, \mathcal{F} \otimes \mathcal{O}_V(A) \otimes \mathcal{L}^{\otimes t}) = 0$$

for every  $i > 0$  and  $t \geq 0$ . Since  $\mathcal{L}$  is big, there is a positive integer  $m$  such that  $|\mathcal{L}^{\otimes m} \otimes \mathcal{O}_V(-A)| \neq \emptyset$ . We take  $D \in |\mathcal{L}^{\otimes m} \otimes \mathcal{O}_V(-A)|$  and consider the homomorphism  $\gamma : \mathcal{F} \otimes \mathcal{O}_V(-D) \rightarrow \mathcal{F}$  induced by  $\gamma$ . Then we have

$$\begin{aligned} \dim H^q(V, \mathcal{F} \otimes \mathcal{L}^{\otimes t}) &\leq \dim H^q(V, \text{Coker}(\gamma) \otimes \mathcal{L}^{\otimes t}) \\ &\quad + \dim H^q(V, \text{Im}(\gamma) \otimes \mathcal{L}^{\otimes t}), \end{aligned}$$

and

$$\begin{aligned} \dim H^q(V, \operatorname{Im}(\gamma) \otimes \mathcal{L}^{\otimes t}) &\leq \dim H^q(V, \mathcal{F} \otimes \mathcal{O}_V(-D) \otimes \mathcal{L}^{\otimes t}) \\ &\quad + \dim H^{q+1}(V, \operatorname{Ker}(\gamma) \otimes \mathcal{L}^{\otimes t}) \\ &= \dim H^{q+1}(V, \operatorname{Ker}(\gamma) \otimes \mathcal{L}^{\otimes t}) \end{aligned}$$

for every  $t \geq m$ . It is because

$$\begin{aligned} H^q(V, \mathcal{F} \otimes \mathcal{O}_V(-D) \otimes \mathcal{L}^{\otimes t}) \\ \simeq H^q(V, \mathcal{F} \otimes \mathcal{O}_V(A) \otimes \mathcal{L}^{\otimes(t-m)}) = 0 \end{aligned}$$

for every  $t \geq m$ . Note that

$$\dim H^q(V, \operatorname{Coker}(\gamma) \otimes \mathcal{L}^{\otimes t}) \leq O(t^{n-1-q})$$

by Theorem 2.1 since  $\operatorname{Supp}\operatorname{Coker}(\gamma)$  is contained in  $D$ . On the other hand,

$$\dim H^{q+1}(V, \operatorname{Ker}(\gamma) \otimes \mathcal{L}^{\otimes t}) \leq O(t^{n-q-1})$$

by Theorem 2.1. By combining these estimates, we obtain the desired estimate.  $\square$

**Acknowledgments.** I thank Professor Takao Fujita very much for explaining the proof of his vanishing theorem in details and giving me useful comments. I also thank Professor Takeshi Abe for discussions.

#### REFERENCES

- [F1] T. Fujita, Vanishing theorems for semipositive line bundles, *Algebraic geometry* (Tokyo/Kyoto, 1982), 519–528, Lecture Notes in Math., **1016**, Springer, Berlin, 1983.
- [F2] T. Fujita, Semipositive line bundles, *J. Fac. Sci. Univ. Tokyo Sect. IA Math.* **30** (1983), no. 2, 353–378.
- [H] R. Hartshorne, *Algebraic geometry*, Graduate Texts in Mathematics, No. **52**. Springer-Verlag, New York-Heidelberg, 1977.
- [K] J. Kollár, Higher direct images of dualizing sheaves. I, *Ann. of Math. (2)* **123** (1986), no. 1, 11–42.
- [L] R. Lazarsfeld, *Positivity in algebraic geometry. I. Classical setting: line bundles and linear series*, *Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics [Results in Mathematics and Related Areas. 3rd Series. A Series of Modern Surveys in Mathematics]*, **48**. Springer-Verlag, Berlin, 2004.

DEPARTMENT OF MATHEMATICS, FACULTY OF SCIENCE, KYOTO UNIVERSITY,  
KYOTO 606-8502, JAPAN

*E-mail address:* fujino@math.kyoto-u.ac.jp