

Ricci curvature and almost spherical multi-suspension

Shouhei Honda

1 Introduction

In this paper, we give a generalization of suspension theorem for almost maximal diameter that is proved by J.Cheeger and T.H.Colding. As a consequence, we can get some sphere theorems. And we introduce the relation to first eigenvalue of Laplacian and to the structure of tangent cone of non-collapsing limit spaces.

One of the main results of this paper is the following theorem;

Main Theorem 1

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, we assume there exists $p_i, q_i \in M$ ($i = 1, 2, \dots, k$) such that

for each i , $|\overline{p_i, q_i} - \pi| < \epsilon$ holds, for $i \neq j$, $|\overline{p_i, p_j} - \frac{\pi}{2}| < \epsilon$ holds.

Here $\epsilon < \epsilon_n$ is sufficiently small positive number. Then we have

1. $k \leq n + 1$.
2. If $1 \leq k \leq n - 1$, then there exist a compact length space Z ($diam_Z \leq \pi$) such that

$$d_{GH}(M, \mathbf{S}^{k-1} * Z) < \Psi(\epsilon|n).$$

3. If $k = n$, or $n + 1$, then

$$d_{GH}(M, \mathbf{S}^n) < \Psi(\epsilon|n).$$

Especially, M is diffeomorphic to \mathbf{S}^n .

Here, $\Psi(\epsilon|n)$ is a function from $\mathbf{R}_{>0} \times \mathbf{N}$ to $\mathbf{R}_{>0}$ such that for each $n \in \mathbf{N}$

$$\lim_{\epsilon \rightarrow 0} \Psi(\epsilon|n) = 0.$$

And $\mathbf{S}^{k-1} * Z$ is k -fold spherical suspension of Z .

d_{GH} is the Gromov-Hausdorff distance between compact metric spaces.

Main Theorem 1 gives some sphere theorem in case $k = n$.

Let us review some related result.

Let M be an n dimensional complete Riemannian manifold with $Ric_M \geq n - 1$.

Then, we have

$$diam_M \leq \pi, \quad rad_M \leq \pi, \quad vol(M) \leq vol(\mathbf{S}^n).$$

Especially, M is compact.

Here, $diam_M$, rad_M , $vol(M)$ are diameter, radius, volume of M each, and \mathbf{S}^n is n dimensional standard unit sphere in $n + 1$ dimensional Euclidean space.

Of course, if M is isometric to \mathbf{S}^n , then above inequality are equality. Conversely, *If above some inequality satisfies equality, then M is isometric to \mathbf{S}^n .*

Now, we consider perturbation version of this.

The perturbation version for volume and radius is the following that is proved by T.H.Colding.

Theorem 1.1 (T.H.Colding [10, 11])

With notation as above, we assume

$$vol(M) \geq vol(\mathbf{S}^n) - \epsilon \quad (or \ rad_M \geq \pi - \epsilon).$$

Here $\epsilon < \epsilon_n$ is sufficiently small positive number. Then we have

$$d_{GH}(M, \mathbf{S}^n) < \Psi(\epsilon|n).$$

Especially, M is diffeomorphic to \mathbf{S}^n .

Here, last statement, "diffeomorphic" is a result of stability theorem that is proved by J.Cheeger and T.H.Colding. (See Theorem 2.26 in section 2)

But a statement corresponding to a diameter is not true. But the following result is proved by J.Cheeger and T.H.Colding.

Theorem 1.2 (J.Cheeger, T.H.Colding [4])

With notation as above, we assume

$$diam_M \geq \pi - \epsilon.$$

Here $\epsilon < \epsilon_n$ is sufficiently small positive number. Then there exist a compact length space Z with $diam_Z \leq \pi$ such that

$$d_{GH}(M, \mathbf{S}^0 * Z) < \Psi(\epsilon|n).$$

Theorem 1.2 corresponds to case $k = 1$ of Main Theorem 1.

$$0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \cdots$$

denotes eigenvalues of Laplacian on M .

Main Theorem 2

With notation as above,

$$\text{Assumption of Main Theorem 1 holds} \iff \lambda_k \leq n + \epsilon.$$

We will explain this theorem in section 3.

Acknowledgement

*I am grateful to Professor Kenji Fukaya for numerous suggestions and advices.
And I am grateful to Professor Takashi Sakai for pointing out Main Theorem 2.*

2 Proof of Main Theorem 1**2.1 Preliminaries**

Notation A function $\psi : \mathbf{R}_{>0}^k \times \mathbf{R}^l \rightarrow \mathbf{R}_{>0}$ such that

$$\lim_{\epsilon_1, \epsilon_2, \dots, \epsilon_k \rightarrow 0} \psi(\epsilon_1, \epsilon_2, \dots, \epsilon_k | c_1, c_2, \dots, c_k) = 0,$$

it is denoted by

$$\Psi(\epsilon_1, \epsilon_2, \dots, \epsilon_k | c_1, c_2, \dots, c_k). \quad (\text{or simply, } \Psi)$$

Therefore, for example,

$$2\Psi(\epsilon_1, \epsilon_2, \epsilon_3, \dots, \epsilon_k | c_1, c_2, \dots, c_l) = \Psi(\epsilon_1, \epsilon_2, \epsilon_3, \dots, \epsilon_k | c_1, c_2, \dots, c_l).$$

And we use the following notation;

$$a = b \pm \Psi \iff |a - b| < \Psi.$$

Z is a metric space, Z and $z \in Z, r > 0$, we put

$$\mathbf{B}_r(z) := \{w \in Z | \overline{z, w} < r\}, \quad \overline{\mathbf{B}}_r(z) := \{w \in Z | \overline{z, w} \leq r\}, \quad \partial \mathbf{B}_r(z) := \{w \in Z | \overline{z, w} = r\}.$$

Here, $\overline{z, w}$ is a distance between z and w .

Definition 2.1 (length space)

We say that Z is a length space if for each $z_1, z_2 \in Z$, there exist a continuous map $c : [0, 1] \rightarrow Z$ such that

$$\text{length}(c) = \overline{z_1, z_2}$$

Remark 2.2

We skip the definition of the length for above continuous map. See [2] for details.

Definition 2.3 (spherical suspension)

We define a metric on $[0, \pi] \times Z/\sim$ (here, \sim is an equivalent relation such that $\{0\} \times Z$ and $\{\pi\} \times Z$ goes to point each.) as

$$\overline{(t_1, z_1), (t_2, z_2)} \stackrel{\text{def}}{=} \arccos(\cos t_1 \cos t_2 + \sin t_1 \sin t_2 \cos \min\{\overline{z_1}, \overline{z_2}, \pi\}).$$

Then, this metric space is denoted by

$$\mathbf{S}^0 * Z$$

and we call spherical suspension of Z .

And we define

$$\mathbf{S}^k * Z := \overbrace{\mathbf{S}^0 * (\mathbf{S}^0 * (\cdots * (\mathbf{S}^0 * Z) \cdots))}^{k+1}.$$

Remark 2.4

If Z is compact, then $\mathbf{S}^0 * Z$ is compact. And, if Z is length space, then $\mathbf{S}^0 * Z$ is also a length space.

We put $\mathcal{M} = \{\text{isometry class of compact metric space}\}$, then,

$$\mathbf{S}^0 * : \mathcal{M} \longrightarrow \mathcal{M}$$

is uniformly continuous map for d_{GH} .

Namely, for W, Z : are compact metric space,

$$d_{GH}(Z, W) < \epsilon \implies d_{GH}(\mathbf{S}^0 * Z, \mathbf{S}^0 * W) < \Psi(\epsilon)$$

holds.

Now we introduce a segment inequality.

For an n dimensional complete Riemannian manifold M ($n \geq 2$) with $Ric_M \geq n - 1$, $g : M \longrightarrow \mathbf{R}_{\geq 0}$, we put $\mathcal{F}_g : M \times M \longrightarrow \mathbf{R}_{\geq 0}$ into

$$\mathcal{F}_g(x, y) := \inf_{\gamma} \int_{\gamma} g(\gamma(t)) dt.$$

Here, infimum runs all normal geodesic γ from x to y .

Theorem 2.5 (J.Cheeger, T.H.Colding [3])

With notation as above,

$$\int_{M \times M} \mathcal{F}_g(x, y) dx dy \leq C(n) \text{vol} M \int_M g(x) dx.$$

Here, $C(n)$ is a positive constant depending only on n .

Remark 2.6

In fact, above theorem is a special case of segment inequality that is proved by J.Cheeger and T.H.Colding. They prove the statement under $Ric_M \geq -(n - 1)$. But, in this situation, it is sufficient to prove main result.

2.2 Proof of Almost cosine formura (Analytic part)

From now on, fix an integer $n \geq 2$, a positive number ϵ , and M always denotes an n dimensional complete Riemannian manifold with $Ric_M \geq n - 1$ and $p, q \in M$ such that $\overline{p, q} \geq \pi - \epsilon$ holds. We put $f(x) := \cos \overline{p, x}$.

Lemma 2.7 (T.H.Colding [10])

With notation as above, there exist $\tilde{f} \in C^\infty(M)$ such that

$$\frac{1}{\text{vol}(M)} \int_M |f(x) - \tilde{f}(x)|^2 dx < \Psi(\epsilon|n),$$

$$\frac{1}{\text{vol}(M)} \int_M |\nabla f - \nabla \tilde{f}|^2 dx < \Psi(\epsilon|n),$$

$$\frac{1}{\text{vol}(M)} \int_M |\text{Hess}_{\tilde{f}} + \tilde{f}g_M|^2 dx < \Psi(\epsilon|n).$$

Here g_M is Riemannian metric on M .

Lemma 2.8 (K.Grove, P.Petersen [17])

For each $x \in M$,

$$\overline{p, x} + \overline{q, x} - \overline{p, q} < \Psi(\epsilon|n).$$

Lemma 2.9

For each $x \in M, t \in [-1, 1]$ ($f^{-1}(t) \neq \emptyset$),

1. If $f(x) \leq t$, then

$$\overline{x, f^{-1}(t)} + \overline{p, f^{-1}(t)} - \overline{x, p} = 0.$$

2. If $f(x) > t$, then

$$\overline{p, x} + \overline{x, f^{-1}(t)} - \overline{p, f^{-1}(t)} < \Psi(\epsilon|n).$$

Proof.

1. It is easy to see that there exist $y \in f^{-1}(t)$ such that

$$\overline{p, y} + \overline{x, y} = \overline{p, x}$$

On the other hand, for each $z \in f^{-1}(t)$,

$$\begin{aligned} \overline{x, y} &= \overline{p, x} - \overline{p, y} \\ &= \overline{p, x} - \overline{p, z} \\ &\leq \overline{x, z} \end{aligned}$$

So,

$$\overline{x, y} = \overline{x, f^{-1}(t)}.$$

This gives the claim.

2. We can assume $f(q) \leq t$ without loss of generality. Similarly above argument, there exist $y \in f^{-1}(t)$ such that

$$\overline{x, y} + \overline{y, q} = \overline{x, q}$$

From Lemma 2.8,

$$\overline{p, x} + \overline{x, y} - \overline{p, y} < \Psi.$$

So, for each $z \in f^{-1}(t)$,

$$\begin{aligned} \overline{x, y} &\leq \overline{p, y} - \overline{p, x} + \Psi \\ &= \overline{p, z} - \overline{p, x} + \Psi \\ &\leq \overline{z, x} + \Psi. \end{aligned}$$

Therefore,

$$|\overline{x, y} - \overline{x, f^{-1}(t)}| < \Psi$$

This gives the claim. \square

Lemma 2.10

We take a $\tilde{f} \in C^\infty(M)$ as in Lemma 2.7.

Then, for each $x, y, z \in M$, there exists $\hat{x}, \hat{y}, \hat{z} \in M$ with the following properties.

1. $\overline{x, \hat{x}} < \Psi(\epsilon|n)$, $\overline{y, \hat{y}} < \Psi(\epsilon|n)$, $\overline{z, \hat{z}} < \Psi(\epsilon|n)$,
 $|f(\hat{x}) - \tilde{f}(\hat{x})| < \Psi(\epsilon|n)$, $|f(\hat{y}) - \tilde{f}(\hat{y})| < \Psi(\epsilon|n)$, $|f(\hat{z}) - \tilde{f}(\hat{z})| < \Psi(\epsilon|n)$.
2. For each two elements in $\hat{x}, \hat{y}, \hat{z}$, one is not contained cut locus of the other.
3. There exist a unique normal geodesic from \hat{x} to \hat{y} ;

$$\sigma : [0, \overline{\hat{x}, \hat{y}}] \rightarrow M$$

and $U \subset [0, \overline{\hat{x}, \hat{y}}]$ such that

- (a) U is open and has full volume. And for each $u \in U$, there exist a unique normal geodesic from \hat{z} to $\sigma(u)$,

$$\tau_u : [0, l(u)] \rightarrow M \quad (l(u) := \overline{\hat{z}, \sigma(u)}).$$

- (b) It has following property;

$$\int_U |f(\sigma(u)) - \tilde{f}(\sigma(u))|^2 du < \Psi(\epsilon|n),$$

$$\int_U ||\nabla \tilde{f}|(\sigma(u)) - \sin \overline{p, \sigma(u)}|^2 du < \Psi(\epsilon|n),$$

$$\int_U \int_0^{l(u)} |\text{Hess}_{\tilde{f}} + \tilde{f}g_M|(\tau_u(s)) ds du < \Psi(\epsilon|n).$$

Proof. From Theorem 2.5,

$$\frac{1}{(\text{vol}(M))^3} \int_{M^3} \mathcal{F}_{\mathcal{F}_{|\text{Hess}_{\tilde{f}} + \tilde{f}g_M|}(c, \cdot)}(a, b) da db dc < \Psi(\epsilon|n) \quad (\#)$$

We take specific $\Psi(\epsilon|n)$ such that above inequality (#) and Lemma 2.7 holds, and denotes by $\psi_0(\epsilon|n)$.

i.e

$$1. \psi_0 : \mathbf{R}_{>0} \times \mathbf{N} \rightarrow \mathbf{R}_{>0}$$

$$2. \text{ For each } n \in \mathbf{N},$$

$$\lim_{\epsilon \rightarrow 0} \psi_0(\epsilon|n) = 0$$

holds.

$$3. \text{ Above inequality (\#) and Lemma 2.7 holds if we replace the } \Psi(\epsilon|n) \text{ by } \psi_0(\epsilon|n).$$

And, we take $\psi_i : \mathbf{R}_{>0} \times \mathbf{N} \rightarrow \mathbf{R}_{>0}$ ($i = 1, 2$) with the following properties;

$$\text{I. For each } n \in \mathbf{N},$$

$$\lim_{\epsilon \rightarrow 0} \psi_i(\epsilon|n) = 0$$

holds.

II.

$$\lim_{\epsilon \rightarrow 0} \frac{\psi_{i-1}(\epsilon|n)}{\psi_i(\epsilon|n)} = 0. \quad (i = 1, 2)$$

$\tilde{M} \subset M^3$ is a subset of M^3 consists of element $(a, b, c) \in M^3$ with the following properties;

- For each two elements in a, b, c , one is not contained cut locus of the other, and $\overrightarrow{a, b} \cap C_c$ is 0-set in $\overrightarrow{a, b}$. Here, C_c is cut locus of c .
- $|f(a) - \tilde{f}(a)| \leq \psi_1(\epsilon|n), \quad |f(b) - \tilde{f}(b)| \leq \psi_1(\epsilon|n), \quad |f(c) - \tilde{f}(c)| \leq \psi_1(\epsilon|n).$

- $$\int_{\vec{a}, \vec{b}} |f - \tilde{f}|^2 \leq \psi_1(\epsilon|n), \quad \int_{\vec{a}, \vec{b}} ||\nabla \tilde{f}| - \sin \overline{p}|^2 \leq \psi_1(\epsilon|n).$$
- $$\mathcal{F}_{\mathcal{F}_{|\mathbf{Hess}_{\tilde{f}} + \tilde{f}g_M|^2}(c, \cdot)}(a, b) \leq \psi_1(\epsilon|n).$$

Then, by using segment inequality, we have

$$\text{vol}(\tilde{M}) \geq (1 - \psi_2(\epsilon|n))(\text{vol}(M))^3.$$

From this and Bishop-Gromov's volume comparison theorem, we have the claim. \square

Lemma 2.11

For each $x \in M$, $t \in [-1, 1]$ and $z \in f^{-1}(t)$, $y \in f^{-1}(t)$ such that

$$\overline{x, y} = \overline{x, f^{-1}(t)},$$

we take $\hat{x}, \hat{y}, \hat{z}$ as in Lemma 2.10. (We use same notation in Lemma 2.10 below.)

1. If $f(x) \leq t$, then

$$\int_U |\nabla \tilde{f}(\sigma(u)) - \sin(\overline{p, x} - u)\sigma'(u)|^2 du < \Psi(\epsilon|n).$$

2. If $f(x) > t$, then

$$\int_U |\nabla \tilde{f}(\sigma(u)) + \sin(\overline{p, x} + u)\sigma'(u)|^2 du < \Psi(\epsilon|n).$$

Proof. First, Note that we have the following;

1. For each $u \in U$,

$$|\overline{p, \sigma(u)} - (\overline{p, x} - u)| < \Psi(\epsilon|n).$$

2. For each $u \in U$,

$$|\overline{p, \sigma(u)} - (\overline{p, x} + u)| < \Psi(\epsilon|n).$$

We skip the proof of this result because it is easy to prove by Lemma 2.8.

We will give only the proof of case 1 by using this result. (Case 2 is also similarly argument.)

$$\begin{aligned}
& \int_U |\nabla \tilde{f}(\sigma(u)) - \sin(\overline{p}, \overline{x} - u) \sigma'(u)|^2 du \\
&= \int_U (|\nabla \tilde{f}|^2(\sigma(u)) - 2 \sin(\overline{p}, \overline{x} - u) (\tilde{f} \circ \sigma)'(u) + \sin^2(\overline{p}, \overline{x} - u)) du \\
&= \int_U (\sin^2(\overline{p}, \overline{x} - u) - 2 \sin(\overline{p}, \overline{x} - u) (\tilde{f} \circ \sigma)'(u) + \sin^2(\overline{p}, \overline{x} - u)) du \pm \Psi \\
&= 2 \int_U (\sin^2(\overline{p}, \overline{x} - u) - \sin(\overline{p}, \overline{x} - u) (\tilde{f} \circ \sigma)'(u)) du \pm \Psi \\
&= 2 \int_U \sin^2(\overline{p}, \overline{x} - u) du - 2 [\sin(\overline{p}, \overline{x} - u) \tilde{f} \circ \sigma(u)]_0^{\hat{x}, \hat{y}} \\
&\quad + 2 \int_U -\cos(\overline{p}, \overline{x} - u) \tilde{f} \circ \sigma(u) du \pm \Psi \\
&= 2 \int_U \sin^2(\overline{p}, \overline{x} - u) du - 2 (\sin(\overline{p}, \hat{x} - \hat{x}, \hat{y}) \tilde{f}(\hat{y}) - \sin \overline{p}, \overline{x} \tilde{f}(\hat{x})) \\
&\quad + 2 \int_U -\cos^2(\overline{p}, \overline{x} - u) du \pm \Psi \\
&= 2 \int_U (\sin^2(\overline{p}, \overline{x} - u) - \cos^2(\overline{p}, \overline{x} - u)) du \\
&\quad - 2 (\sin \overline{p}, \hat{y} \cos \overline{p}, \overline{y} - \sin \overline{p}, \overline{x} \cos \overline{p}, \overline{x}) \pm \Psi \\
&= -2 \int_U \cos(2\overline{p}, \overline{x} - 2u) du - \sin 2\overline{p}, \overline{y} + \sin 2\overline{p}, \overline{x} \pm \Psi \\
&= [\sin(2\overline{p}, \overline{x} - 2u)]_0^{\hat{x}, \hat{y}} - \sin 2\overline{p}, \overline{y} + \sin 2\overline{p}, \overline{x} \pm \Psi \\
&= \sin 2(\overline{p}, \hat{x} - \hat{x}, \hat{y}) - \sin 2\overline{p}, \overline{x} - \sin 2\overline{p}, \overline{y} + \sin 2\overline{p}, \overline{x} \pm \Psi \\
&= \Psi \quad \square
\end{aligned}$$

Lemma 2.12

Under same assumption as in Lemma 2.11,

$$\left| \frac{\cos \hat{z}, \hat{x} - \cos \overline{p}, \hat{z} \cos \overline{p}, \hat{x}}{\sin \overline{p}, \hat{x}} - \frac{\cos \hat{y}, \hat{z} - \cos \overline{p}, \hat{y} \cos \overline{p}, \hat{z}}{\sin \overline{p}, \hat{y}} \right| \times \min\{\sin^2 \overline{p}, \hat{x}, \sin^2 \overline{p}, \hat{y}\} < \Psi(\epsilon|n)$$

Proof. We will give only the proof under assumption of case 1 in Lemma 2.11.

$$\begin{aligned} & \left| \frac{\cos \hat{z}, \hat{x} - \cos \overline{p}, \hat{z} \cos \overline{p}, \hat{x}}{\sin \overline{p}, \hat{x}} - \frac{\cos \hat{y}, \hat{z} - \cos \overline{p}, \hat{y} \cos \overline{p}, \hat{z}}{\sin \overline{p}, \hat{y}} \right| = \left| \int_U \left(\frac{\cos l(u) - \cos \overline{p}, \hat{z} \cos(\overline{p}, \hat{x} - u)}{\sin(\overline{p}, \hat{x} - u)} \right)' du \right| \\ &= \left| \int_U \left\{ \frac{(-\sin l(u) l'(u) - \cos \overline{p}, \hat{z} \sin(\overline{p}, \hat{x} - u)) \sin(\overline{p}, \hat{x} - u)}{\sin^2(\overline{p}, \hat{x} - u)} \right. \right. \\ & \quad \left. \left. + \frac{(\cos l(u) - \cos \overline{p}, \hat{z} \cos(\overline{p}, \hat{x} - u)) \cos(\overline{p}, \hat{x} - u)}{\sin^2(\overline{p}, \hat{x} - u)} \right\} du \right| \\ &\leq \frac{1}{\min\{\sin^2 \overline{p}, \hat{x}, \sin^2 \overline{p}, \hat{y}\}} \left\{ \int_U \left| -\sin l(u) < \tau'_u(l(u)), \sigma'(u) > \sin(\overline{p}, \hat{x} - u) \right. \right. \\ & \quad \left. \left. + \cos l(u) f(\sigma(u)) - \cos \overline{p}, \hat{z} \right| du \pm \Psi \right\} \\ &= \frac{1}{\min\{\sin^2 \overline{p}, \hat{x}, \sin^2 \overline{p}, \hat{y}\}} \left\{ \int_U \left| -\frac{d\tilde{f} \circ \tau_u(s)}{ds} \Big|_{s=l(u)} \sin l(u) + \cos l(u) \tilde{f}(\tau_u(l(u))) - \tilde{f}(\tau_u(0)) \right| du \pm \Psi \right\} \\ &= \frac{1}{\min\{\sin^2 \overline{p}, \hat{x}, \sin^2 \overline{p}, \hat{y}\}} \left\{ \int_U \left| \int_0^{l(u)} \frac{d}{ds} \left(-\frac{d\tilde{f} \circ \tau_u(s)}{ds} \sin s + \cos s \tilde{f}(\tau_u(s)) \right) ds \right| du \pm \Psi \right\} \\ &\leq \frac{1}{\min\{\sin^2 \overline{p}, \hat{x}, \sin^2 \overline{p}, \hat{y}\}} \left\{ \int_U \int_0^{l(u)} |\mathbf{Hess}_{\tilde{f}} + \tilde{f}g_M|(\tau_u(s)) ds du \pm \Psi \right\} \\ &= \frac{1}{\min\{\sin^2 \overline{p}, \hat{x}, \sin^2 \overline{p}, \hat{y}\}} \Psi \quad \square \end{aligned}$$

Proposition 2.13 (Almost cosine formula)

There exist $\delta = \delta(\epsilon, n) > 0$, ($\lim_{\epsilon \rightarrow 0} \delta(\epsilon, n) = 0$) with the following property;

For each $x \in M$, we take $z_x \in \partial \mathbf{B}_{\frac{\pi}{2}}(p)$ such that

$$\overline{x, z_x} = \overline{x, \partial \mathbf{B}_{\frac{\pi}{2}}(p)}.$$

Then, for each $x, x' \in M \setminus (\mathbf{B}_\delta(p) \cup \mathbf{B}_\delta(q))$,

$$\cos \overline{x, x'} = \cos \overline{p, x} \cos \overline{p, x'} + \sin \overline{p, x} \sin \overline{p, x'} \cos \overline{z_x, z_{x'}} \pm \Psi(\epsilon|n)$$

holds.

Proof. This is clear by Lemma 2.12. \square

2.3 Proof of Main Theorem 1 (Geometric part)

In this section, We will estimate several Gromov-Hausdorff distance.

Lemma 2.14

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, we assume there exists $p, q \in M$ such that $\overline{p, q} \geq \pi - \epsilon$ holds.

Then,

$$d_{GH}(M, \mathbf{S}^0 * \partial \mathbf{B}_{\frac{\pi}{2}}(p)) < \Psi(\epsilon|n).$$

Here the metric on $\partial \mathbf{B}_{\frac{\pi}{2}}(p)$ is the restriction of M .

Proof. Under same notation in Lemma 2.13, we define

$$\phi : M \setminus (\mathbf{B}_\delta(p) \cup \mathbf{B}_\delta(q)) \rightarrow \mathbf{S}^0 * \partial \mathbf{B}_{\frac{\pi}{2}}(p) = [0, \pi] \times \partial \mathbf{B}_{\frac{\pi}{2}}(p) / \{0, \pi\} \times \partial \mathbf{B}_{\frac{\pi}{2}}(p)$$

as

$$\phi(x) = (\overline{p, x}, z_x).$$

This gives $\Psi(\epsilon|n)$ -Hausdorff approximation by Proposition 2.13.

Therefore, from this, the claim is clear. \square

Now, We take specific $\Psi(\epsilon|n)$ such that Lemma 2.8 and Proposition 2.13 holds and denotes by $\psi_3(\epsilon|n)$.

Namely,

$$1. \psi_3 : \mathbf{R}_{>0} \times \mathbf{N} \rightarrow \mathbf{R}_{>0}$$

2. For each n ,

$$\lim_{\epsilon \rightarrow 0} \psi_3(\epsilon|n) = 0$$

holds.

3. Lemma 2.8 and Proposition 2.13 holds if we replace the $\Psi(\epsilon|n)$ in the conclusion by $\psi_3(\epsilon|n)$.

Besides, we take a function $\psi_i (i = 4, 5, 6, 7, 8, 9, 10)$ with the following properties;

I. $\psi_i : \mathbf{R}_{>0} \times \mathbf{N} \rightarrow \mathbf{R}_{>0}$

II. For each n ,

$$\lim_{\epsilon \rightarrow 0} \psi_i(\epsilon|n) = 0, \quad \lim_{\epsilon \rightarrow 0} \frac{\psi_{i-1}(\epsilon|n)}{\psi_i(\epsilon|n)} = 0 \quad (i = 4, 5, 6, 7, 8, 9, 10)$$

holds.

Remark 2.15

More exactly, we take ψ_i to justify the following statement.

Lemma 2.16

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, we assume there exists $p_1, q_1, p_2, q_2 \in M$ with the following properties.

1. $|\overline{p_1, q_1} - \pi| < \epsilon$, $|\overline{p_2, q_2} - \pi| < \epsilon$, $|\overline{p_1, p_2} - \frac{\pi}{2}| < \epsilon$.
2. $\partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2)) = \phi$.

Then,

$$d_{GH}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1), \mathbf{S}^0) < \Psi(\epsilon|n).$$

Especially,

$$d_{GH}(M, \mathbf{S}^1) < \Psi(\epsilon|n).$$

Proof. This is clear. \square

Lemma 2.17

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, we assume there exists $p_1, q_1, p_2, q_2 \in M$ with the following properties.

1. $|\overline{p_1, q_1} - \pi| < \epsilon$, $|\overline{p_2, q_2} - \pi| < \epsilon$, $|\overline{p_1, p_2} - \frac{\pi}{2}| < \epsilon$.
2. $\partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2)) \neq \phi$.

Then, for each $x \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2))$,

$$\overrightarrow{p_2, x} \subset \mathbf{B}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \text{ and } \overrightarrow{q_2, x} \subset \mathbf{B}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)).$$

Here, for $x, y \in M$,

$$\overrightarrow{x, y} := \{z \in M \mid \text{There exist } \gamma : \text{normal geodesic from } x \text{ to } y, \text{ such that } z \in \text{Im}(\gamma)\}.$$

Proof. We will give only the proof of the statement for $\overrightarrow{p_2, x}$.
(The proof of the other is similar.)

First, we remark that

- for each $t \in [0, \overline{p_2, x}]$, $x \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2))$, $\sigma : [0, \overline{p_2, x}] \rightarrow M$ is normal geodesic from p_2 to x , we have

$$\sigma(t) \in M \setminus (\mathbf{B}_{\delta(\epsilon|n)}(p_1) \cup \mathbf{B}_{\delta(\epsilon|n)}(q_1)).$$

Because, from Lemma 2.8 and triangle inequality,

$$\begin{aligned} \overline{p_1, \sigma(t)} &\geq \frac{1}{2}(\overline{p_1, p_2} + \overline{p_1, x} - \overline{p_2, x}) \\ &\geq \frac{1}{2}\left(\frac{\pi}{2} - \epsilon + \frac{\pi}{2} - (\pi + \psi_3(\epsilon|n) - \psi_7(\epsilon|n))\right) \\ &= \frac{1}{2}(\psi_7(\epsilon|n) - \psi_3(\epsilon|n) - \epsilon) \\ &\geq \delta(\epsilon|n) \end{aligned}$$

and

$$\begin{aligned} \overline{p_1, \sigma(t)} &\leq \frac{1}{2}(\overline{p_1, p_2} + \overline{p_1, x} + \overline{p_2, x}) \\ &\leq \frac{1}{2}\left(\frac{\pi}{2} + \frac{\pi}{2} + \epsilon + \pi + \psi_3(\epsilon|n) - \psi_7(\epsilon|n)\right) \\ &= \pi - \frac{1}{2}(\psi_7(\epsilon|n) - \epsilon - \psi_3(\epsilon|n)). \end{aligned}$$

So

$$\begin{aligned} \overline{q_1, \sigma(t)} &\geq \pi - \epsilon - \overline{p_1, \sigma(t)} \\ &\geq \pi - \epsilon - \left(\pi - \frac{1}{2}(\psi_7(\epsilon|n) - \epsilon - \psi_3(\epsilon|n))\right) \\ &= \frac{1}{2}(\psi_7(\epsilon|n) - 3\epsilon - \psi_3(\epsilon|n)) \\ &\geq \delta(\epsilon|n). \end{aligned}$$

So, we can use Proposition 2.13, for $z_t \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_1)$ is an element such that $\overline{\sigma(t), z_t} = \overline{\sigma(t), \partial \mathbf{B}_{\frac{\pi}{2}}(p_1)}$ holds,

$$\cos \overline{p_2, \sigma(t)} = \cos \overline{p_1, p_2} \cos \overline{p_1, \sigma(t)} + \sin \overline{p_1, p_2} \sin \overline{p_1, \sigma(t)} \cos \overline{p_2, z_t} \pm 10\psi_3(\epsilon|n),$$

$$\cos \overline{\sigma(t), x} = \cos \overline{p_1, \sigma(t)} \cos \overline{p_1, x} + \sin \overline{p_1, \sigma(t)} \sin \overline{p_1, x} \cos \overline{x, z_t} \pm 10\psi_3(\epsilon|n).$$

From this, we have

$$\begin{aligned} \cos \overline{p_2, \sigma(t)} &= \sin \overline{p_1, \sigma(t)} \cos \overline{p_2, z_t} \pm 20\psi_3(\epsilon|n), \\ \cos \overline{\sigma(t), x} &= \sin \overline{p_1, \sigma(t)} \cos \overline{x, z_t} \pm 20\psi_3(\epsilon|n). \end{aligned}$$

1. The case $\overline{p_2, \sigma(t)} \leq \frac{\pi}{2}, \overline{\sigma(t), x} \leq \frac{\pi}{2}$.

In this case

$$\begin{aligned}\overline{\cos p_2, \sigma(t)} &\leq \overline{\cos p_2, z_t} + \psi_4(\epsilon|n), \\ \overline{\cos \sigma(t), x} &\leq \overline{\cos x, z_t} + \psi_4(\epsilon|n).\end{aligned}$$

So

$$\begin{aligned}\overline{p_2, \sigma(t)} &\geq \overline{p_2, z_t} - \frac{1}{100}\psi_5(\epsilon|n), \\ \overline{\sigma(t), x} &\geq \overline{x, z_t} - \frac{1}{100}\psi_5(\epsilon|n),\end{aligned}$$

and from triangle inequality, we have

$$\begin{aligned}|\overline{p_2, \sigma(t)} - \overline{p_2, z_t}| &\leq \frac{1}{10}\psi_5(\epsilon|n), \\ |\overline{\sigma(t), x} - \overline{x, z_t}| &\leq \frac{1}{10}\psi_5(\epsilon|n).\end{aligned}$$

So

$$\begin{aligned}\overline{\cos p_2, \sigma(t)} &= \sin \overline{p_1, \sigma(t)} \cos \overline{p_2, \sigma(t)} \pm \frac{1}{2}\psi_5(\epsilon|n), \\ \overline{\cos \sigma(t), x} &= \sin \overline{p_1, \sigma(t)} \cos \overline{\sigma(t), x} \pm \frac{1}{2}\psi_5(\epsilon|n).\end{aligned}$$

Thus, we have

$$|\overline{p_1, \sigma(t)} - \frac{\pi}{2}| < \psi_6(\epsilon|n).$$

Therefore, in this case, the claim is true.

2. The case $\overline{p_2, \sigma(t)} > \frac{\pi}{2}$.

In this case, from the result of case 1,

$$\begin{aligned}\overline{\cos \sigma(\frac{\overline{p_2, x}}{2}), \sigma(t)} &= \sin \overline{p_1, \sigma(t)} \cos \sigma(\frac{\overline{p_2, x}}{2}, z_t) \pm 10\psi_6(\epsilon|n), \\ \overline{\cos \sigma(t), x} &= \sin \overline{p_1, \sigma(t)} \cos \overline{x, z_t} \pm 20\psi_3(\epsilon|n).\end{aligned}$$

An argument after this is similar to case 1.

3. The case $\overline{\sigma(t), x} > \frac{\pi}{2}$.

This case is also similar to an argument in case 2. \square

Lemma 2.18

Under same assumption as in Lemma 2.17,

$\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \neq \emptyset$ and,

$$d_{GH}\left(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1), \mathbf{S}^0 * \left(\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))\right)\right) < \Psi(\epsilon|n).$$

Proof. For $x \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2))$,

we take $z \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2)$ such that

$$\overline{x, z} = \overline{x, \partial \mathbf{B}_{\frac{\pi}{2}}(p_2)}.$$

From Lemma 2.17,

$$z \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)).$$

Then, we define

$$\phi : \partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2)) \rightarrow \mathbf{S}^0 * \left(\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \right)$$

as

$$\phi(x) = (\overline{p_2, x}, z).$$

By Proposition 2.13, this gives $\Psi(\epsilon|n)$ -Hausdorff approximation. \square

Lemma 2.19

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, we assume there exists $p_1, q_1, p_2, q_2 \in M$ with the following properties;

1. $|\overline{p_1, q_1} - \pi| < \epsilon$, $|\overline{p_2, q_2} - \pi| < \epsilon$, $|\overline{p_1, p_2} - \frac{\pi}{2}| < \epsilon$.
2. $\partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2)) \neq \emptyset$.
3. There exists $x, y \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))$ with $\overline{x, y} \geq \pi - \psi_9(\epsilon|n)$ such that

$$\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \setminus (\mathbf{B}_{\psi_{10}(\epsilon|n)}(x) \cup \mathbf{B}_{\psi_{10}(\epsilon|n)}(y)) = \emptyset.$$

Then,

$$d_{GH}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)), \mathbf{S}^0) < \Psi(\epsilon|n).$$

Especially,

$$d_{GH}(M, \mathbf{S}^2) < \Psi(\epsilon|n).$$

Proof. This is clear. \square

Lemma 2.20

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, we assume there exists $p_1, q_1, p_2, q_2 \in M$ with the following properties;

1. $|\overline{p_1, q_1} - \pi| < \epsilon, \quad |\overline{p_2, q_2} - \pi| < \epsilon, \quad |\overline{p_1, p_2} - \frac{\pi}{2}| < \epsilon.$
2. $\partial \mathbf{B}_{\frac{\pi}{2}}(p_1) \setminus (\mathbf{B}_{\psi_7(\epsilon|n)}(p_2) \cup \mathbf{B}_{\psi_7(\epsilon|n)}(q_2)) \neq \emptyset.$
3. For each $x, y \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))$ with $\overline{x, y} \geq \pi - \psi_9(\epsilon|n)$,
$$\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \setminus (\mathbf{B}_{\psi_{10}(\epsilon|n)}(x) \cup \mathbf{B}_{\psi_{10}(\epsilon|n)}(y)) \neq \emptyset$$

Then, for each $x, y \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))$, there exist $z \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))$ such that

$$|\overline{z, x} - \frac{1}{2}\overline{x, y}| < \Psi(\epsilon|n), \quad |\overline{z, y} - \frac{1}{2}\overline{x, y}| < \Psi(\epsilon|n).$$

Especially, there exist a compact length space Z with $\text{diam}_Z \leq \pi$ such that

$$d_{GH}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)), Z) < \Psi(\epsilon|n).$$

Therefore,

$$d_{GH}(M, \mathbf{S}^1 * Z) < \Psi(\epsilon|n).$$

Proof.

1. The case $\psi_9(\epsilon|n) \leq \overline{x, y} \leq \pi - \psi_9(\epsilon|n)$.

By Lemma 2.17, (or similarly argument of the proof) there exist $w \in \overrightarrow{x, y}$ such that

$$\overline{x, w} = \frac{1}{2}\overline{x, y}, \quad \overline{y, w} = \frac{1}{2}\overline{x, y}$$

and

$$w \in \mathbf{B}_{\psi_{10}(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \cap \mathbf{B}_{\psi_{10}(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_2)).$$

We take $\hat{w} \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_1)$ such that $\overline{w, \hat{w}} < \psi_{10}(\epsilon|n)$ holds.

In addition, we take $z \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))$ such that

$$\overline{\hat{w}, z} = \overline{\hat{w}, \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))},$$

then,

$$\overline{z, w} < \Psi(\epsilon|n).$$

Therefore, in this case, the claim is true.

2. The case $\overline{x, y} > \pi - \psi_9(\epsilon|n)$.

By the assumption, there exist

$$w \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}}_{\psi_8(\epsilon|n)}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1)) \setminus (\mathbf{B}_{\psi_{10}(\epsilon|n)}(x) \cup \mathbf{B}_{\psi_{10}(\epsilon|n)}(y)).$$

Since

$$\overline{x, w} + \overline{w, y} \geq \overline{x, y} \geq \pi - \psi_9(\epsilon|n),$$

we have

$$\max\{\overline{x, w}, \overline{w, y}\} \geq \frac{1}{2}(\pi - \psi_9(\epsilon|n)).$$

So, we may assume

$$\overline{x, w} \geq \frac{1}{2}(\pi - \psi_9(\epsilon|n)).$$

We take $\hat{w} \in \overrightarrow{x, w}$ such that

$$\overline{x, \hat{w}} = \frac{1}{2}(\pi - \psi_9(\epsilon|n))$$

and $z \in \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))$ such that

$$\overline{\hat{w}, z} = \overline{\hat{w}, \partial \mathbf{B}_{\frac{\pi}{2}}(p_2) \cap \overline{\mathbf{B}_{\psi_8(\epsilon|n)}}(\partial \mathbf{B}_{\frac{\pi}{2}}(p_1))}.$$

Then,

$$|\overline{x, z} - \frac{\pi}{2}| < \Psi(\epsilon|n).$$

From this and Lemma 2.8, we have the claim.

3. *The case $\overline{x, y} < \psi_9(\epsilon|n)$*

In this case, we take $z = y$.

We can prove the last claim by using Gromov's pre-compactness theorem.

□

From above results, we have next proposition.

Proposition 2.21

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, and $p_1, q_1, p_2, q_2 \in M$ such that

$$|\overline{p_1, q_1} - \pi| < \epsilon, \quad |\overline{p_2, q_2} - \pi| < \epsilon, \quad |\overline{p_1, p_2} - \frac{\pi}{2}| < \epsilon.$$

Then, one of the following 1,2,3 holds.

1. *There exist a compact length space Z with $diam_Z \leq \pi$ such that*

$$d_{GH}(M, \mathbf{S}^1 * Z) < \Psi(\epsilon|n).$$

2. $d_{GH}(M, \mathbf{S}^2) < \Psi(\epsilon|n).$

3. $d_{GH}(M, \mathbf{S}^1) < \Psi(\epsilon|n).$

From similarly argument, we can show the next proposition.

Proposition 2.22

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$ and $p_i, q_i \in M$ ($i = 1, 2, \dots, k$) such that

for each i , $|\overline{p_i, q_i} - \pi| < \epsilon$ holds, and for $i \neq j$, $|\overline{p_i, p_j} - \frac{\pi}{2}| < \epsilon$ holds.

Then, one of the following 1,2,3 holds.

1. There exist a compact length space Z with $diam_Z \leq \pi$ such that

$$d_{GH}(M, \mathbf{S}^{k-1} * Z) < \Psi(\epsilon|n).$$

$$2. \quad d_{GH}(M, \mathbf{S}^k) < \Psi(\epsilon|n).$$

$$3. \quad d_{GH}(M, \mathbf{S}^{k-1}) < \Psi(\epsilon|n).$$

Now, we give next lemma without the proof.

Lemma 2.23 (T.H.Colding [11])

For each $n \in \mathbf{N}$ ($n \geq 2$), there exist $C(n) > 0$ with the following property. If an integer k satisfies $0 \leq k < n$, and an n dimensional complete Riemannian manifold M satisfies $Ric_M \geq n - 1$,

$$d_{GH}(M, \mathbf{S}^k) \geq C(n)$$

holds.

Proposition 2.24

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, and $p_i, q_i \in M$ ($i = 1, 2, \dots, k$) such that

for each i , $|\overline{p_i, q_i} - \pi| < \epsilon$ holds, and for $i \neq j$, $|\overline{p_i, p_j} - \frac{\pi}{2}| < \epsilon$ holds.

Then, we have the following .

1. If $1 \leq k \leq n-1$, then there exist a compact length space Z with $diam_Z \leq \pi$ such that

$$d_{GH}(M, \mathbf{S}^{k-1} * Z) < \Psi(\epsilon|n).$$

2. If $k = n$, then

$$d_{GH}(M, \mathbf{S}_+^n) < \Psi(\epsilon|n),$$

or,

$$d_{GH}(M, \mathbf{S}^n) < \Psi(\epsilon|n).$$

Here,

$\mathbf{S}_+^n := \{\mathbf{x} = (x_1, x_2, \dots, x_{n+1}) \in \mathbf{R}^{n+1} | x_1^2 + x_2^2 + \dots + x_{n+1}^2 = 1, x_{n+1} \geq 0\}$,
and the metric is the restriction of \mathbf{S}^n .

3. If $k = n + 1$, then

$$d_{GH}(M, \mathbf{S}^n) < \Psi(\epsilon|n).$$

Proof. This is a consequence of Proposition 2.22 and Lemma 2.23. \square

Finally, we recall the following.

Theorem 2.25 (J.Cheeger, T.H.Colding [3, 5])

If $(M_i, p_i)_{i \in \mathbf{N}}$ is sequence such that M_i are n dimensional complete Riemannian manifolds with $Ric_{M_i} \geq -(n-1)$, $p_i \in M_i$ and Z is proper length space (i.e length space and its bounded closed subsets are compact), $z \in Z$

$(M_i, p_i) \rightarrow (Z, z) \quad (i \rightarrow \infty) : \text{non-collapsing, pointed Gromov-Hausdorff convergence}$

then, for each tangent cone at z in Z , $T_z Z$

$$T_z Z \not\cong \mathbf{R}^{n-1} \times \mathbf{R}_{\geq 0}.$$

Here,

$\mathbf{R}^{n-1} \times \mathbf{R}_{\geq 0} := \{\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbf{R}^n | x_n \geq 0\}$, the metric is the restriction of \mathbf{R}^n .

Theorem 2.26 (J.Cheeger, T.H.Colding [3, 5, 16])

For M : n dimensional compact Riemannian manifold with $Ric_M \geq -(n-1)$, there exist $\delta = \delta(M)$ with the following property. If N : n dimensional compact Riemannian manifold, $Ric_N \geq -(n-1)$ such that

$$d_{GH}(M, N) < \delta$$

then M is diffeomorphic to N .

Proof of Main Theorem 1.

Proposition 2.24 and Theorem 2.25, 2.26 implies Main Theorem 1. \square

Remark 2.27

Theorem 1.1 follows from Main Theorem 1.

Let M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n-1$.

From Bishop-Gromov's volume comparison theorem, we have

$$vol(M) \geq vol(\mathbf{S}^n) - \epsilon \implies rad_M \geq \pi - \Psi(\epsilon|n)$$

Now, we consider the situation with $rad_M \geq \pi - \epsilon$.

Then, we have

for each $p \in M$, there exist $q \in M$ such that $\overline{p, q} \geq \pi - \epsilon$ holds.

First, we take arbitrary $p_1 \in M$.

Then, from above, there exist $q_1 \in M$ such that

$$\overline{p_1, q_1} \geq \pi - \Psi(\epsilon|n).$$

Thus, from Main Theorem 1, M is close to the space of 1-fold suspension of some compact length space.

Especially, there exist $p_2 \in M$ such that

$$|\overline{p_1, p_2} - \frac{\pi}{2}| < \Psi(\epsilon|n).$$

Similarly, there exist $q_2 \in M$ such that

$$\overline{p_2, q_2} \geq \pi - \Psi(\epsilon|n).$$

Thus, M is close to the space of 2-fold suspension of some compact length space.

If we repeat this argument, then the assumption of Main Theorem 1 for case $k = n + 1$ holds. It implies Theorem 1.1.

3 First eigenvalue of Laplacian

In this section, we give the relation between Main Theorem 1 and first eigenvalue of Laplacian. Let M be an n dimensional complete Riemannian manifold with $Ric_M \geq n - 1$.

$$0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \cdots \leq \lambda_n \leq \lambda_{n+1} \leq \cdots .$$

denotes eigenvalues of Laplacian on M .

Theorem 3.1 (A.Lichnerowicz, M.Obata [20, 21])

With notation as above,

$$\lambda_1 \geq n.$$

And the inequality is equality if and only if M is isometric to \mathbf{S}^n .

Now, we consider perturbation version of this statement.

Theorem 3.2 (S.Y.Cheng, T.H.Colding, C.B.Croke [8, 10, 13])

1. *If $diam_M \geq \pi - \epsilon$, then $\lambda_1 \leq n + \Psi(\epsilon|n)$ holds.*
2. *If $\lambda_1 \leq n + \epsilon$, then $diam_M \geq \pi - \Psi(\epsilon|n)$ holds.*

If we consider simillaly statement for λ_{n+1} , then we have the following;

Theorem 3.3 (P.Petersen [26])

1. If $rad_M \geq \pi - \epsilon$, then $\lambda_{n+1} \leq n + \Psi(\epsilon|n)$ holds.
2. If $\lambda_{n+1} \leq n - \epsilon$, then $rad_M \geq \pi - \Psi(\epsilon|n)$ holds.

These means the following;

$$\lambda_1 \leq n + \epsilon \iff \text{Assumption of Main Theorem 1 for } k = 1 \text{ holds.}$$

$$\lambda_{n+1} \leq n + \epsilon \iff \text{Assumption of Main Theorem 1 for } k = n + 1 \text{ holds.}$$

We would like to consider whether a statement corresponding to λ_k is right.

Theorem 3.4 (P.Petersen [26])

We have,

$$\lambda_k \leq n + \epsilon \implies \text{Assumption of Main Theorem 1 holds.}$$

Remark 3.5

This is stated in [26] introduction. We will give the proof later.

We have a converse of it. They together imply

Main Theorem 2

We have,

$$\text{Assumption of Main Theorem 1 holds} \iff \lambda_k \leq n + \Psi(\epsilon|n).$$

The rest of this papers devoted by the proof of Main Theorem 2.

First, we consider the case $k = 2$. i.e,

Let $\epsilon > 0$, M be an n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_M \geq n - 1$, and $p_1, q_1, p_2, q_2 \in M$ such that

$$|\overline{p_1, q_1} - \pi| < \epsilon, \quad |\overline{p_2, q_2} - \pi| < \epsilon, \quad |\overline{p_1, p_2} - \frac{\pi}{2}| < \epsilon.$$

In this situation, we put $f_i(x) = \cos \overline{p_i, x}$ ($i = 1, 2$) and take $\tilde{f}_i \in C^\infty(M)$ as in Lemma 2.7. Then we have the following

$$\frac{1}{vol(M)} \int_M f_i^2 dx = \frac{1}{n+1} \pm \Psi(\epsilon|n) \quad (3.1)$$

$$\frac{1}{vol(M)} \int_M |\nabla f_i|^2 dx = \frac{n}{n+1} \pm \Psi(\epsilon|n) \quad (3.2)$$

$$\frac{1}{\text{vol}(M)} \int_M |\Delta \tilde{f}_i(x) + n \tilde{f}_i(x)|^2 dx < \Psi(\epsilon|n). \quad (3.3)$$

(See Lemma 1.10 in [10])

Remark 3.6

Here, $\Delta = \text{tr}(\text{Hess})$. So, eigenvalues of Laplacian that we are considering now is one for $-\Delta = d^*d$.

Lemma 3.7

With notation as above,

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M \tilde{f}_i^2 dx &= \frac{1}{n+1} \pm \Psi(\epsilon|n), \\ \frac{1}{\text{vol}(M)} \int_M |\nabla \tilde{f}_i|^2 dx &= \frac{n}{n+1} \pm \Psi(\epsilon|n). \end{aligned}$$

Epecially,

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M \tilde{f}_1 \tilde{f}_2 dx &= \frac{1}{\text{vol}(M)} \int_M f_1 f_2 dx \pm \Psi(\epsilon|n), \\ \frac{1}{\text{vol}(M)} \int_M g_M(\nabla \tilde{f}_1, \nabla \tilde{f}_2) dx &= \frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx \pm \Psi(\epsilon|n). \end{aligned}$$

Proof.

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M \tilde{f}_i^2 dx &= \frac{1}{\text{vol}(M)} \int_M (\tilde{f}_i - f_i + f_i)^2 dx \\ &= \frac{1}{\text{vol}(M)} \int_M (\tilde{f}_i - f_i)^2 dx + \frac{2}{\text{vol}(M)} \int_M f_i(\tilde{f}_i - f_i) dx + \frac{1}{\text{vol}(M)} \int_M f_i^2 dx \\ &= \frac{1}{n+1} \pm \Psi(\epsilon|n) \quad (\because \text{Cauchy-Schwartz inequality}) \end{aligned}$$

The proof of other equality is similar. \square

Lemma 3.8

We have the following;

$$\frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx = -\frac{1}{\text{vol}(M)} \int_M f_1 f_2 dx \pm \Psi(\epsilon|n).$$

Epecially, from Lemma 3.7,

$$\frac{1}{\text{vol}(M)} \int_M g_M(\nabla \tilde{f}_1, \nabla \tilde{f}_2) dx = -\frac{1}{\text{vol}(M)} \int_M \tilde{f}_1 \tilde{f}_2 dx \pm \Psi(\epsilon|n).$$

Proof. First, we take specific $\Psi(\epsilon|n)$ satisfies the conclusion of all statement in section 2 and denotes by $\psi_{11}(\epsilon|n)$. And we take a function ψ_{12} with the following properties;

1. $\psi_{12} : \mathbf{R}_{>0} \times \mathbf{N} \rightarrow \mathbf{R}_{>0}$.
2. For $\delta = \delta(\epsilon|n)$ is in Proposition 2.13,

$$\frac{\psi_{11}(\epsilon|n)^{\frac{1}{100}}}{\delta(\epsilon|n)} < \psi_{12}(\epsilon|n)$$

holds.

We put

$$A_{p_1} := B_{3\delta}(p_1) \cup B_{3\delta}(q_1) \cup C_{p_1}.$$

For each $x \in M \setminus A_{p_1}$, $s \in [0, \overline{p_1, x}]$, we define $c_x(s) \in M$ as

$c_x(s)$ is a point on segment $\overrightarrow{p_1, x}$ such that $\overline{x, c_x(s)} = s$ holds.

Then,

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} g_M(\nabla f_1, \nabla f_2) dx \pm \psi_{11}(\epsilon|n) \\ &(\because \frac{\text{vol}(A_{p_1})}{\text{vol}(M)} < \psi_{11}(\epsilon|n)) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} g_M(\nabla f_1, \nabla \tilde{f}_2) dx \pm 2\psi_{11}(\epsilon|n) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \frac{d\tilde{f}_2 \circ c_x(s)}{ds} \Big|_{s=0} dx \pm 2\psi_{11}(\epsilon|n) \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \left\{ \sin \overline{p_1, x} \left(\frac{\tilde{f}_2 \circ c_x(\delta) - \tilde{f}_2 \circ c_x(0)}{\delta} \right. \right. \\
&\quad \left. \left. - \frac{1}{\delta} \int_0^\delta (\delta - s) \frac{d^2 \tilde{f}_2 \circ c_x(s)}{ds^2} ds \right) \right\} dx \pm 2\psi_{11}(\epsilon|n) \\
&= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \left(\frac{f_2 \circ c_x(\delta) - f_2 \circ c_x(0)}{\delta} \right) dx \\
&\quad + \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \left(\frac{\tilde{f}_2 \circ c_x(\delta) - f_2 \circ c_x(\delta)}{\delta} \right) dx \tag{1} \\
&\quad - \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \left(\frac{\tilde{f}_2 \circ c_x(0) - f_2 \circ c_x(0)}{\delta} \right) dx \tag{2} \\
&\quad - \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \int_0^\delta (\delta - s) \left(\frac{d^2 \tilde{f}_2 \circ c_x(s)}{ds^2} + \tilde{f}_2 \circ c_x(s) \right) ds dx \tag{3} \\
&\quad + \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \int_0^\delta (\delta - s) \tilde{f}_2 \circ c_x(s) ds dx \pm 2\psi_{11}(\epsilon|n). \tag{4}
\end{aligned}$$

Now, we will prove the following;

Claim

$$|(1)| < \psi_{12}(\epsilon|n) \tag{3.4}$$

$$|(2)| < \psi_{12}(\epsilon|n) \tag{3.5}$$

$$|(3)| < \psi_{12}(\epsilon|n) \tag{3.6}$$

$$|(4)| < \psi_{12}(\epsilon|n) \tag{3.7}$$

Proof of claim.

1. *Proof of (3.4).*

$$|(1)| \leq \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} |\tilde{f}_2 \circ c_x(\delta) - f_2 \circ c_x(\delta)| dx \quad (5)$$

We use next estimate;

Estimate 1 There exist $C(n) > 0$ such that for each integrable function $h : M \rightarrow \mathbf{R}_{\geq 0}$,

$$\frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} h \circ c_x(\delta) dx \leq \frac{C(n)}{\text{vol}(M)} \int_M h(x) dx.$$

Proof of estimate 1. We put

$$S_{p_1}(1) \subset T_{p_1}M : \text{ unit sphere}$$

and for $u \in S_{p_1}(1)$,

$$t(u) := \text{distance from } p_1 \text{ to cut locus of direction of } u > 0$$

$$\hat{S}_{p_1}(1) := \{u \in S_{p_1}(1) | t(u) > 3\delta\}$$

$$\theta(t, u) := t^{n-1} \left(\det(g_{ij}|_{\exp_{p_1}(tu)}) \right)^{\frac{1}{2}} \quad (g_{ij} := g_M(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j})).$$

Then,

$$\begin{aligned} \int_{M \setminus A_{p_1}} h \circ c_x(\delta) dx &\leq \int_{\hat{S}_{p_1}(1)} \int_{3\delta}^{t(u)} h \circ c_{\exp_{p_1}(tu)}(\delta) \theta(t, u) dt du \\ &= \int_{\hat{S}_{p_1}(1)} \int_{3\delta}^{t(u)} h(\exp_{p_1}((t - \delta)u)) \theta(t, u) dt du \\ &= \int_{\hat{S}_{p_1}(1)} \int_{2\delta}^{t(u) - \delta} h(\exp_{p_1}(\hat{t}u)) \theta(\hat{t} + \delta, u) d\hat{t} du \quad (6) \end{aligned}$$

From Laplacian comparison theorem, there exist $C(n) > 0$ such that

$$\theta(\hat{t}+\delta, u) \leq \frac{\sin^{n-1}(\hat{t}+\delta)}{\sin^{n-1}\hat{t}} \theta(\hat{t}, u) \leq C(n) \theta(\hat{t}, u) \quad (u \in \hat{S}_{p_1}(1), \hat{t} \in [2\delta, t(u)]).$$

So,

$$\begin{aligned} (6) &\leq C(n) \int_{\hat{S}_{p_1}(1)} \int_{2\delta}^{t(u)-\delta} h(\exp_{p_1}(\hat{t}u)) \theta(\hat{t}, u) d\hat{t} du \\ &\leq C(n) \int_{S_{p_1}(1)} \int_0^{t(u)} h(\exp_{p_1}(\hat{t}u)) \theta(\hat{t}, u) d\hat{t} du \\ &= C(n) \int_M h(x) dx \end{aligned}$$

Therefore, we divide this by $\text{vol}(M)$, we have **estimate 1**. \square

From this estimate,

$$\begin{aligned} (5) &\leq \frac{C(n)}{\delta \text{vol}(M)} \int_M |\tilde{f}_2 - f_2| dx \\ &\leq \frac{C(n)}{\delta} \left(\frac{1}{\text{vol}(M)} \int_M |\tilde{f}_2 - f_2|^2 dx \right)^{\frac{1}{2}} \\ &\leq \frac{C(n)}{\delta} (\psi_{11}(\epsilon|n))^{\frac{1}{2}} \\ &< \psi_{12}(\epsilon|n) \end{aligned}$$

Therefore, We have (3.4). \square

2. *Proof of (3.5).*

$$|(2)| \leq \frac{1}{\delta \text{vol}(M)} \int_M |\tilde{f}_2 - f_2| dx$$

$$\begin{aligned}
&\leq \frac{1}{\delta} \left(\int_M |\tilde{f}_2 - f_2|^2 dx \right)^{\frac{1}{2}} \\
&\leq \frac{1}{\delta} (\psi_{11}(\epsilon|n))^{\frac{1}{2}} \\
&< \psi_{12}(\epsilon|n)
\end{aligned}$$

Therefore, We have (3.5). \square

3. *Proof of (3.6).*

$$|(3)| \leq \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \int_0^\delta |\mathbf{Hess}_{\tilde{f}_2} + \tilde{f}_2 g_M|(c_x(s)) ds dx \quad \dots (7)$$

We use next estimate;

Estimate 2 There exist $C(n) > 0$ such that for each integrable function $h : M \rightarrow \mathbf{R}_{\geq 0}$,

$$\frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \int_0^\delta h \circ c_x(s) ds dx \leq \frac{C(n)\delta}{\text{vol}(M)} \int_M h(x) dx.$$

We skip this proof because it is similar to estimate 1.

Then,

$$\begin{aligned}
(7) &\leq \frac{C(n)\delta}{\text{vol}(M)} \int_M |\mathbf{Hess}_{\tilde{f}_2} + \tilde{f}_2 g_M| dx \\
&\leq C(n)\delta \left(\frac{1}{\text{vol}(M)} \int_M |\mathbf{Hess}_{\tilde{f}_2} + \tilde{f}_2 g_M|^2 dx \right)^{\frac{1}{2}} \\
&< \psi_{12}(\epsilon|n)
\end{aligned}$$

Therefore, We have (3.6). \square

4. *Proof of (3.7).*

From Lemma 3.7 and **estimate 2**, the proof is similar to |(3)|. \square

So, we have **claim**. \square

From this claim, we have the following;

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \left(\frac{f_2 \circ c_x(\delta) - f_2 \circ c_x(0)}{\delta} \right) dx \\ &\quad \pm 4\psi_{12}(\epsilon|n) \end{aligned} \quad (8)$$

By Almost cosine formula,

$$\begin{aligned} \sin \overline{p_1, x} (f_2 \circ c_x(\delta) - f_2 \circ c_x(0)) &= \sin \overline{p_1, x} (\cos \overline{p_1, p_2} \cos \overline{p_1, c_x(\delta)} \\ &\quad + \sin \overline{p_1, p_2} \sin \overline{p_1, c_x(\delta)} \frac{\cos \overline{p_2, x} - \cos \overline{p_1, p_2} \cos \overline{p_1, x}}{\sin \overline{p_1, p_2} \sin \overline{p_1, x}}) \\ &\quad - \sin \overline{p_1, x} \cos \overline{p_2, x} \pm \psi_{11}(\epsilon|n) \\ &= (\sin(\overline{p_1, x} - \delta) - \sin \overline{p_1, x}) \cos \overline{p_2, x} \pm 3\psi_{11}(\epsilon|n) \end{aligned}$$

We use mean value theorem,

$$\begin{aligned} (8) &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} -\cos \overline{p_2, x} \cos \overline{p_1, x} dx \pm 6\psi_{12}(\epsilon|n) \\ &= -\frac{1}{\text{vol}(M)} \int_M f_1 f_2 dx \pm 10\psi_{12}(\epsilon|n). \end{aligned}$$

So, we have Lemma 3.8. \square

Lemma 3.9

We have

$$\left| \frac{1}{\text{vol}(M)} \int_M g_M(\nabla \tilde{f}_1, \nabla \tilde{f}_2) dx \right|, \left| \frac{1}{\text{vol}(M)} \int_M \tilde{f}_1 \tilde{f}_2 dx \right| < \Psi(\epsilon|n).$$

Proof. From (3.1), (3.2) and (3.3), we have

$$\frac{1}{\text{vol}(M)} \int_M g_M(\nabla \tilde{f}_1, \nabla \tilde{f}_2) dx = \frac{n}{\text{vol}(M)} \int_M \tilde{f}_1 \tilde{f}_2 dx \pm \Psi(\epsilon|n).$$

From this and Lemma 3.8, we have the statement. \square

Theorem 3.10

We have

$$\lambda_2 \leq n + \Psi(\epsilon|n).$$

Proof. From Lemma 3.9, we have

$$\tilde{f}_1, \tilde{f}_2 \text{ are linearly independent in } L_1^2(M).$$

So, from min-max principle, we have

$$\lambda_2 \leq \sup \left\{ \int_M |\nabla(a_1 \tilde{f}_1 + a_2 \tilde{f}_2)|^2 dx / \int_M (a_1 \tilde{f}_1 + a_2 \tilde{f}_2)^2 dx \mid a_1, a_2 \in \mathbf{R}, a_1^2 + a_2^2 \neq 0 \right\}.$$

And from Lemma 3.9, for $a_1^2 + a_2^2 \neq 0$, we have

$$\int_M |\nabla(a_1 \tilde{f}_1 + a_2 \tilde{f}_2)|^2 dx / \int_M (a_1 \tilde{f}_1 + a_2 \tilde{f}_2)^2 dx \leq n + \Psi(\epsilon|n).$$

Theorem 3.10 holds. \square

The proof of general case of Main Theorem 2 is similar.

Proof of Theorem 3.4.

Let us prove Theorem 3.4. We first recall some inequalities proved in [26].

Let $\tilde{f}_i \in C^\infty(M)$ ($i = 1, 2$) be eigenfunctions with

$$-\Delta \tilde{f}_i = \lambda_i \tilde{f}_i, \quad |\lambda_i - n| < \epsilon, \quad \int_M \tilde{f}_1 \tilde{f}_2 dx = 0.$$

Then we may assume that

$$\tilde{f}_i^2 + |\nabla \tilde{f}_i|^2 \leq 1,$$

$$\frac{1}{\text{vol}(M)} \int_M \tilde{f}_i^2 dx = \frac{1}{n+1} \pm \Psi(\epsilon|n),$$

$$\frac{1}{\text{vol}(M)} \int_M |\nabla \tilde{f}_i|^2 dx = \frac{n}{n+1} \pm \Psi(\epsilon|n),$$

$$\frac{1}{\text{vol}(M)} \int_M |\tilde{f}_i^2 + |\nabla \tilde{f}_i|^2 - 1| dx < \Psi(\epsilon|n).$$

holds. (See Lemma 3.1 in [26].)

So, for each $p \in M$, there exist $\tilde{p} \in M$ such that

$$\overline{p, \tilde{p}} < \Psi(\epsilon|n) \text{ and } \tilde{f}_i^2(\tilde{p}) + |\nabla \tilde{f}_i|^2(\tilde{p}) = 1 \pm \Psi(\epsilon|n).$$

Now, we take specific $\Psi(\epsilon|n)$ satisfies the above inequalities, and denotes by $\psi_{13}(\epsilon|n)$.

And, we take $p_i, q_i \in M$ with

$$\tilde{f}_i(p_i) = \max \tilde{f}_i, \quad \tilde{f}_i(q_i) = \min \tilde{f}_i.$$

For $g_i(x) := \tilde{f}_i(p_i) - \tilde{f}_i(x) + \psi_{13}(\epsilon|n)$, $h_i(x) := \tilde{f}_i(x) - \tilde{f}_i(q_i) + \psi_{13}(\epsilon|n) \in C^\infty(M)$, by using Cheng-Yau's gradient estimate, we have

$$\frac{|\nabla g_i|^2}{g_i^2}, \quad \frac{|\nabla h_i|^2}{h_i^2} < \frac{C(n)}{\psi_{13}(\epsilon|n)}.$$

Here, $C(n)$ is a positive constant depending only on n . (See [3, 9].)

Thus, If we take $\tilde{p}_i, \tilde{q}_i \in M$ as above, then

$$|\nabla \tilde{f}_i|^2(\tilde{p}_i), \quad |\nabla \tilde{f}_i|^2(\tilde{q}_i) < \Psi(\epsilon|n).$$

Especially, we have

$$|\tilde{f}_i(p_i) - 1|, \quad |\tilde{f}_i(q_i) + 1| < \Psi(\epsilon|n).$$

Now, we put $f_i(x) := \cos \overline{p_i, x}$, by $|\nabla \arccos \tilde{f}_i| \leq 1$, we have

$$\tilde{f}_i > f_i - \Psi(\epsilon|n).$$

So, in the barrier sense,

$$\Delta(\tilde{f}_i - f_i) < \Psi(\epsilon|n).$$

From Theorem 7.2 in [26], we have

$$|\tilde{f}_i - f_i| < \Psi(\epsilon|n)$$

Especially,

$$\overline{p_i, q_i} \geq \pi - \Psi(\epsilon|n).$$

So, by (3.1), (3.2) we have

$$\frac{1}{\text{vol}(M)} \int_M |\nabla f_i - \nabla \tilde{f}_i|^2 dx < \Psi(\epsilon|n).$$

From a calculation similar to the proof of Lemma 3.8 and Lemma 3.9, we have

$$\frac{1}{\text{vol}(M)} \int_M \tilde{f}_1 \tilde{f}_2 dx = \frac{\cos \overline{p_1, p_2}}{n+1} \pm \Psi(\epsilon|n). \dots (3.8)$$

Since left hand side is equal to 0, we have

$$|\overline{p_1, p_2} - \frac{\pi}{2}| < \Psi(\epsilon|n).$$

Therefore, we have Theorem 3.4. \square

We remark that the above argument also gives an alternative proof of Theorem 3.3 in [26].

Corollary 3.11

There exist a positive constant $C(n)$ depending only on n such that for $M : n$ dimensional complete Riemannian manifold with $\text{Ric}_M \geq n-1$,

$$\lambda_{n+2} \geq C(n) > n.$$

Proof. If the assertion is false, then there exists a compact length space Y and for each $k \in \mathbf{N}$, complete Riemannian manifold M_k with $\text{Ric}_{M_k} \geq n-1$ such that the $(n+2)$ -th eigenvalue λ_{n+2}^k satisfies

$$\lim_{k \rightarrow \infty} \lambda_{n+2}^k = n,$$

$M_k \longrightarrow Y$: Gromov Hausdorff convergence.

From (3.8), there exists $p_i, q_i \in Y (i = 1, 2, \dots, n+2)$ such that

for each i , $\overline{p_i, q_i} = \pi$ holds, and for $i \neq j$, $\overline{p_i, p_j} = \frac{\pi}{2}$ holds.

This is contradiction by Main Theorem 1. \square

Corollary 3.12

For $M : n$ dimensional complete Riemannian manifold with $\text{Ric}_M \geq n-1$,

$$|\lambda_n - n| < \epsilon \implies |\lambda_{n+1} - n| < \Psi(\epsilon|n).$$

Proof. This is clear by case $k = n$ of Main Theorem 1,2. \square

4 A note on the relation to the structure of tangent cone of non-collapsing limit spaces

In this section, We remark that on Main Theorem 1 is similar to some results of the structure of tangent cone of limit space due to J.Cheeger, T.H.Colding.

Definition 4.1 (metric cone)

For Z : metric space, we define a metric on $[0, \infty) \times Z/\{0\} \times Z$ as

$$\overline{(t_1, z_1), (t_2, z_2)} \stackrel{\text{def}}{=} (t_1^2 + t_2^2 - 2t_1t_2 \cos \min\{\overline{z_1, z_2}, \pi\})^{\frac{1}{2}}.$$

This metric spaces is denoted by

$$C(Z) \quad (z^* := [(0, z)])$$

and is called by metric cone of Z .

Now, we consider following situation; $\{M_i\}_{i \in \mathbf{N}}$: n dimensional complete Riemannian manifolds ($n \geq 2$) with $Ric_{M_i} \geq -(n-1)$, $m_i \in M_i$, and Y : proper metric space with $y \in Y$,

- $(M_i, m_i) \rightarrow (Y, y) \quad (i \rightarrow \infty)$: pointed Gromov-Hausdorff convergence
- There exist $v > 0$ such that for each i

$$\text{vol}(\mathbf{B}_1(m_i)) \geq v > 0.$$

First, we review a result about the tangent cone $T_y Y$ at y in Y .

Theorem 4.2 (J.Cheeger, T.H.Colding [3, 5])

There exist a compact length space Z with $\text{diam}_Z \leq \pi$ such that

$$C(Z) \cong T_y Y.$$

Next, we would like to introduce the suspension structure for Z in Theorem 4.2. The following results also follows from results in [3, 5].

Theorem 4.3

If there exists $p_i, q_i \in Z$ ($i = 1, 2, \dots, k$) such that

$$\text{for each } i, \overline{p_i, q_i} = \pi \text{ holds, and for } i \neq j, \overline{p_i, p_j} = \frac{\pi}{2} \text{ holds.}$$

then,

1. $k \leq n$.
2. If $1 \leq k \leq n-2$, then there exist a compact length space X with $\text{diam}_X \leq \pi$ such that

$$Z \cong \mathbf{S}^{k-1} * X.$$

3. If $k = n - 1$, or n , then

$$Z \cong \mathbf{S}^{n-1}.$$

Proof. First we remark that

1. Generally, for a metric space X , there exist a natural isomorphism

$$C(\mathbf{S}^{k-1} * X) \cong \mathbf{R}^k \times C(X).$$

We next remark the equality below follows from splitting theorem by J.Cheeger, T.H.Colding.

2. If there exists $z_1, z_2 \in Z$ such that $\overline{z_1, z_2} = \pi$ holds, then for each $z \in Z$,

$$\overline{z_1, z} + \overline{z, z_2} = \pi.$$

Compare Lemma 2.8.

3. Since $\dim_H Z = n - 1$ by the assumption of Theorem 4.3

$$Z \not\cong \mathbf{S}^k.$$

for $1 \leq k \leq n - 2$.

Here $\dim_H Z$ is Hausdorff dimension of Z . Compare Lemma 2.23.

Theorem 4.3 follows from these and an argument is similar to section 2.3.

□

We will introduce to relation between Theorem 4.3 and some property of singular set of Y . we put

$$\mathcal{R} := \{y_1 \in Y \mid \text{For any tangent cone } T_{y_1} Y \text{ at } y_1, T_{y_1} Y \cong \mathbf{R}^n.\}$$

$$\mathcal{S} := Y \setminus \mathcal{R}$$

$$\mathcal{S}_k := \{y_1 \in Y \mid \text{Any tangent cone } T_{y_1} Y \text{ does not have splitting factor } \mathbf{R}^{k+1}\}$$

Here, k is a non-negative integer.

Then, known result for $\dim_H \mathcal{S}_k$ is the following;

Theorem 4.4 (J.Cheeger, T.H.Colding [3, 5])

With notation as above,

$$\dim_H \mathcal{S}_k \leq k.$$

Theorem 4.5 (J.Cheeger, T.H.Colding [3, 5])

With notation as above,

$$\mathcal{S} = \mathcal{S}_{n-2}.$$

Especially,

$$\dim_H \mathcal{S} \leq n - 2.$$

Remark 4.6

Let us explain relation of Main Theorem 1 to the splitting theorem of the limit space. We consider the following situation ; $\{M_i\}_{i \in \mathbf{N}}$: n dimensional complete Riemannian manifold ($n \geq 2$) with $Ric_{M_i} \geq n - 1$, Z : compact metric space,

$$M_i \rightarrow Z \quad (i \rightarrow \infty): \text{ Gromov-Hausdorff convergence.}$$

We consider metric cone of Z , $C(Z)$, Almost cosine formula implies

$$\text{Splitting theorem holds for } (C(Z), z^*).$$

i.e.

If $C(Z)$ has a line passing z^* , then there exist a compact metric space X with $\text{diam}_X \leq \pi$ such that

$$C(Z) \cong \mathbf{R} \times C(X).$$

We can apply splitting theorem also to $C(X)$.

Main theorem 1 is proved by applying to this argument iteratively.

And, the statement for $k = n$ of Main Theorem 1 is

$$C(Z) \not\cong \mathbf{R}^n \times \mathbf{R}_{\geq 0}.$$

Compare Theorem 2.25.

Note that these things, we have, Main Theorem 1 is equivalent to the following;

Main Theorem 1' For above Z , if there exists $p_i, q_i \in Z$ ($i = 1, 2, \dots, k$) such that

$$\overline{p_i, q_i} = \pi, \text{ and } \det((\cos \overline{p_i, p_j})_{i,j}) \neq 0 \quad (*)$$

then

1. $k \leq n + 1$.

2. If $1 \leq k \leq n-1$, then there exist a compact length space X with $\text{diam}_X \leq \pi$ such that

$$Z \cong \mathbf{S}^{k-1} * X.$$

3. If $k = n, n+1$, then

$$Z \cong \mathbf{S}^n.$$

We can replace the assumption of Theorem 4.3 by above (*).

References

- [1] M.T.Anderson, Metrics of positive Ricci curvature with large diameter. Manuscripta Math. 68 (1990), no.4, 405-415.
- [2] D.Burago, Y.Burago, and S.Ivanov, A course in metric geometry. Graduate Studies in Mathematics, 33. American Mathematical Society, Providence, RI, (2001).
- [3] J.Cheeger, Degeneration of Riemannian metrics under Ricci curvature bounds. Scuola Normale Superiore, Pisa, (2001).
- [4] J.Cheeger, and T.H.Colding, Lower bounds on Ricci curvature and the almost rigidity of warped products. Ann. of Math. 144 (1996) 189-237.
- [5] J.Cheeger, and T.H.Colding, On the structure of spaces with Ricci curvature bounded below.I, J.Differential Geom. 45 (1997) 406-480.
- [6] J.Cheeger, and T.H.Colding, On the structure of spaces with Ricci curvature bounded below.II, J.Differential Geom. 54 (2000) 13-35.
- [7] J.Cheeger, and T.H.Colding, On the structure of spaces with Ricci curvature bounded below.III, J.Differential Geom. 54 (2000) 37-74.
- [8] S.Y.Cheng, Eigenvalue comparison theorems and its geometric applications. Math. Z. 143 (1975) 289-297.
- [9] S.Y.Cheng, and S.T.Yau, Differential equations on Riemannian manifolds and their geometric applications. Comm. Pure Appl. Math. 28 (1975) 333-354.
- [10] T.H.Colding, Shape of manifolds with positive Ricci curvature. Invent. Math. 124 (1996) 175-191.
- [11] T.H.Colding, Large manifolds with positive Ricci curvature. Invent. Math. 124 (1996) 193-214.

- [12] T.H.Colding, Ricci curvature and volume convergence. *Ann. of Math.* (2) 145 (1997) 477-501.
- [13] C.B.Croke, An Eigenvalue Pinching Theorem. *Invent. Math.* 68 (1982) 253-256.
- [14] K.Fukaya, Collapsing of Riemannian manifolds and eigenvalues of Laplace operator. *Invent. Math.* 87 (1987) 517-547.
- [15] K.Fukaya, Hausdorff convergence of Riemannian manifolds and its applications. Recent topics in differential and analytic geometry, *Adv. Stud. Pure Math.* vol. 18-I, Academic Press, Boston, MA, (1990), 143-238.
- [16] K.Fukaya, Metric Riemannian geometry. *Kyoto-Math* (2004) 16.
- [17] K.Grove, and P.Petersen, A pinching theorem for homotopy spheres. *JAMS* 3 (1990) 671-677.
- [18] K.Grove, and P.Petersen (Eds), Comparison Geometry. *Mathematical Sciences Research Institute Publications* vol. 30 (1997).
- [19] K.Grove, and K.Shiohama, A generalized sphere theorem. *Ann. of Math.* (2) 106 (1977) no. 2, 201-211.
- [20] A.Lichnerowicz, *Geometric des Groupes des transformations*. Dunod, Paris, (1958)
- [21] M.Obata, Certain conditions for a Riemannian manifold to be isometric to a sphere. *J.Math. Soc. Fpn.* (1962) 333-340.
- [22] Y.Otsu, On manifolds of positive Ricci curvature with large diameter. *Math. Z.* 206 (1991), no.2, 255-264.
- [23] Y.Otsu, K.Shiohama, and T.Yamaguchi, A new version of differentiable sphere theorem. *Invent. Math.* 98 (1989) 219-228.
- [24] Y.Otsu, T.Yamaguchi, T.Shioya, T.Sakai, A.Kasue, K.Fukaya, Riemannian manifolds and its limit. (in japanese) *Memoire Math. Soc. Japan.* (2004).
- [25] G.Perelman, Manifolds of positive Ricci curvature with almost maximal volume. *JAMS* 7, (1994) 299-305.
- [26] P.Petersen, On eigenvalue pinching in positive Ricci curvature. *Invent. Math.* 138 (1999) 1-21.
- [27] P.Petersen, and G.Wei, Analysis and geometry on manifolds with integral Ricci curvature bounds II. *Trans Amer Math Soc.* 353. (2000) no. 2 457-478.
- [28] T.Shioya, Eigenvalues and suspension structure of compact Riemannian orbifolds with positive Ricci curvature. *Manuscripta Math.* 99 (1999) no. 4 509-516.

Shouhei Honda
Department of Mathematics, Faculty of Science, Kyoto University, Japan.
E-mail address : honda@math.kyoto-u.ac.jp