

セミナー「志村多様体の数論幾何」

- 題 目： 1. 類体論から志村多様体論へ
2. 局所 Langlands 対応とその幾何学的実現
3. 最近の話題 (1)
4. 最近の話題 (2)

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日 時： ・4月11日(月) 16:30~18:00

・4月12日(火) ~14日(木) 15:00~16:30

場 所： 京都大学理学部 3号館(数学教室) 205 講義室

アブストラクト：

CM 体や局所体上の $GL(n)$ の Langlands 対応は，ユニタリ型志村多様体の数論幾何を用いて，多くの場合に幾何学的実現が構成されています。この集中セミナーでは，数論幾何学の基本的な概念の復習から始めて， $GL(n)$ の Langlands 対応やユニタリ型志村多様体の数論幾何に関する Harris-Taylor の仕事の概略と，その周辺の最近の話題を解説します。意欲ある学部生・大学院生の参加も歓迎します。

1. 類体論から志村多様体論へ (吉田)

CM 体上の $GL(n)$ の保型表現に対応した n 次元 Galois 表現の構成(大域 Langlands 対応)は，虚数乗法論($n=1$ の場合，類体論の実現)の一般化と考えられる。ユニタリ型志村多様体を用いた Galois 表現の構成(主に Kottwitz, Clozel による)のために必要な数論幾何学を概観する。

2. 局所 Langlands 対応とその幾何学的実現 (伊藤)

Harris-Taylor の仕事は，ユニタリ型志村多様体の悪い還元の様子を詳細に研究し，大域 Langlands 対応の局所的な振る舞いを調べることで局所 Langlands 対応を証明したものである。これは大域類体論から局所類体論を導くことの幾何学化・非可換化にあたるもので，非可換 Lubin-Tate 理論(Carayol のプログラム)と呼ばれる。

3. 最近の話題 (1) (吉田)

分岐が tame の場合の非可換 Lubin-Tate 理論の Deligne-Lusztig 理論を用いた局所的構成，および Harris-Taylor の結果をモノドロミー作用素に関して精密化した，大域・局所 Langlands 対応の整合性の証明について解説する。

4. 最近の話題 (2) (伊藤)

正標数の体上のユニタリ型志村多様体の幾何学，特に高次元井草多様体のコンパクト化とその応用について述べる。また，Rapoport-Zink による p 進一意化理論について解説する。これは，非可換 Lubin-Tate 理論とユニタリ型志村多様体の数論幾何との関係を深く一般化したものである。

京都大学理学研究科数学教室

集中セミナー: 志村多様体の数論幾何

Arithmetic Geometry of Shimura varieties

Global Langlands correspondence (Conj.)

L/\mathbb{Q} : fn. ext, $n \geq 1$

algebraic

automorphic rep'n

of $GL_n(\mathbb{A}_L)$

\longleftrightarrow

l : prime,

$\mathbb{Z}: \overline{\mathbb{Q}_l} \cong \mathbb{C}$

n -dim'l l -adic

Galois rep'n of $G_L := \text{Gal}(\overline{L}/L)$

$G_L \rightarrow GL_n(\overline{\mathbb{Q}_l})$

$\Pi \mapsto R = R_{l,2}(\Pi)$

Theme: Construction of $\Pi \mapsto R_{l,2}(\Pi)$

$n=1$, $L = \mathbb{Q}$ Cyclotomic Fields

L/\mathbb{Q} : imaginary quadratic Complex Multiplication
of Elliptic Curves

L : CM field CM of Abelian Var.

(totally imaginary quad / tot. real) (Shimura, Taniyama,
Weil)

$n=2$, $L = \mathbb{Q}$

(holomorphic elliptic modular form) Eichler-Shimura,
Deligne
(modular curve)

L : totally real (Shimura curve)
(Hilbert modular form)

$n = \text{general}$ L : CM field + some conditions

Kottwitz, Clozel

(unitary Shimura varieties)

l -adic ^{étale} cohomology of Shimura varieties $/L$

\uparrow

$(n-1)$ -dimensional (quasi-)projective smooth var $/L$

Rem. $\text{II} \leftrightarrow \text{R}$ (converse)

$n=1$: Global Class Field Theory

$n=2$: $L=\mathbb{Q}$, holom Taniyama - Shimura conj.
($\text{R}=\text{T}$ thm)

Cyclotomic theory

K : field,

$n \geq 1$, $\mu_n = \{n\text{-th roots of unity}\} \subset \bar{K}$

$K(\mu_n)$: splitting field of $X^n - 1$.

$(\text{char } K, n) = 1 \Rightarrow \mu_n$: cyclic group of order n

$\mathbb{Z}/n\mathbb{Z} \ni 1 \mapsto \zeta_n \in \mu_n$
primitive root of unity.

$\text{Gal}(K(\mu_n)/K) \xrightarrow{\text{can.}} \text{Aut}(\mu_n) \cong (\mathbb{Z}/n\mathbb{Z})^\times \ni a \pmod{n}$
 $\{ \zeta \mapsto \zeta^a \}$

$K=\mathbb{Q}$

\Rightarrow This is isomorphism.

(Irreducibility of cyclotomic polynomials)

$(\mathbb{Z}/p\mathbb{Z})$
prime

Frob_p

$\mapsto p \pmod{n}$

$(\mathbb{Z}/n\mathbb{Z})^\times$... generated by $p \pmod{n}$

\Downarrow
surjective

* L/K : Galois, $[K:\mathbb{Q}] < \infty$

$P \in \text{Spec}(\mathcal{O}_K) \setminus \{0\}$

\uparrow integer ring of K
unramified in L $P\mathcal{O}_L = \mathcal{Q}_1 \cdots \mathcal{Q}_g$ ($\mathcal{Q}_i \neq \mathcal{Q}_j$)
 ($i \neq j$)

$$\mathcal{Q} := \mathcal{Q}_1, \quad k(\mathcal{Q}) = \mathcal{O}_L/\mathcal{Q}$$

$$k(P) = \mathcal{O}_K/P$$

$$\cong \mathbb{F}_q$$

$\text{Gal}(L/K)$

$$\bigcup \{ \sigma \mid \sigma(\mathcal{Q}) = \mathcal{Q} \} \cong \text{Gal}(k(\mathcal{Q})/k(P))$$

$$\sigma \mapsto \sigma|_{\mathcal{O}_L \bmod \mathcal{Q}} \parallel \langle \text{Frob}_{\mathcal{Q}}; x \mapsto x^q \rangle$$

$\text{Frob}_{\mathcal{Q}} \longleftarrow \text{Frob}_{\mathcal{Q}}$

$\text{Frob}_{\mathcal{P}} := \text{conjugacy class of } \text{Frob}_{\mathcal{Q}} \longrightarrow \text{Frob}_{\mathcal{P}}$

$$\hookrightarrow \text{Frob}_{\mathcal{P}} \in \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$$

$p \nmid n$ μ_n has good reduction at p

$$\{1, \zeta_n, \zeta_n^2, \dots, \zeta_n^{n-1}\} = \mu_n$$

--- modulo prime of $\mathcal{O}_{\mathbb{Q}(\zeta_n)}$ above p , $\zeta_n^i \neq \zeta_n^j$
 ($i \neq j$)

$p \nmid n$: unramified in $\mathbb{Q}(\zeta_n)$

$$\text{Frob}_p: \zeta \mapsto \zeta^p$$

Take limit w.r.t. n $\bigcup_{n \parallel m} \mathbb{Q}(\mu_n)$, $m|n$
 $\mathbb{Q}(\mu_m) \subset \mathbb{Q}(\mu_n)$

$$\text{Gal}(\mathbb{Q}^{ab}/\mathbb{Q}) \longrightarrow \text{Gal}(\mathbb{Q}(\mu_\infty)/\mathbb{Q})$$

$$\downarrow \cong$$

$$\varprojlim_n (\mathbb{Z}/n)^\times = \hat{\mathbb{Z}}^\times$$

$$\hat{\mathbb{Z}} := \varprojlim_n \mathbb{Z}/n = \prod_p \mathbb{Z}_p$$

$$\mathbb{Z}_p := \varprojlim_n \mathbb{Z}/p^n$$

$$\hat{\mathbb{Z}}^\times = \prod_p \mathbb{Z}_p^\times$$

Adelic Formulation

$$\left. \begin{aligned} \mathbb{A}^\infty &:= \hat{\mathbb{Z}} \otimes \mathbb{Q} \\ \mathbb{A} &:= \mathbb{R} \times \mathbb{A}^\infty \end{aligned} \right\} \mathbb{Q}\text{-alg.}$$

$$\begin{matrix} \begin{matrix} (x_\infty, x_p) \\ \prod \\ (x_p)_p \end{matrix} \mathbb{A}^\infty & \hookrightarrow & \prod_p (\mathbb{Z}_p \otimes \mathbb{Q}) \\ & & \parallel \\ & & \mathbb{Q}_p \end{matrix}$$

$$\mathbb{A}^\times \xleftarrow{\cong} \hat{\mathbb{Z}}^\times \cdot \mathbb{Q}^\times \cdot \mathbb{R}_{>0}^\times$$

↑
idèle group

Algebraic Hecke character := character

$$\mathbb{A}^\times / \mathbb{Q}^\times \xrightarrow[\text{hom continuous}]{\prod} \mathbb{C}^\times$$

such that

$$\prod_{\mathbb{R}_{>0}^\times} (x_\infty) = x_\infty^k \quad (k \in \mathbb{Z})$$

$$l: \text{prime}, \quad \iota: \overline{\mathbb{Q}}_l \xrightarrow{\sim} \mathbb{C}$$

$$R_{l, \iota}(\Pi) : G_{\mathbb{Q}} \longrightarrow \underbrace{\text{Gal}(\overline{\mathbb{Q}}^{\text{ab}}/\mathbb{Q})}_{\text{cyclot}} \longrightarrow \widehat{\mathbb{Z}}^{\times}$$

$$\cong \begin{array}{ccc} \mathbb{A}^{\times} / \mathbb{Q}^{\times} \cdot \mathbb{R}_{>0}^{\times} & \longrightarrow & \mathbb{C}^{\times} \xrightarrow{\iota^{-1}} \overline{\mathbb{Q}}_l^{\times} \\ \uparrow & & \\ (\chi_{\infty}, (\chi_p)_p) & \longmapsto & \Pi(x) \cdot x_{\infty}^{-k} \cdot (\iota x_p)^k \end{array}$$

$$\text{ex. } \Pi = | \cdot |_{\mathbb{Q}} = \prod_v | \cdot |_{\mathbb{Q}_v}$$

$k=1$, $|x_{\infty}|_{\mathbb{R}}$ --- usual abs. val.

$$\widehat{\mathbb{Z}}^{\times} = \prod_p \mathbb{Z}_p^{\times} \longrightarrow \mathbb{A}^{\times} / \mathbb{Q}^{\times} \cdot \mathbb{R}_{>0}^{\times} \longrightarrow \mathbb{C}^{\times} \xrightarrow{\sim} \overline{\mathbb{Q}}_l^{\times}$$

$$\text{Frob}_p = (p, p, \dots, p, \underset{\substack{\uparrow \\ \text{at } p}}{u}, p, \dots) \longmapsto (1, \dots, 1, p^{-1}u, 1, \dots, 1) \longmapsto p$$

$$\text{Frob}_l = (1, 1, \dots, 1, \underset{\substack{\uparrow \\ \text{at } l}}{u}, 1, \dots) \longmapsto \iota u \longmapsto u$$

(l -adic) cyclotomic character

$$G_{\mathbb{Q}} \longrightarrow \overline{\mathbb{Q}}_l^{\times}$$

2 Cyclotomic Fields as Shimura var.

R : ring
Scheme / R = covariant functor $(R\text{-alg}) \mapsto (\text{sets})$
 satisfying several properties
 direct prod. \rightsquigarrow direct sum

e.g. Affine schemes / R $A \in (R\text{-alg})$

$$\text{Spec}(A) : R' \mapsto \text{Hom}_R(A, R')$$

Finite scheme / R if A : finite R -alg.
 (f.g. R -mod)

e.x $R = K$: field
 ($\text{char } K, n$) = 1

$$\text{Spec}(K(\mu_n)) : R' \mapsto \text{Hom}_R(K(\mu_n), R')$$

\parallel
 (primitive n -th roots of unity in R')

Group scheme / R : scheme, such that
 $(R\text{-alg}) \mapsto (\text{Groups})$

Finite group scheme --- finite as a scheme \downarrow
 (sets)

e.g. $G_m : R' \mapsto (R')^\times$
 \uparrow
 multiplicative group.

G : group scheme, $n \geq 1$

$$G[n] : R' \mapsto G(R')[n]$$

$$G_m[n] =: \mu_n : R' \mapsto \left\{ \begin{array}{l} n\text{-th roots of} \\ \text{unity in } R' \end{array} \right\}$$

$R = K$ field, $(\text{char } K, n) = 1$

$$\mu_n = \text{Spec} \left(\prod_{d|n} K(\mu_d) \right) \quad \dots \text{finite group scheme}$$

$$\mathbb{Z}/n\mathbb{Z} : R' \mapsto \mathbb{Z}/n\mathbb{Z} \quad \text{constant group scheme}$$

(if R' is not $\cong \prod_{\#} R'_1 \times \prod_{\#} R'_2$) $\text{Aut}(\mathbb{Z}/n\mathbb{Z}) = (\mathbb{Z}/n\mathbb{Z})^\times$

$$\text{Spec}(K(\mu_n)) : R' \mapsto \text{Isom}(\mathbb{Z}/n\mathbb{Z}(R'), \mu_n(R'))$$

↑
set of isomorphisms

primitive n -th roots of unity



level n structure on $G_m(R')$ \Rightarrow $\left(\begin{array}{c} \mathbb{Z}/n\mathbb{Z}(R') \cong \mu_n(R') \\ \parallel \\ G_m(R')[n] \end{array} \right)$

Cyclotomic field $\mathbb{Q} =$ moduli of level n -structures on G_m/\mathbb{Q}

has $(\mathbb{Z}/n\mathbb{Z})^\times$ -action by definition



$$(A^\infty)^\times \rightarrow \mathbb{Z}^\times$$

\parallel

$$GL_1(A^\infty)$$

shimura varieties (of PEL type)
... has action of $G(A^\infty)$

• Complex Multiplication

(Some problems related to Kronecker's Jugendtraum)
 1976 / R.P. Langlands

K/\mathbb{Q} : imag. quadratic

$\mathcal{O} \subset \mathcal{O}_K$: order ($\mathcal{O} = \mathcal{O}_K$)

moduli of elliptic curves w/ CM by \mathcal{O}_K

(K-alg.) \longrightarrow (Sets)

$R' \longmapsto \boxed{\{(E, i)\} / \cong}$

• E : elliptic curve / R'
 • $i : \mathcal{O}_K \hookrightarrow \text{End}(E)$

$\left[\begin{array}{l} \text{ell. curve} \\ \parallel \\ \text{gp. scheme, proper, rel. dim} = 1 \\ \text{geom. fiber} = \text{conn.} \end{array} \right]$

\parallel
 $\text{Hom}(E, E)$
 \parallel
 gp. sch.
 (Complex multiplication)

--- not representable

(coarse moduli) = $\text{Spec}(K(j(E))) \circlearrowleft \text{Cl}(\mathcal{O}_K)$
 \downarrow \uparrow
 $\text{Spec}(K)$ j -invariant.

• $\text{Cl}(\mathcal{O}_K)$ acts on \square
 ideal class group

$[\mathcal{O}] \in \text{Cl}(\mathcal{O}_K) \quad E \longmapsto E/E[\mathcal{O}]$

($\mathcal{O} \subset \mathcal{O}_K$)
 \uparrow ideal

$E[\mathcal{O}] : R' \longmapsto \underbrace{E(R')[\mathcal{O}]}_{\mathcal{O}_K\text{-module}}$
 or

proj. \mathcal{O}_K -mod.

of rank 1. $E \otimes \mathcal{O} := E/E[\mathcal{O}] : R' \longmapsto E(R') \otimes_{\mathcal{O}_K} \mathcal{O}$

analogous to cyclotomic theory

$$\text{Gal}(K(j(E))/K) \xrightarrow{\cong} \text{Cl}(\mathcal{O}_K)$$

$$\text{Frob}_p \mapsto [\varphi^{-1}]$$

+ level str., $n \geq 1$

$$(K\text{-alg}) \longrightarrow (\text{Sets})$$

$$R' \mapsto \{(E, i, \eta)\} / \cong$$

$$\left[\begin{array}{l} \text{level } n\text{-str.} \\ \text{on } E \end{array} \right] \longrightarrow \eta : \mathcal{O}_K/n(R') \xrightarrow{\cong} E[n](R')$$

(isom of \mathcal{O}_K -modules)

--- $G(\mathbb{A}^\infty)$ -action

$$G = \text{Res}_{K/\mathbb{Q}}(\mathbb{G}_m) \quad \text{alg. group} = \text{group scheme of finite type}$$

$$(\mathbb{Q}\text{-alg}) \longrightarrow (\text{Groups})$$

$$R' \mapsto \mathbb{G}_m(R' \otimes_{\mathbb{Q}} K) = (R' \otimes_{\mathbb{Q}} K)^\times$$

$$G(\mathbb{A}^\infty) = (\mathbb{A}^\infty \otimes_{\mathbb{Q}} K)^\times$$

G : more general alg gp / \mathbb{Q}

$$U \subset G(\mathbb{A}^\infty) \rightsquigarrow \text{shimura var. } X_U$$

open compact subgroup

(level str.)

G --- "unitary group" $/\mathbb{Q}$
 similitude

$$G \otimes_{\mathbb{Q}} K = GL_1 \times GL_1$$

F/\mathbb{Q} quadratic ext.
 F^+ : totally real
 F : CM

$$GU / F^+ \quad (GU \otimes_{F^+} F = GL_n \times GL_1)$$

(or its twist)

$$G = \text{Res}_{F^+/\mathbb{Q}} (GU)$$

Moduli of Abelian var + PEL str. = Shimura var. of G
 polarization \uparrow level \uparrow
 endomorphism \uparrow

(Ell. curve
 \parallel
 A.V. of dim 1)

represented by $(n-1)$ -dim. quasi-proj. smooth var. $/E$

E/\mathbb{Q} quadratic imaginary
 $F^+ = \mathbb{Q}, E = F$
 (E-alg) \longrightarrow (sets)

$$R' \longmapsto \left\{ (A, i, \lambda, \bar{\eta}) \right\} / \cong$$

$\uparrow \quad \uparrow \quad \uparrow$
 $E \quad P \quad L$

A : abelian scheme of dim n / \mathbb{P}^1
 $i: \mathcal{O}_E \hookrightarrow \text{End}(A)$ (condition on $\text{Lie}(A)$) End(A)
 $\lambda: A \rightarrow A^\vee$ polarization such that Rosati involution \ast_λ restricts to cpx conj. on \mathcal{O}_F

$$\begin{array}{ccc}
 A & \xrightarrow{\lambda} & A^\vee \\
 \phi \downarrow & \cong & \downarrow (\phi^* \lambda)^\vee \\
 A & \longrightarrow & A^\vee
 \end{array}
 \quad
 \begin{array}{ccc}
 \phi & \longmapsto & \phi^* \lambda \\
 \text{End}(A) & \xrightarrow{\quad} & \text{End}(A) \\
 & *_{\lambda} &
 \end{array}$$

(η : level U -str. for $U \subset G(A^\infty)$)

Shimura variety of G/\mathbb{Q} ^{← reductive}

variety X_U / number field for each $U \subset G(A^\infty)$
 open cpt $\hat{\mathbb{Z}} \otimes \mathbb{Q}$

st. $X_U(\mathbb{C}) =$ disj. union of
 (herm. symm. space)
 discrete group.

" $G(\mathbb{Q}) \cap U$ "

$$G = GL_2/\mathbb{Q} \quad \text{hy} = SL_2(\mathbb{R})/SO_2(\mathbb{R}) = GL_2^+(\mathbb{R})/\mathbb{R}^* \cdot SO_2(\mathbb{R})$$

(modular curve)

$$SL_2(\mathbb{Z}) \backslash \text{hy} = GL_2^+(\mathbb{Q}) \backslash \left(GL_2(A^\infty) \times \left(GL_2^+(\mathbb{R}) / \mathbb{R}^* \cdot SO_2(\mathbb{R}) \right) \right) \backslash \underbrace{GL_2(\hat{\mathbb{Z}})}_{\parallel}$$

$$SL_2(\mathbb{Z}) = GL_2^+(\mathbb{Q}) \cap GL_2(\hat{\mathbb{Z}})$$

max. cpt of $GL_2(A^\infty)$

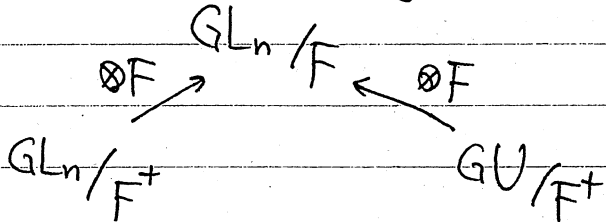
There is no Shimura var. for GL_n ($n \geq 3$)

↓
 use functoriality

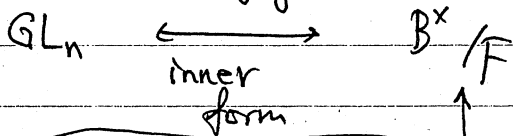
II: cuspidal autom. rep. of $GL_n(A_L)$

$F=L$

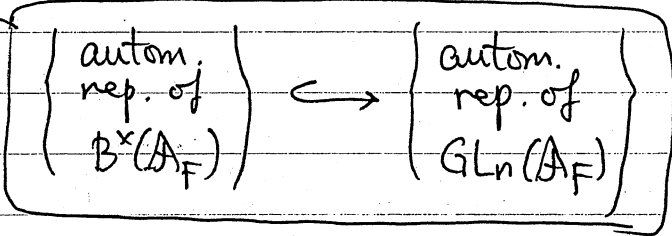
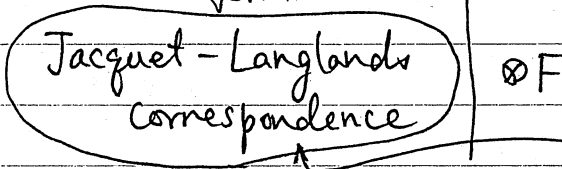
① use functoriality



② avoid endoscopy (Kottwitz, Clozel)



B : central simple algebra
 F (division)
of dim n^2



$$G = \text{Res}_{F^+/\mathbb{Q}}(GU)$$

$G(A^\infty) \curvearrowright$ Shimura var.

$$\text{cohomology of Shimura var} = \bigoplus \left(\begin{array}{l} \text{irred. adm. rep.} \\ \text{of } G(A^\infty) \end{array} \right)$$

$$\downarrow \bigoplus \left(\begin{array}{l} \text{l-adic rep.} \\ \text{of } G_F \end{array} \right)$$

L.C.
(Langlands corresp.)

Unitary Shimura var.

$$F = E \cdot F^+, \quad F^+ : \text{tot. real.}$$

$$E/\mathbb{Q} : \text{imag. quad.} \quad e \in \mathbb{Q} \quad \left\{ \begin{array}{l} E \\ F \end{array} \right. \text{ cpx. conj.}$$

B/F div. alg. of dim n^2

* αB : positive involution of 2nd kind.
 $(*|_F = c)$

B_x (x : place of F)

$\parallel \left\{ \begin{array}{l} M_n(F_x) \text{ (matrix alg.)} \\ \text{div. alg. at } x = z, z^c \end{array} \right.$ for $x \neq z, z^c$ } fix z
 ↑ to do
 prime of F } J-L.

$B \cong B^{\text{op}} \otimes_{F^c} F$ Hasse inv $\times(-1)$

→ choose involution $\#$ on B that defines a $*$ -form.
 form on $B (=V)$

↓
 unitary group / F^+

↓ Res F^+/\mathbb{Q}

$$G(R) = \left\{ (\lambda, g) \in R^\times \times (B^{\text{op}} \otimes_{\mathbb{Q}} R)^\times \mid g \cdot g^\# = \lambda \right\}$$

R : \mathbb{Q} -algebra

⇒ Shimura var. = moduli of A.V. w/ \mathcal{O}_B -action

$$\dim = [F^+:\mathbb{Q}] \times n^2$$

BRIEF SUMMARY OF LANGLANDS CORRESPONDENCE

TERUYOSHI YOSHIDA

Global Langlands Correspondence (conjecture)

$[L : \mathbb{Q}] < \infty$, $n \geq 1$, ℓ : prime, $\iota : \overline{\mathbb{Q}}_\ell \xrightarrow{\cong} \mathbb{C}$.

algebraic automorphic rep. of $GL_n(\mathbb{A}_L) \longleftrightarrow n$ -dim. ℓ -adic rep.* of $G_L = \text{Gal}(\overline{L}/L)$

$\Pi \longleftrightarrow R_{\ell, \iota}(\Pi)$

$\{ \text{cuspidals} \} \longleftrightarrow \{ \text{irreducibles} \}$

* $G_L \longrightarrow GL_n(\overline{\mathbb{Q}}_\ell) \cdots$ (1) unramified at almost all places of L , (2) de Rham at ℓ .

- $R_{\ell, \iota}(\Pi)$ is characterized by : for almost all v of L (with Π_v unramified),
 $\{ \text{Satake parameters of } \Pi_v \} = \{ \text{eigenvalues of } R_{\ell, \iota}(\Pi)(\text{Frob}_v) \in GL_n(\overline{\mathbb{Q}}_\ell) \}$.

Local Langlands Correspondence (theorem)

$[K : \mathbb{Q}_p] < \infty$, $n \geq 1$.

irreducible admissible rep. of $GL_n(K) \longleftrightarrow n$ -dim. Weil-Deligne rep. of W_K

$\Pi \longleftrightarrow \text{rec}(\Pi)$

$\{ \text{cuspidals} \} \longleftrightarrow \{ \text{irreducibles} \}$

$$\begin{array}{ccc}
 \{ \text{cuspidals} \} & \Pi \longleftrightarrow r & \{ \text{irreducibles} \} \\
 \cap & & \cap \\
 \{ \text{square integrables} \} & \text{Sp}_s(\Pi) \longleftrightarrow \text{Sp}_s(r) & \{ \text{indecomposables} \} \\
 \cap & & \cap \\
 \{ \text{tempered} \} & \longleftrightarrow & \{ \text{pure} \} \\
 \cap & & \cap \\
 \{ \text{generic} \} & & \{ \text{mixed} \}
 \end{array}$$

Date: March 23, 2005.

4/12. T. Ito

Non-abelian Lubin-Tate theory (Carayol's program)

local theory

§1. abelian theory

Recall: class field theory (global/local)

understand G_K^{ab} in terms of autom. rep's.

e.g. (cyclotomic fields)

$K = \mathbb{Q}$ $\mathbb{Q}^{ab} = \bigcup_n \mathbb{Q}(\mu_n)$ (Kronecker-Weber)

$G_{\mathbb{Q}}^{ab} \cong \xrightarrow{\text{cyclotomic char}} \hat{\mathbb{Z}}^{\times} = \prod_p \mathbb{Z}_p^{\times}$

$\mathbb{Q} \subset \mathbb{Q}_p$ p -adic completion

($\cong \mathbb{Z}_p \otimes_{\mathbb{Z}} \mathbb{Q}$)

fix $\bar{\mathbb{Q}} \subset \bar{\mathbb{Q}}_p$

$\rightsquigarrow G_{\mathbb{Q}_p}^{ab} \subset G_{\bar{\mathbb{Q}}}^{ab}$

abel ext. of \mathbb{Q}_p

\Leftarrow comes from that of \mathbb{Q}

$\bigcup_n \mathbb{Q}_p^{ur}(\mu_{p^n}) = \mathbb{Q}_p^{ab}$ local CFT.
| difficult.

$\bigcup_{(n,p)=1} \mathbb{Q}_p(\mu_n) =: \mathbb{Q}_p^{ur}$... max. unram. ext. of \mathbb{Q}_p .
|) } easy \leftarrow coming from ext. of \mathbb{F}_p .
 \mathbb{Q}_p

$Gal(\mathbb{Q}_p^{ur}/\mathbb{Q}_p) \cong Gal(\bar{\mathbb{F}}_p/\mathbb{F}_p) \cong \hat{\mathbb{Z}}$: gen. by Frobp.
($x \mapsto x^p$)

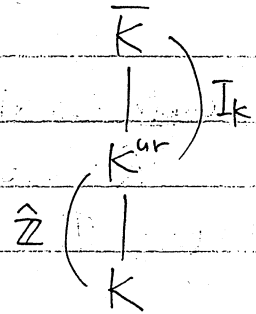
K/\mathbb{Q}_p : fin. ext.

$v_K : K^{\times} \rightarrow \mathbb{Z}$ p -adic val. $v_K(ab) = v_K(a) + v_K(b)$

\mathcal{O}_K : integer ring of K , $k(v_K)$: residue field

$K^{ur} := \bigcup_{(n,p)=1} K(\mu_n)$ max. unram. ext. of K .

$I_K := Gal(\bar{K}/K^{ur})$ inertia gp.



$$1 \rightarrow I_K \rightarrow G_K \rightarrow \text{Gal}(\bar{K}/K) \rightarrow 1$$

$$1 \rightarrow I_K \rightarrow W_K \rightarrow \mathbb{Z} \rightarrow 1$$

Weil gp of $K \uparrow$ gen. by Frobg.

local C.F.T. \exists can. isom $\text{Art}_K : K^\times \xrightarrow{\cong} W_K^{\text{ab}}$ (Artin)

$$\begin{array}{ccccccc} \text{s.t. } 1 & \rightarrow & \mathcal{O}_K^\times & \rightarrow & K^\times & \xrightarrow{\nu_K} & \mathbb{Z} \rightarrow 1 \\ & & \cong \downarrow & & \text{Art}_K \downarrow \cong & & \cong \downarrow \text{mult. by } -1 \\ 1 & \rightarrow & \text{image of } \mathcal{O}_K^\times & \rightarrow & W_K^{\text{ab}} & \rightarrow & \mathbb{Z} \rightarrow 1 \end{array}$$

If $K = \mathbb{Q}_p$.
cycl. char.

Lubin-Tate theory: geometric realization of
 $\text{Art}_K|_{\mathcal{O}_K^\times} : \mathcal{O}_K^\times \xrightarrow{\cong} (\text{image of } I_K)$

idea: use formal group with \mathcal{O}_K -action.

functor $(\text{Artin } R\text{-alg}) \rightarrow (\text{Groups})$
 $(R\text{-alg}) \rightarrow \text{group scheme}$

$(\text{groups}/R) \xrightarrow{\text{restriction}} (\text{formal groups}/R)$
 (formal completion)

Rem. Usually
 R : noether. local
 $\cdot \mathcal{O}_K$ -alg - Artin
 π : nilpotent in R -alg
 $(\mathcal{O}_K$: uniformizer)

concrete description $\mathfrak{m}_{K^{\text{ur}}} \subset \mathcal{O}_{K^{\text{ur}}}$: max ideal.

R : local $\mathcal{O}_{K^{\text{ur}}}$ -alg st. π : nilpotent
 (e.g. $R = \mathcal{O}_{K^{\text{ur}}}/\mathfrak{m}_{K^{\text{ur}}}^n$ $R = \overline{\mathbb{F}_q}$ if $n=1$)

Def. $F(x, Y) \in \mathbb{R}[[X, Y]]$ formal group law

$$\left. \begin{array}{l} \text{def.} \\ \left\{ \begin{array}{l} F(x, Y) \equiv X + Y \pmod{\text{deg } 2} \\ F(x, Y) = F(Y, x) \\ F(F(x, Y), Z) = F(x, F(Y, Z)) \end{array} \right. \end{array} \right\}$$

formal \mathcal{O}_K -module / \mathbb{R}

$$\left\{ \begin{array}{l} F: \text{ as above} \\ \mathcal{O}_K \hookrightarrow \text{End}(F) := \left\{ f(x) \in \mathbb{R}[[X]] \mid \begin{array}{l} f(0) = 0 \\ F(f(x), f(Y)) \\ = f(F(x, Y)) \end{array} \right\} \\ \downarrow \\ a \mapsto [a] \\ [a](x) \equiv ax \pmod{\text{deg } 2} \dots \text{condition on Lie algebra} \end{array} \right\}$$

$F \rightsquigarrow$ formal group functor / \mathbb{R}

$A: \text{ local } \mathbb{R}\text{-alg. } \xrightarrow{\quad} G; \quad G = m_A \text{ as a set}$
 \cup
 $m_A \text{ nil.} \quad \quad \quad a, b \in G. \quad a * b = F(a, b)$

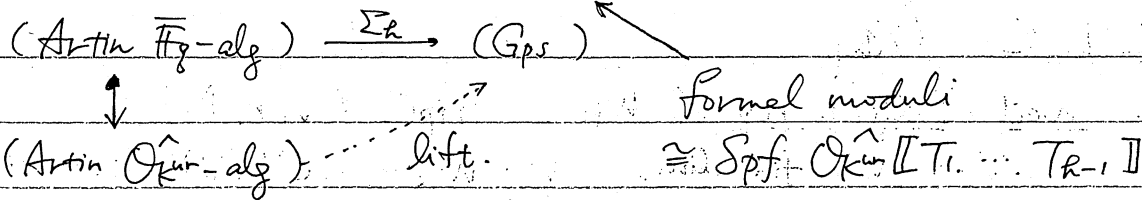
Def. $\mathbb{R}: \text{ alg. cl. field. char } p > 0 \quad F: \text{ formal } \mathcal{O}_K\text{-module / } \mathbb{R}$
 $[\pi](x) \equiv x^{p^h} \pmod{\text{deg } p^h + 1} \quad h \dots \text{height of } F$
 (up to change of parameter) $\quad \quad \quad \mathbb{N} \cup \{\infty\}$

Th $h \geq 1. \quad h < \infty.$

(i) $\exists!$ formal \mathcal{O}_K -mod. / $\overline{\mathbb{F}_q}$ of ht $h \quad \dots \quad \Sigma_h / \overline{\mathbb{F}_q}$

(ii) $\text{End}_{\mathcal{O}_K}(\Sigma_h / \overline{\mathbb{F}_q}) \cong \mathcal{O}_D^\times$
 ($D: \text{ central simple alg. / } k. \text{ dim} = h^2. \text{ inv.} = \frac{1}{h}$)
 ($\mathcal{O}_D \subset D: \text{ max. order}$)

(iii) There are $(h-1)$ "directions" to lift Σ_h to \mathcal{O}_{K^ur}



universal π -action.

$$[\pi](X) = \pi X + \sum_{i=1}^{h-1} T_i X^{g^i} + X^{g^h} \quad (\text{mod deg } g^{h+1})$$

$h=1 \rightsquigarrow$ unique lift

$$\tilde{\Sigma}_1 / \widehat{\mathcal{O}}_{K^{\text{ur}}}$$

abelian Lubin-Tate theory ($h=1$)

$$\tilde{\Sigma}_1[\pi^\infty](\widehat{K^{\text{ur}}}) \cong K/\mathcal{O}_K$$

$$\begin{array}{c} \text{\mathcal{O}_K-linear} \\ \text{action} \end{array} \curvearrowright \text{Gal}(K/K^{\text{ur}}) = I_K$$

gives LCFT. $I_K \xrightarrow{\text{Art}_K^{-1}} \text{Aut}_{\mathcal{O}_K}(K/\mathcal{O}_K) \cong \mathcal{O}_K^\times$

e.g. $K = \mathbb{Q}_p$ $h=1$.

$$F(x, Y) = x + Y + xY \quad (\text{formal multiplicative gp})$$

$$F(x, Y) + 1 = (x+1)(Y+1)$$

$$\tilde{\Sigma}_1[\pi^\infty] = \left\{ a \in \widehat{\mathbb{Q}_p} \mid \exists n. a^{p^n} = 1 \right\} = \bigcup_n \mu_{p^n}$$

$$\curvearrowright I_{\mathbb{Q}_p}$$

$$(a \in \overline{\mathbb{Q}_p})$$

$$I_{\mathbb{Q}_p} \rightarrow \mathbb{Z}_p^\times \quad \text{\mathcal{p}-adic cycto. char.}$$

§2. Non-abel. L-T theory

local Langlands corresp.

local Jacquet-Langlands corresp.

naive idea. $h=1$. LCFT.

h : general... LLC for $GL(h)$.

need arithmetic geometry.

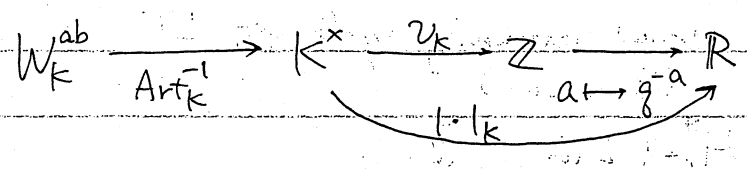
◦ L.L.C. K/\mathbb{Q}_p

Def. Weil-Deligne rep of W_K ... triple (V, r, N)

V : \mathbb{C} -v.s. fin. dim. $r: W_K \rightarrow GL(V)$ cont. rep

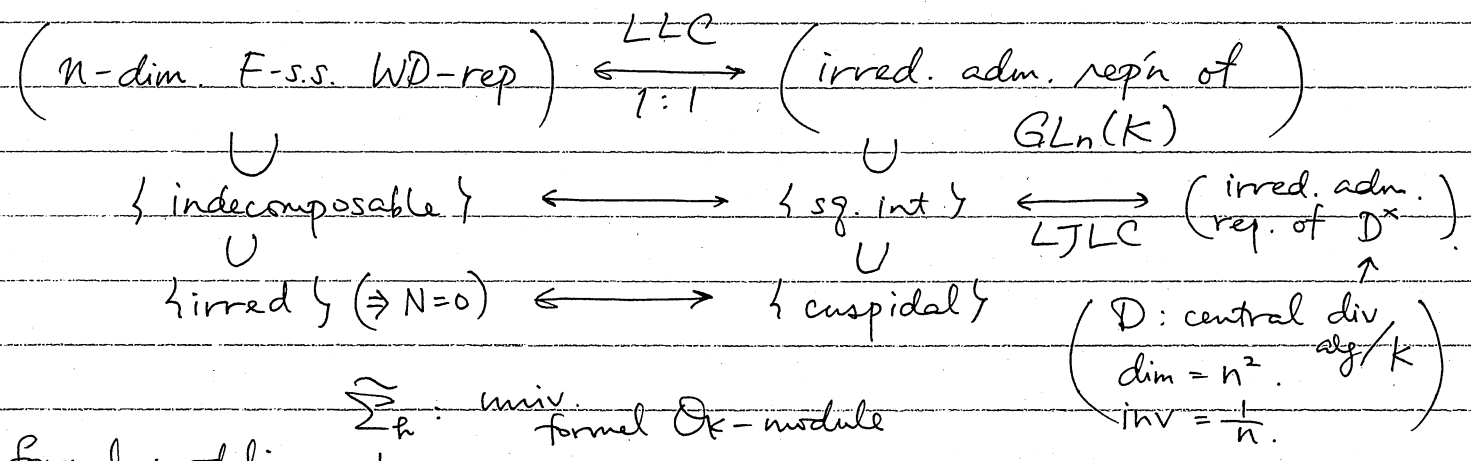
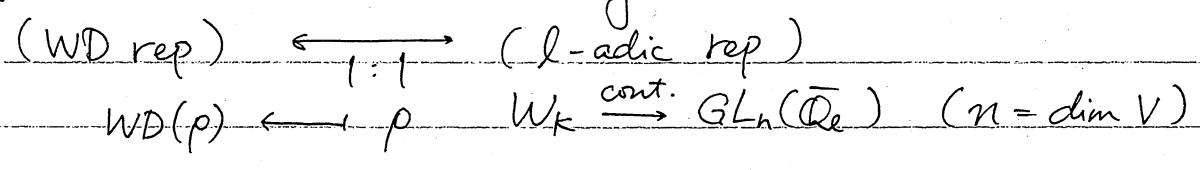
$N: V \rightarrow V$ \mathbb{C} -linear. nilpotent s.t.

$$\forall \sigma \in W_K \quad r(\sigma) \cdot N \cdot r(\sigma)^{-1} = |\text{Art}_K^{-1}(\sigma)|_K \cdot N$$

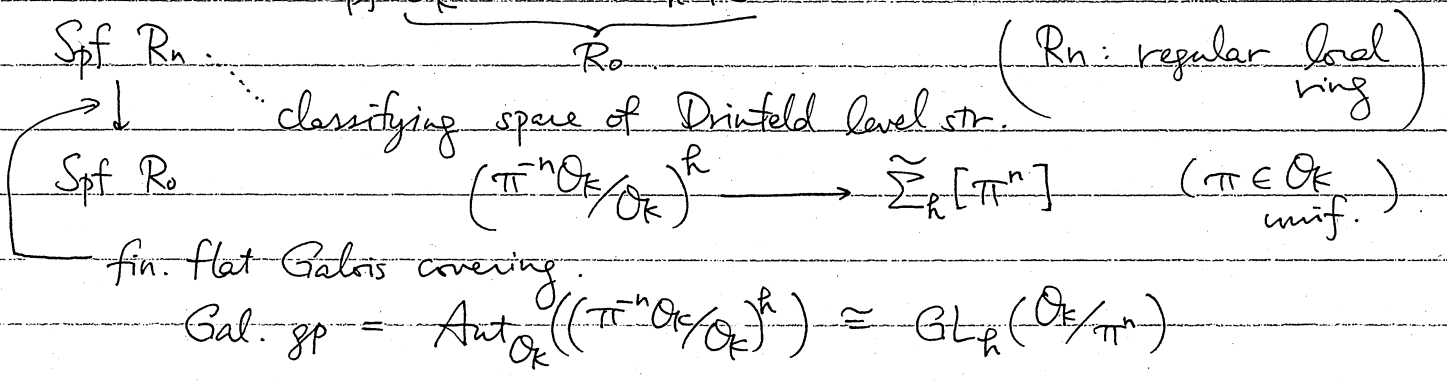


(V. r. N) : Frob. semisimple \iff r : semisimple

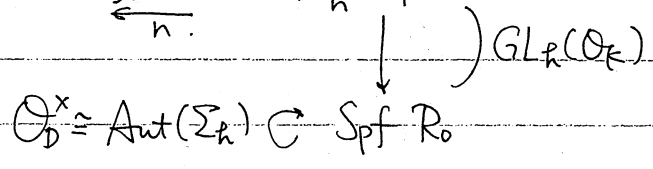
Motivation Grothendieck's monodromy thm.



Formal moduli $\sum_{\mathbb{P}^1} \text{univ. formal } \mathcal{O}_K\text{-module}$
 \downarrow
 $\text{Spf } \mathcal{O}_{\mathbb{P}^1, \text{cur}} \llbracket T_1, \dots, T_{h-1} \rrbracket$



take \varprojlim_n " $\varprojlim_n \text{Spf } R_n$ " =: LT_{∞}



non-abel. L-T theory : fix $\iota: \bar{\mathbb{Q}}_l \xrightarrow{\cong} \mathbb{C}$

$\Psi_{Lh} =$ (l-adic formal vanishing cycle of LT_{∞})
(Berkovich)

" $H_{\text{et}}^*(LT_{\infty} \bar{\eta}, \bar{\mathbb{Q}}_l)$

$$\cong \bigoplus \left(\text{rep of } I_k \right) \otimes \left(\text{rep of } GL_n(\mathbb{Q}_k) \right) \otimes \left(\text{rep of } \mathcal{O}_D^* \right)$$

$\xleftarrow{\text{LLC}} \qquad \qquad \qquad \xleftarrow{\text{LTLC}}$

3日

• NALT (local theory)

② $[K:Q_p] < \infty$
 Harris-Taylor chap. $\mathcal{O}_K, \pi, \mathcal{O}_K/\pi = k(v) \cong \mathbb{F}_q$
 $s := \text{Spec } \mathbb{F}_q$

Σ_n/s : "the" formal \mathcal{O}_K -module of height $n (\geq 1)$

$R_0^{(n)} = \hat{\mathcal{O}}_{K^{ur}}[T_1, \dots, T_{n-1}]$ represents

$e_K := \left(\begin{array}{l} \text{Artin local } \hat{\mathcal{O}}_{K^{ur}}\text{-alg.} \\ \text{w/ res. field } \cong \mathbb{F}_q \end{array} \right) \longrightarrow (\text{sets})$

$(A, m) \longmapsto \text{Def}_A(\Sigma_n)$

$\left\{ (\Sigma/A, \iota: \Sigma \otimes_A^m \cong \Sigma_n) \right\} / \cong$

i.e. $\exists \tilde{\Sigma}_n / R_0^{(n)}$

$\text{Hom}_{e_K}(R_0^{(n)}, A) \cong \tilde{\Sigma}_n \otimes_{R_0^{(n)}} A$

$R_m^{(n)} / R_0^{(n)}$ pro-represents

$(A, m) \longmapsto \text{Def}_A(\Sigma_n, \eta_s)$

fin. flat

$GL_n(\mathcal{O}_K/\pi^m)$ -covering

$= \left\{ (\Sigma/A, \iota, \eta) \right\} / \cong$

$$\eta : (\mathcal{O}_K/\pi^m)^n \longrightarrow \Sigma[\pi^m]$$

$$\text{st. } \prod_{x \in (\mathcal{O}_K/\pi^m)^n} (x - \eta(x))^m = [\pi^m](x) \cdot (\text{unit})$$

$\int(A) = m \quad A[[x]]$

... Drinfeld level str. on Σ

Rigidity: If $\eta = 0$, then $A: \mathbb{F}_q$ -alg, $\Sigma = \Sigma_n \otimes_{\mathbb{F}_q} A$

\Downarrow
 $X_i := \eta^{\text{univ}}(e_i) \in R_m^{(n)}$ are regular parameters of $R_m^{(n)}$
 \uparrow
 canonical basis $1 \leq i \leq n$
 of $(\mathcal{O}_K/\pi^m)^n$

$m=1$
 $R_1^{(n)} = \mathcal{O}_{\text{kur}}^{\wedge} [X_1, \dots, X_n] / \left(\pi - (\text{unit}) \cdot \prod_{\substack{a \in (\mathbb{F}_q)^n \setminus \{0\} \\ \Sigma \Sigma}} ([a_1](x) + \dots + [a_n](x_i)) \right)$
 $\widehat{\Sigma}_n / R_m^{(n)}$ operation

We can compute the vanishing cycle cohomology by blow-up

Deligne-Lusztig theory

DL: $\prod_{a \in (\mathbb{F}_q)^n \setminus \{0\}} (a_1 x_1 + \dots + a_n x_n) = 1$
 $GL_n(\mathbb{F}_q) \times \mathbb{F}_q^{\times}$... smooth affine var $\overline{\mathbb{F}_q}$
 $\downarrow \cong \mathbb{F}_q^n$
 $x_i \mapsto \delta x_i$ (n-1)-dim

Thm (Y)

$X_{\overline{\eta}} = \text{Spec} (R_1^{(n)} \otimes \widehat{K}_{\text{ur}})$

$H^*(S) := \sum_i (-1)^i H_{\text{ét}}^i(S, \overline{\mathbb{Q}_\ell})$

$H^*(X_{\overline{\eta}}) = H_c^*(DL)$ in $\text{Groth}(GL_n(\mathbb{F}_q) \times \mathbb{F}_q^{\times})$

$GL_n(\mathcal{O}_K) \times I_K$

\downarrow
 π_0 $(GL_n(\mathbb{F}_q) \times \mathbb{F}_q^{\times})$

Grothendieck group of fin. dim rep's / $\overline{\mathbb{Q}_\ell}$

Depth 0 L.L.C. = Deligne-Lusztig corresp.

cf. DeBaker's lectures (next week)
 depth 0 rep. of G (general)

↔ DL-theory.
 open problem

$$\Psi_n := \varinjlim_m H^*(\text{Spec}(R_m^{(n)} \otimes \widehat{K}^{\text{ur}}), \overline{\mathbb{Q}}_\ell)$$

$$\oplus_{\mathbb{Z}} \text{Ker} \left(\begin{array}{c} \uparrow \\ D_n^\times \times \text{GL}_n(K) \times W_K \longrightarrow \mathbb{Z} \\ \uparrow \qquad \qquad \qquad \uparrow \\ \text{div. alg. / } K \qquad \qquad \text{Weil gp.} \\ \text{Hasse inv. } \frac{1}{n} \end{array} \right)$$

LLC π : cuspidal rep'n of $\text{GL}_n(K)$
 (NALT) JL: $\text{Rep}(D_n^\times) \longrightarrow \text{Rep}(\text{GL}_n(K))$

$$\text{rec}(\pi) := \Psi_n \left[\underbrace{\text{JL}^{-1}(\pi) \otimes \pi}_{\text{isotypic part}} \right] \dots \text{irred } n\text{-dim'l rep'n of } W_K$$

◦ Unitary Shimura var. (global theory)
 cuspidal

Π : regular alg. autom rep. of $\text{GL}_n(\mathbb{A}_F)$

$F/F^+/\mathbb{Q}$: CM field, $F = F^+ \cdot E$, E/\mathbb{Q} : imag. quad
 assume discrete series at one place \mathfrak{z}

JL: $\mathcal{A}(B^\times) \longrightarrow \mathcal{A}(\text{GL}_n(\mathbb{A}_F))$
 autom rep

Π is assumed to be conjugate self-dual
 $\Pi^\vee = \Pi^c$

B/F : central div. alg. of dim n^2
 $* \quad * / F = c \quad x: \text{place of } F$

$B^{\text{op}} \cong B \otimes_F F_c, B_x = M_n(F_x) \quad x \neq \mathfrak{z}, \mathfrak{z}^c$

\leftrightarrow β

Descent # $\overset{\text{inv}}{\mathcal{Q}} B$, $g^\# = \beta \cdot g^* \cdot \beta^{-1}$
 (VI) $(\beta \in B^{*-1})$

$B \times B \rightarrow \mathcal{Q}$
 $(x_1, x_2) \mapsto \text{tr}(x_1 \beta x_2^*)$ * - Hermitian alt. form.

$G(R) = \{ (\lambda, g) \in R^\times \times (B^{\text{op}} \otimes R)^\times \mid g \cdot g^\# = \lambda \}$
 $R: \mathcal{Q}\text{-alg}$ $G \subset GL_1 \times \text{Res}_{F/\mathcal{Q}} (B^{\text{op}})^\times$

$G_1 := \text{Ker}(G \rightarrow GL_1) / \text{Spec } F^+$
 $(\lambda, g) \mapsto \lambda$

$G_1 \otimes_{F^+} \mathbb{R} = U(1, n-1) \times \underbrace{U(0, n) \times \dots \times U(0, n)}_{d-1}$
 for suitable β

cf CM-type, $n=1$ (+, -, ..., -)

$BC: \mathcal{A}(G) \rightarrow \mathcal{A}(B^\times)$

Thm (Clozel, Labesse)

$\Pi = JL(BC(\pi))$ for some autom rep π of $G(\mathbb{A})$

o Shimura var.

(III) $U \subset G(\mathbb{A}^\infty)$: open compact

X_U : represents

$\left\{ \text{scheme} / \mathbb{F} \right\} \longrightarrow \left\{ \text{sets} \right\}$
 $S \longmapsto \left\{ (A, \lambda, i, \bar{\eta}) \right\} / \sim$
 $(P, (E), (L))$ log.

GLC (Kottwitz, Clozel) $\pi \in \mathcal{A}(GL_n(A_F))$ $\left\{ \begin{array}{l} \circ \text{ regular} \\ \circ \pi^v = \pi^c \\ \circ \text{ disc. series at } \mathbb{F}_z \end{array} \right.$

$\pi = JL(BC(\pi))$

$G(A) = G(\mathbb{R}) \times G(A^\infty)$

$\pi = \pi_\infty \times \pi^\infty$ (irred adm. rep.)

a. $R_{\ell,2}(\pi) = H^*(X)[\pi^\infty]$ ($a \geq 0$)

$R_{\ell,2}(\pi) : G_F \rightarrow GL_n(\mathbb{Q}_\ell)$

Compatibility $\ell \neq p$: prime of F , $v \neq \ell$

(up to s.s. Harris-Taylor
N : Taylor-Yoshida

$WD(R_{\ell,2}(\pi)|_{W_{F_v}})^{f-s.s.} = \text{rec}(\pi_v)$

π_v : unram. principal series

$\Rightarrow \left\{ \begin{array}{l} \text{Satake param.} \\ \text{of } \pi_v \end{array} \right\} = \left\{ \begin{array}{l} \text{Frobenius eigenvalues} \\ \text{of } R_{\ell,2}(\pi) \text{ at } v \end{array} \right\}$

Cor $z \neq \ell \Rightarrow R_{\ell,2}(\pi) : \text{irreducible}$

Integral model at v ($v \neq z, z^c$)

III $v|_E = u, p = u \cdot u^c$ \swarrow \ast -stable

Fix max. \mathbb{Z}_p -order \mathcal{O}_B of B .

$B_v^{\text{op}} \cong M_n(F_v)$

$\mathcal{O}_B^{\text{op}} \otimes \mathcal{O}_{F,v} \cong M_n(\mathcal{O}_{F,v})$

$$G(\mathbb{Q}_p) = GL_n(F_v) \left(\times \prod_{w \neq v} (B_w^{op})^{\times} \times \mathbb{Q}_p^{\times} \right)$$

ignore

Rem $\Pi = JL(BC(\pi))$
 $\Pi_v = \pi_p$

$$U_0 := U^p \times GL_n(\mathcal{O}_{F,v})$$

$$\bigwedge \quad \bigwedge \quad \bigwedge$$

$$G(A^{\infty}) = G(A^{\infty,p}) \times G(\mathbb{Q}_p)$$

X_{U_0} represents $(\mathcal{O}_{F,v}\text{-schemes}) \rightarrow (\text{sets})$
 (Kottwitz, 1992, JAMS)

$$S \mapsto \{(A, \lambda, \iota, \bar{\eta})\} / \sim$$

- A/S : dim dn^2
- $i : \mathcal{O}_B \hookrightarrow \text{End}(A)$
 \mathcal{O}_F^{\times} acts via \mathcal{O}_S on $\text{Lie}(A)$
 $\text{Lie}^+(A)$: rank n
- λ : prime-to- p , $*\lambda|_{\mathcal{O}_B} = *$
- $\bar{\eta}$: level U^p -str.

~
 prime-to- p
 inv.

X_{U_0} : proper, \downarrow universal A.V.
 X_{U_0}

φ -divisible group
 (Barsotti-Tate gp)

(cf. Tate, φ -divisible groups)

$$\mathcal{A}[p^{\infty}] = \mathcal{A}[u^{\infty}] \oplus \mathcal{A}[(u^s)^{\infty}]$$

$$\mathcal{A}[u^{\infty}] \oplus \bigoplus_{\substack{w \neq v \\ w|u}} \mathcal{A}[w^{\infty}]$$

$$\lambda : \mathcal{A}[u^{\infty}] \rightarrow (\mathcal{A}[(u^s)^{\infty}])^{\vee}$$

Cartier dual.

$$\mathcal{O}_B^{\text{op}} \otimes \mathcal{O}_{F,v} = M_n(\mathcal{O}_{F,v}) \cap \mathcal{A}[v^{\infty}]$$

$\mathbb{Z}_p\text{-ht}, [F_v:Q_p] \cdot n^2$

$$\underbrace{\text{Lie}^+ A}_{\text{rank } n} = \text{Lie } \mathcal{A}[u^{\infty}] \ni \mathcal{O}_F \text{ acts via } \mathcal{O}_S / \mathcal{O}_{F,v}$$

$$\Rightarrow \begin{cases} \mathcal{A}[w^{\infty}] : \text{étale for } w \neq v, w|u \\ \mathcal{A}[v^{\infty}] : \begin{cases} \mathcal{O}_{F,v} \text{-ht } n^2 \\ \dim n \end{cases} \end{cases}$$

$$\mathcal{E}_g = e \cdot \mathcal{A}[v^{\infty}] \cdots \mathcal{O}_{F,v} \text{ BT-gp } \begin{cases} \text{ht } n \\ \dim 1 \end{cases} \\ / X_{v_0} \quad e = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ \vdots & & & \\ \vdots & & & \\ \vdots & & & 0 \end{pmatrix}$$

$$s : \text{Spec } \overline{\mathbb{F}}_q \xrightarrow{\text{geom. pt}} X_{v_0}$$

$h(s) : \text{étale } \mathcal{O}_{F,v}\text{-ht of } \mathcal{E}_s$

$$0 \rightarrow \underbrace{\underbrace{\Sigma_{n-\text{ht}(s)}}_{\text{formal ht } n-\text{ht}(s)}} \rightarrow \underbrace{\mathcal{E}_s}_{\text{ht } n} \rightarrow \underbrace{\mathcal{E}_s^{\text{ét}}}_{\text{ht } h(s)} \rightarrow 0$$

$\hat{\mathcal{O}}_{X_{v_0}, s} = \text{completion of étale local ring at } s$
represents $\mathcal{E}_{F,v} \longrightarrow (\text{sets})$

$$\begin{array}{ccc} s & \longrightarrow & U \\ \downarrow & \dashrightarrow & \downarrow \text{étale} \\ \text{Spec } R & \longrightarrow & X_{v_0} \end{array}$$

$$(R, m) \mapsto \text{Def}_R(A, \lambda, i, \bar{\eta})_s$$

$$\boxed{\text{Serre-Tate thm}} \rightarrow \text{Def}_R(\mathcal{A}[v^{\infty}], \lambda, i, \bar{\eta})_s$$

$$\begin{aligned} & \lambda \cdot \mathcal{A}[w^{\infty}] : \text{étale} \\ & \bar{\eta} : \text{étale} \\ & \text{Maurer-Cartan} \rightarrow \text{Def}_R(\mathcal{E}_s) \end{aligned}$$

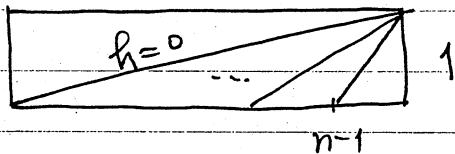
$$\hat{\mathcal{O}}_{X_{U_0}, s} = R_0^{(n-h(s))} [T_1, \dots, T_{h(s)}]$$

deformation of Ext^1

$X_{U_0}/\mathcal{O}_{F, v}$: smooth rel : dim $n-1$.

$\bar{X}_{U_0} := X_{U_0} \times_{\mathbb{k}(v)} \mathbb{k}(v)$ has Newton stratification

$$\bar{X}_{U_0} = \coprod_{h=0}^{n-1} \bar{X}_{U_0}^{(h)} \quad \left\{ \begin{array}{l} \bar{X}_{U_0}^{(h)} : \text{smooth of dim } h \\ \text{(locus where } h(s)=h) \end{array} \right.$$



• level str. at v

$X_{U_m} :=$ moduli of Drinfeld level v^m -str. on \mathcal{G}/X_{U_0}

$$\hat{\mathcal{O}}_{X_{U_m}, s} = R_m^{(n-h(s))} [T_1, \dots, T_{h(s)}]$$

$$\bar{X}_{U_m}^{(h)} := X_{U_m} \times_{X_{U_0}} \bar{X}_{U_0}^{(h)} \quad \dots \text{smooth of dim } h$$

• Igusa var.

∞8

$I_m^{(h)}$: moduli of (étale) level v^m -str. on $\mathcal{G}^{\text{ét}}/\bar{X}_{U_0}^{(h)}$

\downarrow — finite étale $GL_n(\mathcal{O}_{F, v}/v^m)$ -covering

$\bar{X}_{U_0}^{(h)}$

$\bar{X}_{U_m}^{(h)}$

$$\bar{X}_{U_m}^{(h)} \xleftarrow{\cong} \coprod I_m^{(h)}$$

$$P_n(\mathcal{O}_{F, v}/v^m) \backslash GL_n(\mathcal{O}_{F, v}/v^m)$$

$$P_h = L_h \cdot U_h, \quad L_h = GL_{n-h} \times GL_h$$

$$\cong \begin{pmatrix} \boxed{n-h} & * \\ 0 & \boxed{h} \end{pmatrix}$$

• compute cohomology (in Groth. sp.)

(IV) $H^*(X) \Big|_{W_{F_v}} \xrightarrow{\cong} \varinjlim_U H^k(X_U \times_{F_v} \overline{\mathbb{Q}_e}, \overline{\mathbb{Q}_e})$ proper smooth base change

$G(A^{\infty, p}) \times GL_n(F_v) \xrightarrow{\cong} \varinjlim_U \sum_{h=0}^{n-1} H_c^*(X_U^{(h)}, \underbrace{R\psi \overline{\mathbb{Q}_e}}_{\text{vanishing cycle spectral seq.}})$ + stratify

$\xrightarrow{\cong} \sum_{h=0}^{n-1} \text{Ind}_{P_h(F_v)}^{GL_n(F_v)} H_c^*(I^{(h)}, R\psi \overline{\mathbb{Q}_e}) \otimes \Psi_{n-h} \text{ (local system)}$

$\xrightarrow{\cong} \left(\varinjlim_{U^p, m} H_c^*(I_m^{(h)}, R\psi \overline{\mathbb{Q}_e}) \right)$

Berkovich theory

$$\xrightarrow{\cong} \sum_{h=0}^{n-1} \text{Ind}_{P_h(F_v)}^{GL_n(F_v)} \left[\underbrace{H^*(I^{(h)}, \text{local system})}_{\cong} \otimes_{D_{n-h}^{\times}} \Psi_{n-h} \right]$$

$$G(A^{\infty, p}) \times GL_h(F_v) \times D_{n-h}^{\times} \quad D_{n-h}^{\times} \times GL_{n-h}(F_v) \times W_{F_v}$$

IV 2.9

LLC

• Counting points (Trace Formula)

(V) (V.S.K) $H^*(I^{(h)}, \text{local system}) = \text{Red}^{(h)}(H^*(X))$

where $\text{Red}^{(h)} = JL_{n-h}^{-1} \circ J_{U_h}$

$$\begin{array}{c}
 GL_h \xleftarrow{JL^{-1}} GL_h \xleftarrow{JU_h} GL_n \\
 \times \quad \times \\
 D_{n-h}^* \quad GL_{n-h}
 \end{array}$$

(Jacquet module adjoint of $\text{Ind}_{P_h}^{GL_n}$)

(VII)

$$\begin{aligned}
 \circ R_{L,2}(\pi) \Big|_{W_{F_v}} &= H^*(X) [\pi^\infty] \Big|_{W_{F_v}} \\
 &= \left(H^*(X) [\pi^p] \Big|_{W_{F_v}} \right) [\pi_p]
 \end{aligned}$$

But,

$$H^*(X) [\pi^p] \Big|_{W_{F_v}} \neq \sum_h \text{Ind}_{P_h}^{GL_n} \left(\text{Red}^{(h)}(\pi_p) \otimes \Psi_{n-h} \right)$$

(multiplicity one "Tr"
 $[\pi^p]$ -isotypic part is π_p -isotypic part)

$$= \sum_h \text{Ind}_{P_h}^{GL_n} \left((J_{U_h}(\pi_p)) \otimes \Psi_{n-h} \left[JL^{-1}(\pi_p^{(n-h)}) \right] \right)$$

$\pi_p^{(n-h)} \otimes \pi_p^{(h)} \quad \otimes \pi_p^{(n-h)}$

$$= \pi_p \otimes \sum_h \text{"rec"}(\pi_p^{(n-h)})$$

$$= \pi_p \otimes \text{rec}(\pi_p)$$

$$\therefore R_{L,2}(\pi) \Big|_{W_{F_v}} = \text{rec}(\pi_p) \quad (\text{in Groth. up to s.s.})$$

\circ Mixed + π_p : generic \Rightarrow tempered (even if π_p : ramified)
 (Weil implies Ramanujan)
 ↑
 Geometry

$\Rightarrow H^*(X) [\pi] = 0$ unless $* = n-1$.

Prove

$WD(H^{n-1}(X) |_{W_{Fr}})$ is pure (Friday)

— suffices to show when Π_p has Iwahori fixed vector
 \downarrow (base change)

X_U : semistable reduction

\downarrow

Each term of weight spectral seq.
 can be computed via

$$H^*(I^{(h)}) = \text{Red}^{(h)}(H^*(X))$$

\downarrow

solve weight-monodromy conj.

T. Ito 4/14

Recent topics

geometry of Shimura var after Harris-Taylor
abelian Lubin-Tate

$GL(1)$. K/\mathcal{O}_p : fin. 1-dim formal \mathcal{O}_K -mod. ht = 1

o NALT 1-dim formal \mathcal{O}_K -mod. ht = n

$GL(n)$ LT_{∞}
level str \downarrow $GL_n(\mathcal{O}_K)$

$Aut(\Sigma_n) \subset \text{Ppt } \mathcal{O}_K^{\times} [T_1, \dots, T_{n-1}]$

" \mathcal{O}_K^{\times} " Shimura var. at v s.t. $G(F_v) = GL_n(F_v)$

o NALT(2): n-dim formal \mathcal{O}_D -mod. "ht = 1"

Ω_{∞}^n \downarrow \mathcal{O}_D^{\times} D : central div. alg / F_v
dim n^2 . inv = $1/n$

$GL_n(K) \subset \Omega^n$: Drinfeld upper half space. dim $n-1$

Shimura var. at v s.t. $G(F_v) = D^{\times}$

p -adic unif.

$$X \cong \coprod \Gamma_i \backslash \Omega^n \quad (\Gamma_i \subset GL_n(F_v))$$

\Rightarrow application (I)

can prove compatibility of N at Steinberg places \leftarrow (geometric proof)

o generalization "Rapoport-Zink sp." { Ann of Math Studies 141 (1996)

(general group)
(sign \leftarrow)

Asterisque 291 (2004)

$$U(1, n-1) \times U(0, n) \times \dots \times U(0, n)$$

o Faltings' period isom.

" $LT_{\infty} \cong \Omega_{\infty}^n$ " as "limit rigid spaces"
(exchange level str. & Hodge filtration)

$$0 \rightarrow \underbrace{(\mu_p)^g}_{\text{conn. "Ker } F} \rightarrow G \rightarrow \underbrace{(\mathbb{Z}/p)^g}_{\text{étale}} \rightarrow 0$$

C : proper curve / \mathbb{R} \mathcal{A}/C : family of ordinary AV
 dim. g , w/ level N str

$G := \mathcal{A}[p]$ ($p \cdot N = 1$)

$H := \text{Ker}(F: G \rightarrow G^{(p)})$

$\text{Lie}(\mathcal{A}) = \text{Lie}(\mathcal{A}[p]) = \text{Lie}(H)$

$V: H^{(p)} \xrightarrow{\cong} H$ (\Leftarrow ordinary).

$\text{Lie}(H)^{(p)} \xrightarrow{\cong} \text{Lie}(H)$

$\Rightarrow (\det \text{Lie}(\mathcal{A})^\vee)^{(p)} = \det \text{Lie}(\mathcal{A})$

\parallel
 $(\det \text{Lie}(\mathcal{A})^\vee)^{\otimes p} \xrightarrow[\cong]{V} \text{section} = \text{Hasse invariant}$

$\Rightarrow (\det \text{Lie}(\mathcal{A})^\vee)^{\otimes p-1}$ trivial (w/ can trivialization)

$\mathcal{A}/C \rightsquigarrow \mathcal{E}_g: C \rightarrow \mathcal{A}_{g,N}$ moduli map

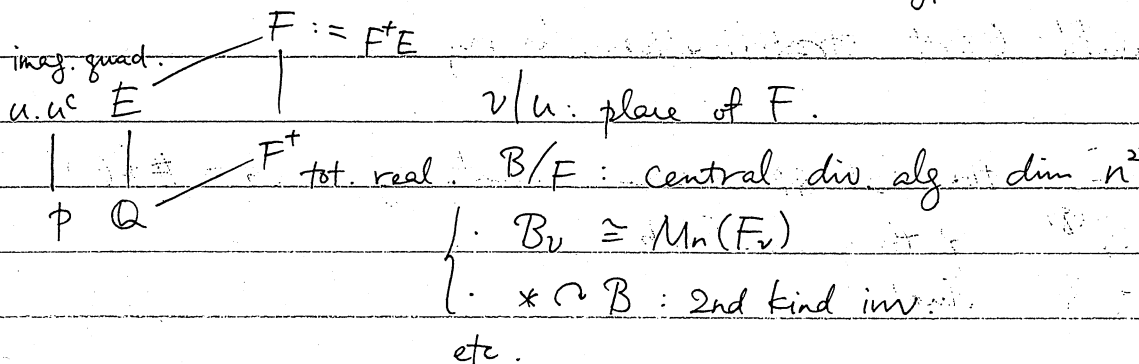
$(\mathcal{E}_g^* \omega^{\otimes p-1})$: trivial l.b. on C
 $\underbrace{\quad}_{\text{ample}}$

$\mathcal{E}_g(C)$: 0-dim. \mathcal{A}/C : trivial family

Key: \exists can. section of $\omega^{\otimes p-1}$ on ordinary locus] not only / curves
 \uparrow "Hasse inv."

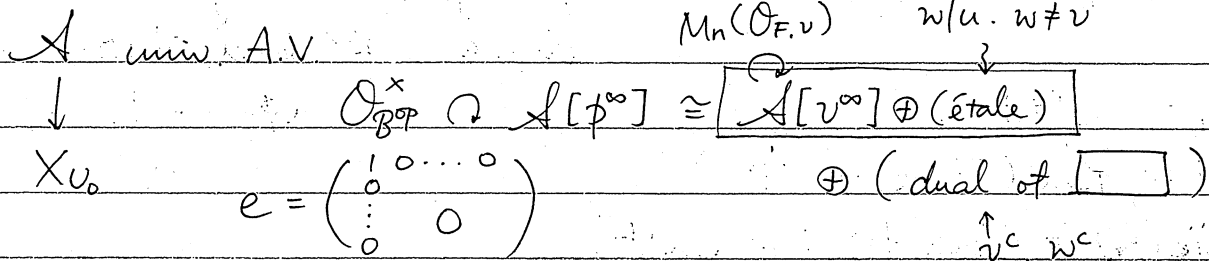
[cf. KP, Calabi-Yau, van der Geer / Katsura]

• Hasse inv. for Shimura var. of H-T type (work in progress)



$\rightsquigarrow G$: unitary similitude group

$\rightsquigarrow X_{U_0}$: Shimura var. / $\mathcal{O}_{F,v}$ U_0 : maximal at v
 fix \mathcal{O}_B (moduli sp. of A.V., PEL) $\hookrightarrow X_{U_0}$: good red



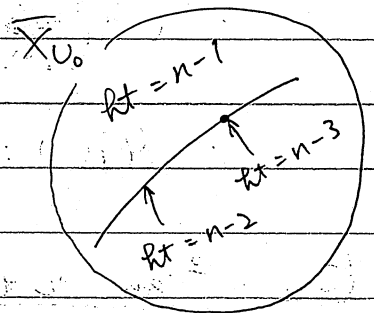
$\mathcal{G} = e \cdot \mathcal{A}[v^\infty]$
 \downarrow 1-dim. $\mathcal{O}_{F,v}$ -BT-gp. ht n .
 X_{U_0}

$k = \overline{k(v)}$ Newton stratification: $n-1$ smooth. dim = h .
 $\overline{X_{U_0}} := X_{U_0} \times_{\mathcal{O}_{F,v}} \overline{k} = \bigsqcup_{r=0}^{n-1} \overline{X_{U_0}}^{(r)}$ \downarrow
 \uparrow étale ht = h locus

cf. (classical) (NALT for $GL(n-r)$)

(mod. curve mod p) = (ordinary locus) $\perp\!\!\!\perp$ (s.s. pts)
 1-dim. \uparrow 0-dim.

#(s.s) = (class #)



Known: $\#(\overline{X_{U_0}}^{(0)}) = (\text{some class number})$

(H-T. V.4.5) $\rightarrow \mathbb{X}_0$

Problem: $\# \pi_0(\overline{X_{U_0}}^{(r)}) = ?$ $h = n-1 \dots$ use theory / C

@ apply Ekedahl-Oort stratification theory

$G := \mathcal{G}[v]$ fin. flat gp scheme, rank g^n ($g = \#k(v)$)
 $\left\{ \begin{array}{l} F: G \rightarrow G^{(g)} \quad g\text{-th power Frob.} \\ V: G^{(g)} \rightarrow G \quad \text{dual} \end{array} \right.$

Over $\overline{X_{U_0}}^{(R)} \leftarrow \text{étale } \text{ht} = h$

$$0 \rightarrow H \xrightarrow{\text{conn}} G \xrightarrow{\text{étale}} G' \rightarrow 0$$

$\text{Ker}(F^{n-R}) \cong \Sigma_{n-R}[V]$ at geom pt.

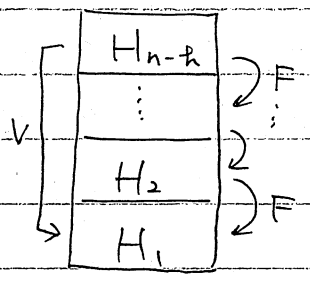
$F: H \rightarrow H^{(g)}$ nilpotent

Kernel filtration: $0 \subset \text{Ker } F \subset \text{Ker } F^2 \subset \dots \subset \text{Ker } F^{n-R} = H$

(can filtr. in Ekedahl-Oort theory)

$$H_{n-R} \xrightarrow{F} H_{n-R-1}^{(g)} \xrightarrow{F} \dots \xrightarrow{F} H_1^{(g^{n-R-1})}$$

$$V: H_{n-R}^{(g)} \xrightarrow{\cong} H_1$$



Over $\overline{X_{U_0}}^{[R]} := \left(\begin{matrix} \text{étale ht} \\ \text{of } G \leq R \end{matrix} \right) = \left(\begin{matrix} \text{closure} \\ \text{of } \overline{X_{U_0}}^{(R)} \end{matrix} \right)$

proj smooth, dim = h (H-T; Mantovan (w/ level))
(deformation theory at boundary $\overline{X_{U_0}}^{[R]} \setminus \overline{X_{U_0}}^{(R)}$)

$$0 \rightarrow \text{Ker } F^{n-R} \rightarrow G \rightarrow G' \rightarrow 0$$

étale on $\overline{X_{U_0}}^{(R)}$

define $H_i := \text{Ker } F^i / \text{Ker } F^{i-1}$

$$H_1^{(g^{n-R})} \xleftarrow{F} H_2^{(g^{n-R-1})} \xleftarrow{F} \dots \xleftarrow{F} H_{n-R}^{(g)} \xrightarrow{V} H_1$$

can map $H_1^{(g^{n-R})} \rightarrow H_1$
 { isom on $\overline{X_{U_0}}^{(R)}$
 zero map on the boundary

can section of $(\text{Lie } H_1)^{\otimes (1-g^{n-R})}$ over $\overline{X_{U_0}}^{[R]}$
 Lie \mathfrak{g} .

can ~~section~~ section of $(\text{Lie } \mathfrak{g}^V)^{\otimes (g^{n-R}-1)}$ (true on $\overline{X_{U_0}}^{(n-1)}$)
 zero = boundary

Conj. : 1) $(\text{Lie } \mathfrak{g})^V$: ample
 2) order of zero = 1

(det $((\text{Lie } \mathfrak{g}^V)^{\otimes (g^{n-R}-1)} \oplus (\text{Lie } \mathfrak{g}^V)^{\otimes (1-g^{n-R})})$: ample)
 (Raynaud's trick)

Th (Assume Conj.) $\bar{X}_{U_0}^{(k)}$: affine var $\forall k$
 boundary $\bar{X}_{U_0}^{(k)} \setminus \bar{X}_{U_0}^{(k)}$: smooth ample div.
 ↓ (weak Lefschetz)

$\# \pi_0(\bar{X}_{U_0}^{(k)})$: independent of k for $1 \leq k \leq n-1$
 $\bar{X}_{U_0}^{(0)} \neq \emptyset$ ($k=n-1 \rightsquigarrow$ known / \mathbb{C})

Problems: • cohomological application

- autom. interpretation GL_2 case
- mod p modular form " $\int \frac{d}{dz}$ " — Kodaira-Spencer
- p -adic modular form (Katz) + Hasse inv.