

LENGTHS OF EXTREMAL RAYS

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sec16.5

1.1. Lengths of extremal rays. In this subsection, we discuss estimates of lengths of extremal rays. It is indispensable for the log minimal model program with scaling (see, for example, [bchm] and the geography of log models (see, for example, [shokurov-models], [shokurov-choi], [Shokurov] and [SC]). See also the subsection [sub-a1] below. The results in this subsection were obtained in [kollar2], [kollar3], [kawamata-shokurov-models-7], [birkar], [Ka2], [Shokurov], [Sh2], and [Birkar] with some extra assumptions.

Let us recall the following easy lemma.

lem145 **Lemma 1.1** (cf. [sho-7], [Sh2, Lemma 1]). *Let (X, B) be a log canonical pair, where B is an \mathbb{R} -divisor. Then there are positive real numbers r_i , effective \mathbb{Q} -divisors B_i for $1 \leq i \leq l$, and a positive integer m such that $\sum_{i=1}^l r_i = 1$, $K_X + B = \sum_{i=1}^l r_i(K_X + B_i)$, (X, B_i) is log canonical for every i , and $m(K_X + B_i)$ is Cartier for every i .*

Proof. Let $\sum_k D_k$ be the irreducible decomposition of $\text{Supp} B$. We consider the finite dimensional real vector space $V = \bigoplus_k \mathbb{R}D_k$. We put

$$Q = \{D \in V \mid K_X + D \text{ is } \mathbb{R}\text{-Cartier}\}.$$

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Then, it is easy to see that \mathcal{Q} is an affine subspace of V defined over \mathbb{Q} . We put

$$\mathcal{L} = \{D \in \mathcal{Q} \mid K_X + D \text{ is log canonical}\}.$$

Thus, by the definition of log canonicity, it is also easy to check that \mathcal{L} is a closed convex rational polytope in V . We note that \mathcal{L} is compact in the classical topology of V . By the assumption, $B \in \mathcal{L}$. Therefore, we can find the desired \mathbb{Q} -divisors $B_i \in \mathcal{L}$ and positive real numbers r_i . \square

The next result is essentially due to [kawamata, [Ka2]] and [sho-7, [Sh2, thm-1a]] Proposition 1]. We will prove a more general result in Theorem [prop146, 1.7] whose proof depends on Theorem [prop146, 1.2].

prop146

Theorem 1.2. *Let X be a normal variety such that (X, B) is lc and let $\pi : X \rightarrow S$ be a projective morphism onto a variety S . Let R be a $(K_X + B)$ -negative extremal ray. Then we can find a rational curve C on X such that $[C] \in R$ and*

$$0 < -(K_X + B) \cdot C \leq 2 \dim X.$$

Proof. By shrinking S , we can assume that S is quasi-projective. By replacing $\pi : X \rightarrow S$ with the extremal contraction $\varphi_R : X \rightarrow Y$ over S , we can assume that the relative Picard number $\rho(X/S) = 1$. In particular, $-(K_X + B)$ is π -ample. Let $K_X + B = \sum_{i=1}^l r_i(K_X + B_i)$ be as in Lemma [lem145, 1.1]. We assume that $-(K_X + B_1)$ is π -ample and $-(K_X + B_i) = -s_i(K_X + B_1)$ in $N^1(X/S)$ with $s_i \leq 1$ for every $i \geq 2$. Thus, it is sufficient to find a rational curve C such that $\pi(C)$ is a point and that $-(K_X + B_1) \cdot C \leq 2 \dim X$. So, we can assume that $K_X + B$ is \mathbb{Q} -Cartier and lc. By Theorem [thm91??, 1.1], there is a birational morphism $f : (V, B_V) \rightarrow (X, B)$ such that $K_V + B_V = f^*(K_X + B)$, V is \mathbb{Q} -factorial, and (V, B_V) is dlt. By [kawamata, [Ka2, Theorem 1]] and [matsuki, [Matsuki, Theorem 10-2-1]], we can find a rational curve C' on V such that $-(K_V + B_V) \cdot C' \leq 2 \dim V = 2 \dim X$ and that C' spans a $(K_V + B_V)$ -negative extremal ray. By the projection formula, the f -image of C' is a desired rational curve. So, we finish the proof. \square

Remark 1.3. It is conjectured that the estimate $\leq 2 \dim X$ in Theorem [prop146, 1.2] should be replaced by $\leq \dim X + 1$. When X is smooth projective, it is true by Mori's famous result (cf. [mori-th]). See, for example, [KM, Theorem 1.13]. When X is a toric variety, it is also true by [fuji-tor full-to2, [F3]] and [F5].

¹dlt blow-ups

Remark 1.4. In the proof of Theorem [1.2](#), we need [Kawamata's estimate](#) on the length of an extremal rational curve (cf. [\[Ka2, Theorem 1\]](#) and [\[Matsuki, Theorem 10-2-1\]](#)). It depends on Mori's bend and break technique to create rational curves. So, we need the mod p reduction technique there.

[re-03](#)

Remark 1.5. Let (X, D) be an lc pair such that D is an \mathbb{R} -divisor. Let $\phi : X \rightarrow Y$ be a projective morphism and H a Cartier divisor on X . Assume that $H - (K_X + D)$ is f -ample. By Theorem [1.2](#), $R^q \phi_* \mathcal{O}_X(H) = 0$ for every $q > 0$ if X and Y are algebraic varieties. If this vanishing theorem holds for analytic spaces X and Y , then [Kawamata's original argument](#) in [\[Ka2\]](#) works directly for lc pairs. In that case, we do not need the results in [\[BCHM\]](#) in the proof of Theorem [1.2](#).

We consider the proof of [\[Matsuki, Theorem 10-2-1\]](#) when (X, D) is \mathbb{Q} -factorial dlt. We need $R^1 \phi_* \mathcal{O}_X(H) = 0$ after shrinking X and Y analytically. In our situation, $(X, D - \varepsilon_L D_\perp)$ is klt for $0 < \varepsilon \ll 1$. Therefore, $H - (K_X + D - \varepsilon_L D_\perp)$ is ϕ -ample and $(X, D - \varepsilon_L D_\perp)$ is klt for $0 < \varepsilon \ll 1$. Thus, we can apply the analytic version of the relative Kawamata–Viehweg vanishing theorem. So, we do not need the analytic version of Theorem [1.2](#).

Remark 1.6. We give a remark on [\[BCHM\]](#). We use the same notation as in [\[BCHM, 3.8\]](#). In the proof of [\[BCHM, Corollary 3.8.2\]](#), we can assume that $K_X + \Delta$ is klt by [\[BCHM, Lemma 3.7.4\]](#). By perturbing the coefficients of B slightly, we can further assume that B is a \mathbb{Q} -divisor. By applying the usual cone theorem to the klt pair (X, B) , we obtain that there are only finitely many $(K_X + \Delta)$ -negative extremal rays of $\overline{NE}(X/U)$. We note that [\[BCHM, Theorem 3.8.1\]](#) is only used in the proof of [\[BCHM, Corollary 3.8.2\]](#). Therefore, we do not need the estimate of lengths of extremal rays in [\[BCHM\]](#). In particular, we do not need mod p reduction arguments for the proof of the main results in [\[BCHM\]](#).

The final result in this subsection is an estimate of lengths of extremal rays which are relatively ample at non-lc loci (cf. [\[Kollar2\]](#), [\[Kollar3\]](#), [\[Kollar3\]](#)).

[thm-1a](#)

Theorem 1.7. *Let X be a normal variety, B an effective \mathbb{R} -divisor on X such that $K_X + B$ is \mathbb{R} -Cartier, and $\pi : X \rightarrow S$ a projective morphism onto a variety S . Let R be a $(K_X + B)$ -negative extremal*

²Kawamata–Viehweg for lc pairs

³Kawamata–Viehweg for lc pairs

ray of $\overline{NE}(X/S)$ which is relatively ample at $\mathrm{Nlc}(X, B)$. Then we can find a rational curve C on X such that $[C] \in R$ and

$$0 < -(K_X + B) \cdot C \leq 2 \dim X.$$

Proof. By shrinking S , we can assume that S is quasi-projective. By replacing $\pi : X \rightarrow S$ with the extremal contraction $\varphi_R : X \rightarrow Y$ over S (cf. Theorem ^[77⁴]), we can assume that the relative Picard number $\rho(X/S) = 1$ and that π is an isomorphism in a neighborhood of $\mathrm{Nlc}(X, B)$. In particular, $-(K_X + B)$ is π -ample. By Theorem ^[91⁵], there is a projective birational morphism $f : Y \rightarrow X$ such that

- (i) $K_Y + B_Y = f^*(K_X + B) + \sum_{a(E, X, B) < -1} (a(E, X, B) + 1)E$, where
$$B_Y = f_*^{-1}B + \sum_{E: f\text{-exceptional}} E,$$
- (ii) (Y, B_Y) is a \mathbb{Q} -factorial dlt pair, and
- (iii) $D = B_Y + F$, where $F = -\sum_{a(E, X, B) < -1} (a(E, X, B) + 1)E \geq 0$.

We note that $K_Y + D = f^*(K_X + B)$. Therefore, we have

$$f_*(\overline{NE}(Y/S)_{K_Y + D \geq 0}) \subseteq \overline{NE}(X/S)_{K_X + B \geq 0} = \{0\}.$$

We also note that

$$f_*(\overline{NE}(Y/S)_{\mathrm{Nlc}(Y, D)}) = \{0\}.$$

Thus, there is a $(K_Y + D)$ -negative extremal ray R' of $\overline{NE}(Y/S)$ which is relatively ample at $\mathrm{Nlc}(Y, D)$. By Theorem ^[144⁶], R' is spanned by a curve C^\dagger . Since $-(K_Y + D) \cdot C^\dagger > 0$, we see that $f(C^\dagger)$ is a curve. If $C^\dagger \subset \mathrm{Supp}F$, then $f(C^\dagger) \subset \mathrm{Nlc}(X, B)$. It is a contradiction because $\pi \circ f(C^\dagger)$ is a point. Thus, $C^\dagger \not\subset \mathrm{Supp}F$. Since $-(K_Y + B_Y) = -(K_Y + D) + F$, we can see that R' is a $(K_Y + B_Y)$ -negative extremal ray of $\overline{NE}(Y/S)$. Therefore, we can find a rational curve C' on Y such that C' spans R' and that

$$0 < -(K_Y + B_Y) \cdot C' \leq 2 \dim X$$

by Theorem ^[prop146] 1.2. By the above argument, we can easily see that $C' \not\subset \mathrm{Supp}F$. Therefore, we obtain

$$\begin{aligned} 0 < -(K_Y + D) \cdot C' &= -(K_Y + B_Y) \cdot C' - F \cdot C' \\ &\leq -(K_Y + B_Y) \cdot C' \leq 2 \dim X. \end{aligned}$$

Since $K_Y + D = f^*(K_X + B)$, $C = f(C')$ is a rational curve on X such that $\pi(C)$ is a point and $0 < -(K_X + B) \cdot C \leq 2 \dim X$. \square

⁴cone and contraction theorem

⁵dlt blow-ups

⁶cone theorem

Remark 1.8. In Theorem ^{thm-1a} 1.7, we can prove $0 < \overline{-(K_X + B)} \cdot C \leq \dim X + 1$ when $\dim X \leq 2$. For details, see ^{fujino16} [F16, Proposition 3.7].

sub-a1

1.2. Shokurov's polytopes. In this subsection, we discuss a very important result obtained by Shokurov (cf. ^{shokurov-models} [Shokurov, 6.2. First Main Theorem]), which is an application of Theorem ^{prop146} 1.2. We closely follow Birkar's treatment in ^{birkar2} [Birkar2, Section 3].

say-a01

1.9. Let $\pi : X \rightarrow S$ be a projective morphism from a normal variety X to a variety S . A curve Γ on X is called *extremal* over S if the following properties hold.

- (1) Γ generates an extremal ray R of $\overline{NE}(X/S)$.
- (2) There is a π -ample Cartier divisor H on X such that

$$H \cdot \Gamma = \min\{H \cdot C\},$$

where C ranges over curves generating R .

We note that every $(K_X + \Delta)$ -negative extremal ray R of $\overline{NE}(X/S)$ is spanned by a curve if Δ is an effective \mathbb{R} -divisor on X such that (X, Δ) is log canonical. It is a consequence of the cone and contraction theorem (cf. Theorem ^{???} 1.7).

Let B be an effective \mathbb{R} -divisor on X such that (X, B) is log canonical and let R be a $(K_X + B)$ -negative extremal ray of $\overline{NE}(X/S)$. Then we can take a rational curve C such that C spans R and that $0 < \overline{-(K_X + B)} \cdot C \leq 2 \dim X$ by Theorem ^{prop146} 1.2. Let Γ be an extremal curve generating R . Then we have

$$\frac{\overline{-(K_X + B)} \cdot \Gamma}{H \cdot \Gamma} = \frac{\overline{-(K_X + B)} \cdot C}{H \cdot C}.$$

Therefore,

$$\overline{-(K_X + B)} \cdot \Gamma = (\overline{-(K_X + B)} \cdot C) \cdot \frac{H \cdot \Gamma}{H \cdot C} \leq 2 \dim X.$$

Let F be a reduced divisor on X . We consider the finite dimensional real vector space $V = \bigoplus_k \mathbb{R}F_k$ where $F = \sum_k F_k$ is the irreducible decomposition. We have already seen that

$$\mathcal{L} = \{\Delta \in V \mid (X, \Delta) \text{ is log canonical}\}$$

is a rational polytope in V , that is, it is the convex hull of finitely many rational points in V (see Lemma ^{lem145} 1.1).

Let B_1, \dots, B_r be the vertices of \mathcal{L} and let m be a positive integer such that $m(K_X + B_j)$ is Cartier for every j . We take an \mathbb{R} -divisor $B \in \mathcal{L}$. Then we can find non-negative real numbers a_1, \dots, a_r such that $B = \sum_j a_j B_j$, $\sum_j a_j = 1$, and (X, B_j) is log canonical for every

⁷cone theorem

j (see Lemma [1.1](#)). For every curve C on X , the intersection number $-(K_X + B) \cdot C$ can be written as

$$\sum_j a_j \frac{n_j}{m}$$

such that $n_j \in \mathbb{Z}$ for every j . If C is an extremal curve, then we can see that $n_j \leq 2m \dim X$ for every j by the above arguments.

On the real vector space V , we consider the following norm

$$\|B\| = \max_j \{|b_j|\},$$

where $B = \sum_j b_j F_j$.

We explain Shokurov's important results (cf. [\[Shokurov\]](#)) following [\[Birkar2, Proposition 3.2\]](#).

theorem-a01

Theorem 1.10. *We use the same notation as in [1.9](#). We fix an \mathbb{R} -divisor $B \in \mathcal{L}$. Then we can find positive real numbers α and δ , which depend on (X, B) and F , with the following properties.*

- (1) *If Γ is any extremal curve over S and $(K_X + B) \cdot \Gamma > 0$, then $(K_X + B) \cdot \Gamma > \alpha$.*
- (2) *If $\Delta \in \mathcal{L}$, $\|\Delta - B\| < \delta$, and $(K_X + \Delta) \cdot R \leq 0$ for an extremal curve Γ , then $(K_X + B) \cdot \Gamma \leq 0$.*
- (3) *Let $\{R_t\}_{t \in T}$ be any set of extremal rays of $\overline{NE}(X/S)$. Then*

$$\mathcal{N}_T = \{\Delta \in \mathcal{L} \mid (K_X + \Delta) \cdot R_t \geq 0 \text{ for every } t \in T\}$$

is a rational polytope in V .

Proof. (1) If B is a \mathbb{Q} -divisor, then the claim is obvious even if Γ is not extremal. We assume that B is not a \mathbb{Q} -divisor. Then we can write $K_X + B = \sum_j a_j (K_X + B_j)$ as in [1.9](#). Then $(K_X + B) \cdot \Gamma = \sum_j a_j (K_X + B_j) \cdot \Gamma$. If $(K_X + B) \cdot \Gamma < 1$, then

$$\begin{aligned} -2 \dim X &\leq (K_X + B_{j_0}) \cdot \Gamma < \frac{1}{a_{j_0}} \left\{ - \sum_{j \neq j_0} a_j (K_X + B_j) \cdot \Gamma + 1 \right\} \\ &\leq \frac{2 \dim X + 1}{a_{j_0}} \end{aligned}$$

for $a_{j_0} \neq 0$. It is because $(K_X + B_j) \cdot \Gamma \geq -2 \dim X$ for every j . Thus there are only finitely many possibilities of the intersection numbers $(K_X + B_j) \cdot \Gamma$ for $a_j \neq 0$ when $(K_X + B) \cdot \Gamma < 1$. Therefore, the existence of α is obvious.

(2) If we take δ sufficiently small, then, for every $\Delta \in \mathcal{L}$ with $\|\Delta - B\| < \delta$, we can always find $\Delta' \in \mathcal{L}$ such that

$$K_X + \Delta = (1 - s)(K_X + B) + s(K_X + \Delta')$$

with

$$0 \leq s \leq \frac{\alpha}{\alpha + 2 \dim X}.$$

Since Γ is extremal, we have $(K_X + \Delta') \cdot \Gamma \geq -2 \dim X$ for every $\Delta' \in \mathcal{L}$. We assume that $(K_X + B) \cdot \Gamma > 0$. Then $(K_X + B) \cdot \Gamma > \alpha$ by (1). Therefore,

$$\begin{aligned} (K_X + \Delta) \cdot \Gamma &= (1 - s)(K_X + B) \cdot \Gamma + s(K_X + \Delta') \cdot \Gamma \\ &> (1 - s)\alpha + s(-2 \dim X) \geq 0. \end{aligned}$$

It is a contradiction. Therefore, we obtain $(K_X + B) \cdot \Gamma \leq 0$. We complete the proof of (2).

(3) For every $t \in T$, we can assume that there is some $\Delta_t \in \mathcal{L}$ such that $(K_X + \Delta) \cdot R_t < 0$. We note that $(K_X + \Delta) \cdot R_t < 0$ for some $\Delta \in \mathcal{L}$ implies $(K_X + B_j) \cdot R_t < 0$ for some j . Therefore, we can assume that T is contained in \mathbb{N} . It is because there are only countably many $(K_X + B_j)$ -negative extremal rays for every j by the cone theorem (cf. Theorem 1.7⁸). We note that \mathcal{N}_T is a closed convex subset of \mathcal{L} by definition. If T is a finite set, then the claim is obvious. Thus, we can assume that $T = \mathbb{N}$. By (2) and by the compactness of \mathcal{N}_T , we can take $\Delta_1, \dots, \Delta_n \in \mathcal{N}_T$ and $\delta_1, \dots, \delta_n > 0$ such that \mathcal{N}_T is covered by

$$\mathcal{B}_i = \{\Delta \in \mathcal{L} \mid \|\Delta - \Delta_i\| < \delta_i\}$$

and that if $\Delta \in \mathcal{B}_i$ with $(K_X + \Delta) \cdot R_t < 0$ for some t , then $(K_X + \Delta_i) \cdot R_t = 0$. If we put

$$T_i = \{t \in T \mid (K_X + \Delta) \cdot R_t < 0 \text{ for some } \Delta \in \mathcal{B}_i\},$$

then $(K_X + \Delta_i) \cdot R_t = 0$ for every $t \in T_i$ by the above construction. Since $\{\mathcal{B}_i\}_{i=1}^n$ gives an open covering of \mathcal{N}_T , we have $\mathcal{N}_T = \bigcap_{1 \leq i \leq n} \mathcal{N}_{T_i}$.

claim-a0

Claim. $\mathcal{N}_T = \bigcap_{1 \leq i \leq n} \mathcal{N}_{T_i}$.

Proof of Claim. We note that $\mathcal{N}_T \subset \bigcap_{1 \leq i \leq n} \mathcal{N}_{T_i}$ is obvious. We assume that $\mathcal{N}_T \subsetneq \bigcap_{1 \leq i \leq n} \mathcal{N}_{T_i}$. We take $\Delta \in \bigcap_{1 \leq i \leq n} \mathcal{N}_{T_i} \setminus \mathcal{N}_T$ which is very close to \mathcal{N}_T . Since \mathcal{N}_T is covered by $\{\mathcal{B}_i\}_{i=1}^n$, there is some i_0 such that $\Delta \in \mathcal{B}_{i_0}$. Since $\Delta \notin \mathcal{N}_T$, there is some $t_0 \in T$ such that $(K_X + \Delta) \cdot R_{t_0} < 0$. Thus, $t_0 \in T_{i_0}$. It is a contradiction because $\Delta \in \mathcal{N}_{T_{i_0}}$. Therefore, $\mathcal{N}_T = \bigcap_{1 \leq i \leq n} \mathcal{N}_{T_i}$. \square

So, it is sufficient to see that each \mathcal{N}_{T_i} is a rational polytope in V . By replacing T with T_i , we can assume that there is some $\Delta \in \mathcal{N}_T$ such that $(K_X + \Delta) \cdot R_t = 0$ for every $t \in T$.

If $\dim_{\mathbb{R}} \mathcal{L} = 1$, then this already implies the claim. We assume $\dim_{\mathbb{R}} \mathcal{L} > 1$. Let $\mathcal{L}^1, \dots, \mathcal{L}^p$ be the proper faces of \mathcal{L} . Then $\mathcal{N}_T^i =$

⁸cone theorem

$\mathcal{N}_T \cap \mathcal{L}^i$ is a rational polytope by induction on dimension. Moreover, for each $\Delta'' \in \mathcal{N}_T$ which is not Δ , there is Δ' on some proper face of \mathcal{L} such that Δ'' is on the line segment determined by Δ and Δ' . Since $(K_X + \Delta) \cdot R_t = 0$ for every $t \in T$, if $\Delta' \in \mathcal{L}^i$, then $\Delta' \in \mathcal{N}_T^i$. Therefore, \mathcal{N}_T is the convex hull of Δ and all the \mathcal{N}_T^i . Thus, there is a finite subset $T' \subset T$ such that

$$\bigcup_i \mathcal{N}_T^i = \mathcal{N}_{T'} \cap \left(\bigcup_i \mathcal{L}^i \right).$$

Therefore, the convex hull of Δ and $\bigcup_i \mathcal{N}_T^i$ is just $\mathcal{N}_{T'}$. We complete the proof of (3). \square

By Theorem [I.10 \(3\)](#), Lemma 2.6 in [\[Birkar\]](#) holds for lc pairs. It may be useful for the log minimal model program with scaling.

bir-prop

Theorem 1.11 (cf. [\[Birkar\]](#), Lemma 2.6). *Let (X, B) be an lc pair, B an \mathbb{R} -divisor, and $\pi : X \rightarrow S$ a projective morphism between algebraic varieties. Let H be an effective \mathbb{R} -Cartier \mathbb{R} -divisor on X such that $K_X + B + H$ is π -nef and $(X, B + H)$ is lc. Then, either $K_X + B$ is also π -nef or there is a $(K_X + B)$ -negative extremal ray R such that $(K_X + B + \lambda H) \cdot R = 0$, where*

$$\lambda := \inf\{t \geq 0 \mid K_X + B + tH \text{ is } \pi\text{-nef}\}.$$

Of course, $K_X + B + \lambda H$ is π -nef.

Proof. Assume that $K_X + B$ is not π -nef. Let $\{R_j\}$ be the set of $(K_X + B)$ -negative extremal rays over S . Let C_j be an extremal curve spanning R_j for every j . We put $\mu = \sup_j \{\mu_j\}$, where

$$\mu_j = \frac{-(K_X + B) \cdot C_j}{H \cdot C_j}.$$

Obviously, $\lambda = \mu$ and $0 < \mu \leq 1$. So, it is sufficient to prove that $\mu = \mu_l$ for some l . There are positive real numbers r_1, \dots, r_l such that $\sum_i r_i = 1$ and a positive integer m , which are independent of j , such that

$$-(K_X + B) \cdot C_j = \sum_{i=1}^l \frac{r_i n_{ij}}{m} > 0$$

(see Lemma [I.1](#), Theorem [I.2](#), and [I.9](#)). Since C_j is extremal, n_{ij} is an integer with $n_{ij} \leq 2m \dim X$ for every i and j . If $(K_X + B + H) \cdot R_l = 0$ for some l , then there are nothing to prove since $\lambda = 1$ and $(K_X + B + H) \cdot R = 0$ with $R = R_l$. Thus, we assume that $(K_X + B + H) \cdot R_j > 0$

for every j . We put $F = \text{Supp}(B + H)$, $F = \sum_k F_k$ is the irreducible decomposition, $V = \bigoplus_k \mathbb{R}F_k$,

$$\mathcal{L} = \{\Delta \in V \mid (X, \Delta) \text{ is log canonical}\},$$

and

$$\mathcal{N} = \{\Delta \in \mathcal{L} \mid (K_X + \Delta) \cdot R_j \geq 0 \text{ for every } j\}.$$

Then \mathcal{N} is a rational polytope in V by Theorem [1.10 \(3\)](#) and [theorem-a01](#) and $B + H$ is in the relative interior of \mathcal{N} by the above assumption. Therefore, we can write

$$K_X + B + H = \sum_{p=1}^q r'_p (K_X + \Delta_p),$$

where r'_1, \dots, r'_q are positive real numbers such that $\sum_p r'_p = 1$, (X, Δ_p) is lc for every p , $m'(K_X + \Delta_p)$ is Cartier for some positive integer m' and every p , and $(K_X + \Delta_p) \cdot C_j > 0$ for every p and j . So, we obtain

$$(K_X + B + H) \cdot C_j = \sum_{p=1}^q \frac{r'_p n'_{pj}}{m'}$$

with $0 < n'_{pj} = m'(K_X + \Delta_p) \cdot C_j \in \mathbb{Z}$. Note that m' and r'_p are independent of j for every p . We also note that

$$\begin{aligned} \frac{1}{\mu_j} &= \frac{H \cdot C_j}{-(K_X + B) \cdot C_j} = \frac{(K_X + B + H) \cdot C_j}{-(K_X + B) \cdot C_j} + 1 \\ &= \frac{m' \sum_{p=1}^q r'_p n'_{pj}}{m' \sum_{i=1}^l r_i n_{ij}} + 1. \end{aligned}$$

Since

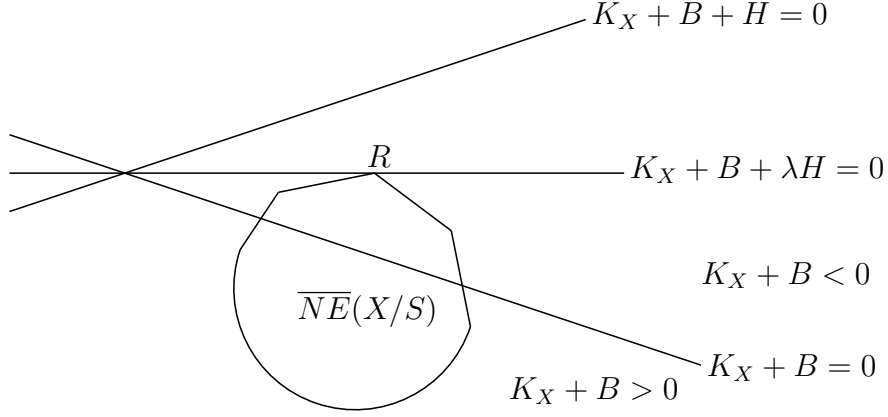
$$\sum_{i=1}^l \frac{r_i n_{ij}}{m} > 0$$

for every j and $n_{ij} \leq 2m \dim X$ with $n_{ij} \in \mathbb{Z}$ for every i and j , the number of the set $\{n_{ij}\}_{i,j}$ is finite. Thus,

$$\inf_j \left\{ \frac{1}{\mu_j} \right\} = \frac{1}{\mu_l}$$

for some l . Therefore, we obtain $\mu = \mu_l$. We finish the proof. \square

The following picture helps the reader to understand Theorem [1.11](#). [bir-prop](#)



1.12 (Abundance conjectures). We close this subsection with applications of Theorem [1.10 \(3\)](#) to abundance conjectures for \mathbb{R} -divisors (cf. [Shokurov, 2.7. Theorem on log semi-ampleness for 3-folds](#)).

The following proposition is a useful application of Theorem [1.10](#) (cf. [Shokurov, 2.7](#)).

[proposition-a02](#)

Proposition 1.13. *Let $f : X \rightarrow Y$ be a projective morphism between algebraic varieties. Let B be an effective \mathbb{R} -divisor on X such that (X, B) is log canonical and that $K_X + B$ is f -nef. Assume that the abundance conjecture holds for \mathbb{Q} -divisors. More precisely, we assume that $K_X + \Delta$ is f -semi-ample if $\Delta \in \mathcal{L}$, Δ is a \mathbb{Q} -divisor, and $K_X + \Delta$ is f -nef, where*

$$\mathcal{L} = \{\Delta \in V \mid (X, \Delta) \text{ is log canonical}\},$$

$V = \bigoplus_k \mathbb{R}F_k$, and $\sum_k F_k$ is the irreducible decomposition of $\text{Supp}B$. Then $K_X + B$ is f -semi-ample.

Proof. Let $\{R_t\}_{t \in T}$ be the set of all extremal rays of $\overline{NE}(X/Y)$. We consider \mathcal{N}_T as in [1.9](#). Then \mathcal{N}_T is a rational polytope in \mathcal{L} by Theorem [1.10 \(3\)](#). We can easily see that

$$\mathcal{N}_T = \{\Delta \in \mathcal{L} \mid K_X + \Delta \text{ is } f\text{-nef}\}.$$

By assumption, $B \in \mathcal{N}_T$. Let \mathcal{F} be the minimal face of \mathcal{N}_T containing B . Then we can find \mathbb{Q} -divisors D_1, \dots, D_l on X such that D_i is in the relative interior of \mathcal{F} , $K_X + B = \sum_i d_i(K_X + D_i)$, where d_i is a positive real number for every i and $\sum_i d_i = 1$. By assumption, $K_X + D_i$ is f -semi-ample for every i . Therefore, $K_X + B$ is f -semi-ample. \square

Remark 1.14 (Stability of Iitaka fibrations). In the proof of Proposition [1.13](#), we note the following property. If C is a curve on X such that

$f(C)$ is a point and $(K_X + D_{i_0}) \cdot C = 0$ for some i_0 , then $(K_X + D_i) \cdot C = 0$ for every i . It is because we can find $\Delta' \in \mathcal{F}$ such that $(K_X + \Delta') \cdot C < 0$ if $(K_X + D_i) \cdot C > 0$ for some $i \neq i_0$. It is a contradiction. Therefore, there exists a contraction morphism $g : X \rightarrow Z$ over Y and h -ample \mathbb{Q} -Cartier \mathbb{Q} -divisors A_1, \dots, A_l on Z , where $h : Z \rightarrow Y$, such that $K_X + D_i \sim_{\mathbb{Q}} g^* A_i$ for every i . In particular,

$$K_X + B \sim_{\mathbb{R}} g^* \left(\sum_i d_i A_i \right).$$

Note that $\sum_i d_i A_i$ is h -ample. Roughly speaking, the Iitaka fibration of $K_X + B$ is the same as that of $K_X + D_i$ for every i .

corollary-a04

Corollary 1.15. *Let $f : X \rightarrow Y$ be a projective morphism between algebraic varieties. Assume that (X, B) is lc and that $K_X + B$ is f -nef. We further assume one of the following conditions.*

- (i) $\dim X \leq 3$.
- (ii) $\dim X = 4$ and $\dim Y \geq 1$.

Then $K_X + B$ is f -semi-ample.

Proof. It is obvious by Proposition 1.13 and the log abundance theorems for threefolds and fourfolds (see, for example, [KeMM, 1.1. Theorem] and [F18, Theorem 3.10]). \square

Corollary 1.16. *Let $f : X \rightarrow Y$ be a projective morphism between algebraic varieties. Assume that (X, B) is klt and $K_X + B$ is f -nef. We further assume that $\dim X - \dim Y \leq 3$. Then $K_X + B$ is f -semi-ample.*

Proof. If B is a \mathbb{Q} -divisor, then it is well known that $K_{X_\eta} + B_\eta$ is semi-ample, where X_η is the generic fiber of f and $B_\eta = B|_{X_\eta}$ (see, for example, [KeMM, 1.1. Theorem]). Therefore, $K_X + B$ is f -semi-ample by [F17, Theorem 1.1]. When B is an \mathbb{R} -divisor, we can take \mathbb{Q} -divisors $D_1, \dots, D_l \in \mathcal{F}$ as in the proof of Proposition 1.13 such that (X, D_i) is klt for every i . Since $K_X + D_i$ is f -semi-ample by the above argument, we obtain that $K_X + B$ is f -semi-ample. \square

Remark 1.17 (Log surfaces). In [Fuji16, Sections 6, 7, and 8], we discuss the log abundance theorem for log surfaces. The results in [Fuji16] are much stronger than everybody expected.

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