

# ENOKI'S INJECTIVITY THEOREM (PRIVATE NOTE)

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## 1. PRELIMINARIES

Let us recall the basic notion of the complex geometry. For details, see, for example, [D].

**Definition 1.1** (Chern connection and its curvature form). Let  $X$  be a complex manifold and let  $(E, h)$  be a holomorphic hermitian vector bundle on  $X$ . Then there exists the *Chern connection*  $D = D_{(E,h)}$ , which can be split in a unique way as a sum of a  $(1, 0)$  and of a  $(0, 1)$ -connection,  $D = D'_{(E,h)} + D''_{(E,h)}$ . By the definition of the Chern connection,  $D'' = D''_{(E,h)} = \bar{\partial}$ . We obtain the *curvature form*  $\Theta_h(E) := D_{(E,h)}^2$ . The subscripts might be suppressed if there is no danger of confusion.

**Definition 1.2** (Inner product). Let  $X$  be an  $n$ -dimensional complex manifold with the hermitian metric  $g$ . We denote by  $\omega$  the *fundamental form* of  $g$ . Let  $(E, h)$  be a holomorphic hermitian vector bundle on  $X$ , and  $u, v$  are  $E$ -valued  $(p, q)$ -forms with measurable coefficients, we set

$$\|u\|^2 = \int_X |u|^2 dV_\omega, \quad \langle\langle u, v \rangle\rangle = \int_X \langle u, v \rangle dV_\omega,$$

where  $|u|$  (resp.  $\langle u, v \rangle$ ) is the pointwise norm (resp. inner product) induced by  $g$  and  $h$  on  $\Lambda^{p,q}T_X^* \otimes E$ , and  $dV_\omega = \frac{1}{n!}\omega^n$ .

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## 2. ENOKI'S INJECTIVITY THEOREM

In this section, we discuss Enoki's injectivity theorem (cf. [E, Theorem 0.2]), which contains Kollár's original injectivity theorem. We recommend the reader to compare the proof of Theorem 2.1 with the arguments in [K1, Section 2] and [K2, Chapter 9].

**Theorem 2.1** (Enoki's injectivity theorem). *Let  $X$  be a compact Kähler manifold and let  $L$  be a semi-positive line bundle on  $X$ . Then, for any non-zero holomorphic section  $s$  of  $L^{\otimes k}$  with some positive integer  $k$ , the multiplication homomorphism*

$$\times s : H^q(X, \omega_X \otimes L^{\otimes l}) \longrightarrow H^q(X, \omega_X \otimes L^{\otimes(l+k)}),$$

which is induced by  $\otimes s$ , is injective for every  $q \geq 0$  and  $l > 0$ .

*Proof.* Throughout this proof, we fix a Kähler metric  $g$  on  $X$ . Let  $h$  be a smooth hermitian metric of  $L$  such that the curvature  $\sqrt{-1}\Theta_h(L) = \sqrt{-1}\bar{\partial}\partial \log h$  is a smooth semi-positive  $(1, 1)$ -form on  $X$ . We put  $n = \dim X$ . We introduce the space of  $L^{\otimes l}$ -valued harmonic  $(n, q)$ -forms as follows,

$$\mathcal{H}^{n,q}(X, L^{\otimes l}) := \{u \in C^{n,q}(X, L^{\otimes l}) \mid \Delta'' u = 0\}$$

for every  $q \geq 0$ , where

$$\Delta'' := \Delta''_{(L^{\otimes l}, h^l)} := D''_{(L^{\otimes l}, h^l)} \bar{\partial} + \bar{\partial} D''_{(L^{\otimes l}, h^l)}$$

and  $C^{n,q}(X, L^{\otimes l})$  is the space of  $L^{\otimes l}$ -valued smooth  $(n, q)$ -forms on  $X$ . We note that  $D''_{(L^{\otimes l}, h^l)} = \bar{\partial}$  and that  $D''_{(L^{\otimes l}, h^l)}$  is the formal adjoint of  $D''_{(L^{\otimes l}, h^l)}$ . It is easy to see that  $\Delta'' u = 0$  if and only if  $D''_{(L^{\otimes l}, h^l)} u = \bar{\partial} u = 0$  for  $u \in C^{n,q}(X, L^{\otimes l})$  since  $X$  is compact. It is well known that

$$C^{n,q}(X, L^{\otimes l}) = \text{Im} \bar{\partial} \oplus \mathcal{H}^{n,q}(X, L^{\otimes l}) \oplus \text{Im} D''_{(L^{\otimes l}, h^l)}$$

and

$$\text{Ker} \bar{\partial} = \text{Im} \bar{\partial} \oplus \mathcal{H}^{n,q}(X, L^{\otimes l}).$$

Therefore, we have the following isomorphisms,

$$H^q(X, \omega_X \otimes L^{\otimes l}) \simeq H^{n,q}(X, L^{\otimes l}) = \frac{\text{Ker} \bar{\partial}}{\text{Im} \bar{\partial}} \simeq \mathcal{H}^{n,q}(X, L^{\otimes l}).$$

We obtain  $H^q(X, \omega_X \otimes L^{\otimes(l+k)}) \simeq \mathcal{H}^{n,q}(X, L^{\otimes(l+k)})$  similarly.

**Claim.** *The multiplication map*

$$\times s : \mathcal{H}^{n,q}(X, L^{\otimes l}) \longrightarrow \mathcal{H}^{n,q}(X, L^{\otimes(l+k)})$$

is well-defined.

If the claim is true, then the theorem is obvious. It is because  $su = 0$  in  $\mathcal{H}^{n,q}(X, L^{\otimes(l+k)})$  implies  $u = 0$  for  $u \in \mathcal{H}^{n,q}(X, L^{\otimes l})$ . This implies the desired injectivity. Thus, it is sufficient to prove the above claim. By the Nakano identity (cf. [D, (4.6)]), we have

$$\|D''^*_{(L^{\otimes l}, h^l)} u\|^2 + \|D'' u\|^2 = \|D'^* u\|^2 + \langle\langle \sqrt{-1} \Theta_{h^l}(L^{\otimes l}) \Lambda u, u \rangle\rangle$$

holds for  $L^{\otimes l}$ -valued smooth  $(n, q)$ -form  $u$ , where  $\Lambda$  is the adjoint of  $\omega \wedge \cdot$  and  $\omega$  is the fundamental form of  $g$ . If  $u \in \mathcal{H}^{n,q}(X, L^{\otimes l})$ , then the left hand side is zero by the definition of  $\mathcal{H}^{n,q}(X, L^{\otimes l})$ . Thus we obtain  $\|D'^* u\|^2 = \langle\langle \sqrt{-1} \Theta_{h^l}(L^{\otimes l}) \Lambda u, u \rangle\rangle = 0$  since  $\sqrt{-1} \Theta_{h^l}(L^{\otimes l}) = \sqrt{-1} l \Theta_h(L)$  is a smooth semi-positive  $(1, 1)$ -form on  $X$ . Therefore,  $D'^* u = 0$  and  $\langle \sqrt{-1} \Theta_{h^l}(L^{\otimes l}) \Lambda u, u \rangle_{h^l} = 0$ , where  $\langle \cdot, \cdot \rangle_{h^l}$  is the pointwise inner product with respect to  $h^l$  and  $g$ . By Nakano's identity again,

$$\begin{aligned} & \|D''^*_{(L^{\otimes(l+k)}, h^{l+k})}(su)\|^2 + \|D''(su)\|^2 \\ &= \|D'^*(su)\|^2 + \langle\langle \sqrt{-1} \Theta_{h^{l+k}}(L^{\otimes(l+k)}) \Lambda su, su \rangle\rangle \end{aligned}$$

Note that we assumed  $u \in \mathcal{H}^{n,q}(X, L^{\otimes l})$ . Since  $s$  is holomorphic,  $D''(su) = \bar{\partial}(su) = 0$  by the Leibnitz rule. We know that  $D'^*(su) = -*\bar{\partial}*(su) = sD'^*u = 0$  since  $s$  is a holomorphic  $L^{\otimes k}$ -valued  $(0, 0)$ -form and  $D'^*u = 0$ , where  $*$  is the Hodge star operator with respect to  $g$ . Note that  $D'^*$  is independent of the fiber metrics. So, we have

$$\|D''^*_{(L^{\otimes(l+k)}, h^{l+k})}(su)\|^2 = \langle\langle \sqrt{-1} \Theta_{h^{l+k}}(L^{\otimes(l+k)}) \Lambda su, su \rangle\rangle.$$

We note that

$$\begin{aligned} & \langle\langle \sqrt{-1} \Theta_{h^{l+k}}(L^{\otimes(l+k)}) \Lambda su, su \rangle\rangle_{h^{l+k}} \\ &= \frac{l+k}{k} |s|_{h^k}^2 \langle\langle \sqrt{-1} \Theta_{h^l}(L^{\otimes l}) \Lambda u, u \rangle\rangle_{h^l} = 0 \end{aligned}$$

where  $\langle \cdot, \cdot \rangle_{h^{l+k}}$  (resp.  $|s|_{h^k}$ ) is the pointwise inner product (resp. the pointwise norm of  $s$ ) with respect to  $h^{l+k}$  and  $g$  (resp. with respect to  $h^k$ ). Thus, we obtain  $D''^*_{(L^{\otimes(l+k)}, h^{l+k})}(su) = 0$ . Therefore, we know that  $\Delta''_{(L^{\otimes(l+k)}, h^{l+k})}(su) = 0$ , equivalently,  $su \in \mathcal{H}^{n,q}(X, L^{\otimes(l+k)})$ . We finish the proof of the claim. This implies the desired injectivity.  $\square$

We contain Kodaira's vanishing theorem and its proof based on Bochner's technique for the reader's convenience.

**Theorem 2.2** (Kodaira vanishing theorem). *Let  $X$  be a compact complex manifold and let  $L$  be a positive line bundle on  $X$ . Then  $H^q(X, \omega_X \otimes L) = 0$  for every  $q > 0$ .*

*Proof.* We take a smooth hermitian metric  $h$  of  $L$  such that  $\sqrt{-1}\Theta_h(L) = \sqrt{-1}\bar{\partial}\partial \log h$  is a smooth positive  $(1, 1)$ -form on  $X$ . We define a Kähler metric  $g$  on  $X$  associated to  $\omega := \sqrt{-1}\Theta_h(L)$ . As we saw in the proof of Theorem 2.1, we have

$$H^q(X, \omega_X \otimes L) \simeq \mathcal{H}^{n,q}(X, L)$$

where  $n = \dim X$  and  $\mathcal{H}^{n,q}(X, L)$  is the space of  $L$ -valued harmonic  $(n, q)$ -forms on  $X$ . We take  $u \in \mathcal{H}^{n,q}(X, L)$ . By Nakano's identity, we have

$$\begin{aligned} 0 &= \|D''^*_{(L,h)}u\|^2 + \|D''u\|^2 \\ &= \|D'^*u\|^2 + \langle \langle \sqrt{-1}\Theta_h(L)\Lambda u, u \rangle \rangle. \end{aligned}$$

On the other hand, we have

$$\langle \sqrt{-1}\Theta_h(L)\Lambda u, u \rangle_h = q|u|_h^2.$$

Therefore, we obtain  $0 = \|u\|^2$ . Thus, we have  $u = 0$ . This means that  $\mathcal{H}^{n,q}(X, L) = 0$  for every  $q \geq 1$ . Therefore, we have  $H^q(X, \omega_X \otimes L) = 0$  for every  $q \geq 1$ .  $\square$

It is a routine work to prove Theorem 2.3 by using Theorem 2.1.

**Theorem 2.3** (Torsion-freeness and vanishing theorem). *Let  $X$  be a compact Kähler manifold and let  $Y$  be a projective variety. Let  $\pi : X \rightarrow Y$  be a surjective morphism. Then we obtain the following properties.*

- (i)  $R^i\pi_*\omega_X$  is torsion-free for every  $i \geq 0$ .
- (ii) If  $H$  is an ample line bundle on  $Y$ , then

$$H^j(Y, H \otimes R^i\pi_*\omega_X) = 0$$

for every  $i \geq 0$  and  $j > 0$ .

For related topics, see [T], [O], [F1], and [F2]. We close this section with a conjecture.

**Conjecture 2.4.** *Let  $X$  be a compact Kähler manifold (or a smooth projective variety) and let  $D$  be a reduced simple normal crossing divisor on  $X$ . Let  $L$  be a semi-positive line bundle on  $X$  and let  $s$  be a non-zero holomorphic section of  $L^{\otimes k}$  on  $X$  for some positive integer  $k$ . Assume that  $(s = 0)$  contains no strata of  $D$ . Then the multiplication homomorphism*

$$\times s : H^q(X, \omega_X \otimes \mathcal{O}_X(D) \otimes L^{\otimes l}) \rightarrow H^q(X, \omega_X \otimes \mathcal{O}_X(D) \otimes L^{\otimes(l+k)}),$$

which is induced by  $\otimes s$ , is injective for every  $q \geq 0$  and  $l > 0$ .

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